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# Predicting residential radon concentrations in Finland: Model development, validation, and application to childhood leukemia

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## ABSTRACT (ENGLISH)

**Objectives** Inhaled radon gas is a known alpha-emitting carcinogen linked especially to lung cancer. Studies on higher concentrations of indoor radon and childhood leukemia have conflicting but largely negative results. In this study, we aimed to create a sophisticated statistical model to predict indoor radon concentrations and apply it to a Finnish childhood leukemia case-control dataset.

**Methods** Prediction was based on ~80 000 indoor radon measurements, which were linked to national registries for potential indoor radon predictors based on the literature. In modelling, we used classical methods, random forests and deep neural networks. We had 1093 cases and 3279 controls from a nationwide case-control study. We estimated odds ratio (OR) for childhood leukemia using conditional logistic regression adjusted for potential confounders.

**Results** The  $r^2$  of the final log-linear model was 0.21 for houses and 0.20 for apartments. Using random forest method, we were able to obtain slightly better fit for both houses ( $r^2 = 0.28$ ) and apartments ( $r^2 = 0.23$ ). In a risk analysis based on the case-control data with log-linear model, we observed a non-significant ( $P=0.54$ ) increase with predicted radon concentrations [OR for the 2nd quartile 1.08, 95% confidence interval (CI) 0.77-1.50, OR 1.10 with 95% CI 0.79-1.53 for the 3rd, and 1.29 with 95% CI 0.93-1.77 for the highest quartile].

**Conclusions** Our modelling and the previously published models performed similarly but involves major uncertainties, and the results should be interpreted with caution. We observed a slight non-significant increase in risk of childhood leukemia related to higher average indoor radon concentrations.

## FULL TEXT

### Headnote

**Objectives** Inhaled radon gas is a known alpha-emitting carcinogen linked especially to lung cancer. Studies on higher concentrations of indoor radon and childhood leukemia have conflicting but largely negative results. In this study, we aimed to create a sophisticated statistical model to predict indoor radon concentrations and apply it to a Finnish childhood leukemia case-control dataset.

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predicted radon concentrations [OR for the 2nd quartile 1.08, 95% confidence interval (CI) 0.77-1.50, OR 1.10 with 95% CI 0.79-1.53 for the 3rd, and 1.29 with 95% CI 0.93-1.77 for the highest quartile].

**Conclusions** Our modelling and the previously published models performed similarly but involves major uncertainties, and the results should be interpreted with caution. We observed a slight non-significant increase in risk of childhood leukemia related to higher average indoor radon concentrations.

**Key terms** cancer; carcinogen; epidemiology; etiology; indoor radon.

Radon (Rn-222) is an alpha-radioactive element in the decay chain of uranium. It is generated in the ground from the decay of radium and, as a gas, it occurs in high concentration in soil pore air. A number of physical factors and processes are involved in the generation and transfer of radon from mineral grains to soil gas and in the movements of radon-bearing soil air. The entry of soil gas into built spaces is controlled by the flow dynamics of soil air in the porous soil media and through a large variety of gaps, air-permeable building elements and openings in the structures in contact with soil or in floor structures in crawl space houses. Indoor radon concentrations vary widely depending on the uranium concentration of the terrain, soil permeability, entry from the ground to buildings and ventilation (1-3). Finland has one of the highest average residential radon-222 concentrations in the world, 96 Bq/m<sup>3</sup>, resulting in a mean annual effective dose of 1.6 mSv based on ICRP-65 from 1993 and a dose of 4.5 mSv based on the ICRP-137 (4-6). The new ICRP-137 from 2017 is based on both dosimetric estimates and epidemiology. The radiation dose is largely due to the short-lived progeny rather than radon itself. Dose to the bone marrow from inhaled radon progeny is substantially lower than that to the lung (7, 8).

**Health effects of indoor radon**

Uranium and hard-rock miners are exposed to very high concentrations of radon progeny and such occupational exposure has been shown to increase the risk of lung cancer (9). Lower residential radon concentrations have also been shown to increase the risk of lung cancer (10). The International Agency for Research on Cancer (IARC, World Health Organization) has classified radon as a recognized Group 1 human carcinogen (11). No excess of leukemia has been consistently associated with radon exposure in uranium miners (12-22).

Results from previous studies on the possible effect of exposure of indoor radon on risk of childhood leukemia have been largely negative but still inconclusive. The potential dose pathway for the association, in addition to the exposure of the red bone marrow, has been suggested to be through the exposure of lymphocytes within the tracheobronchial epithelium (23). Studies in Norway, France, the UK, and Switzerland showed no association, but a Danish case-control study with complete residential histories and a statistical model with 40% r<sup>2</sup> reported an elevated risk (24-28). In these studies, exposure estimates were derived from model-based predictions of radon exposure (29-31). Efforts to construct a good prediction models have been made in the UK and detailed information on soil has been essential (32, 33). Some smaller studies have used actual radon measurements but shown no materially elevated risks (34-37). In addition, several ecological studies have evaluated the association between incidence rates and regional average radon levels and have consistently reported positive risk estimates (38).

**Estimation of indoor radon**

When estimating the effects of indoor radon, or most other environmental exposures, a direct measurement would be the optimal way to define exposure. However, that is not always possible due to practical reasons. To study risk factors of small expected effect size with sufficient statistical power, a large number of subjects is needed, and performing thousands or even millions of repeated on-site radon measurements does not currently appear feasible. Further, participation bias in measurement program is likely to be a significant problem. However, robust results have been reported using statistical models for predicting radon concentrations in similar scenarios (29-31). Many country-specific models have been published with varying performance (29, 39).

Low-rise residential buildings (single family houses, semi-detached houses and terraced houses) will be referred to as houses. Dwellings in multi-story block houses are called apartments.

Indoor radon concentrations are determined by a variety of factors in a complex chain of processes. In low-rise residential houses, the soil-borne radon gas dominates, with regard to indoor radon concentration. The main processes include concentration of uranium in mineral grain, emanation of radon from mineral grains to soil gas,

movements of radon-bearing soil air in the porous soil media, and entry of radon-bearing soil gas into living spaces. In foundation structures, gaps, airpermeable building blocks, and openings in the structures increase the soil air entry into indoor spaces. The entry rate is controlled by the flow dynamics of soil air in the porous soil media and physical modelling shows that the air permeability of the sub-soil is a much more important factor than the effective area of the air leakage routes (40). Therefore, the highest values are measured in houses situated in hilly areas with porous soil of coarse gravel, for example on eskers (a long ridge of gravel or other sediment, typically having a winding course, deposited by meltwater from a retreating glacier or ice sheet). The lowest values in low-rise buildings are found in areas of impermeable clay. Air exchange in the building is the process of diluting radon concentration in indoor air (41).

In Finland, in apartment buildings soil-borne radon is not an important radon source, except for apartments on the lowest level and with floors in contact with soil. On upper floors, radon gas emanated from rock-based building materials, normally concrete elements, dominates. The national average indoor radon concentration caused by building materials in apartments is clearly lower (49 Bq/m<sup>3</sup>) than the average concentrations caused by soil-borne radon in low-rise residential houses (121 Bq/m<sup>3</sup>) (6). Also, the range of radon concentrations in apartments is narrower compared with houses as the percentage of measurements above 400 Bq/m<sup>3</sup> in apartments was 0.7% in the national survey and 3.8% in houses. Uranium concentration of local gravel material can be utilized as a determinant for radon concentration in apartments because gravel has been used as concrete ballast material. Other important predictors of indoor radon are the dwellings age and the existence of cellars in detached houses (42, 43). Also, the story of the dwelling in blocks of flats has been shown to predict the concentration (44, 45). Seasonal variation has also been documented (43). Radon concentrations are highest during the heating season. Indoor radon measurements have been carried out in the period of November-April in Finland (46).

Modelling indoor radon concentrations has been proven to be particularly difficult as limited or no data are available on several important determinants. For example, the type of building foundation correlates strongly with radon concentrations but is rarely available (3, 43). The same stands true also for the type or source of gravel used for the foundation. However, due to the importance of the data from the original building soil, the effect of the lack in the knowledge of the transported layers of mineral material is decreased. Ventilation strategies, either natural or mechanical, are not included in the database of the Population Register Centre of Finland. However, history of the prevalence of ventilation strategies in Finnish low-rise residential buildings is well known based on national sample surveys (6, 41, 47). With regard to modelling, the effect of ventilation strategies seems to be limited compared with uranium concentration in soil or soil permeability (41).

The building code for radon prevention and the associated practical guidelines were revised in Finland in 2003-2004. Thereafter, preventive measures have become more common and effective and, in houses completed since 2006, indoor radon concentrations have been markedly reduced. These data are of great importance when constructing a statistical model. The national radon prevention study in 2009 showed that in houses with preventive measures, the radon concentration was on average reduced by >50% compared with houses with no preventive measures (47).

Furthermore, results from more than 200 000 individual radon measurements in Finnish dwellings are recorded in the database of the Radiation and Nuclear Safety Authority. In Finland, only regional indoor radon modelling has been conducted and no nationwide studies on modelling radon concentrations have been published.

#### Aims of the study

Using statistics, we modelled indoor radon concentration in a given dwelling using measurements from the nationwide database and internally validated its performance and robustness. Then we applied the model to examine potential association between residential radon and childhood leukemia using data from a nationwide childhood leukemia case-control study (48).

#### Methods

##### Radon measurements

We obtained results of all indoor radon measurements (N=244 059) from the database compiled by STUK - the

Radiation and Nuclear Safety Authority and linked them to the building database of the Population Register Center by address and postal code. We used the oldest available measurement from each dwelling to minimize the effect of potential radon protection renovations. If there were two or more measurements with the same start dates, the one with higher measured concentration was used to maximize the models' ability to recognize the high concentrations.

#### Combining databases

The Population Register Centers building database contains data on a dwelling type (house versus apartment), year of completion, floor area (m<sup>2</sup>), total area (m<sup>2</sup>), total volume (m<sup>3</sup>), number of floors, area of the basement, main building material (rock-based materials, wood, others) and air-conditioning. All predictive variables were required to be available from nationwide registries (Population Registry Center) and, thus, not all important predictors, that were available only in STUK's radon database (type of foundation, radon protection, the floor of the dwelling), could be utilized in modelling.

The linkage of the measurements to the building database of the Population Register Center was based on street address and postal code as the key. This resulted in one-to-many linking problem due to multiple buildings in the same postal address. In such cases, we selected buildings with the best match in terms of building type, year of completion and coordinates.

To deal with the remaining discrepancies between databases (STUK's and the Population Registry Center's Building databases) after the primary selection, we created three sets of filtering criteria to acquire the best compromise between accuracy and sample size. We also aimed to explore whether there would be substantial differences in models with differently filtered radon datasets. The sample sizes of different filtering levels are represented in the figure 1. The first level required >100 m difference in Euclidean distance by coordinates, a >10-year difference in year of completion and no observable discrepancy in building type between the two databases. The second level required that there be no missing values in any of the filtering variables of the first level and thus all filters could be applied to every building (as the first level inhibited missing values from triggering the filter). The third level also allowed for no missing values and involved stricter criteria for >10 m Euclidean distance and identical year of completion. The numbers of buildings fulfilling the three sets of criteria are shown in the figure 1 (with other exclusions).

Radon concentrations in houses and apartments were modelled separately as the major predictors differed based on the literature. Dwellings with missing or ambiguous building type were excluded. For the house model, the median postal-code-specific indoor radon concentration was derived from the 20% of the measurements sampled from the dataset left outside modelling to avoid using derivatives of the measurements as predictors. As the average number of dwellings per postal code area was relatively low and the total number of postal areas was relatively high, this resulted in some missing values (N=5697, 3.6%) and, also, some postal areas were represented by only few measurements. For the apartment model, we constructed a database of county-specific median radon concentrations in apartments based on two nationwide representative surveys (conducted in 1991 and 2006) (6, 49). The measurements from the 1991 survey were calibrated to match the values from the more recent survey.

#### Additional data for the models

To complement the model, we obtained data on the soil type as vector maps and terrain elevation as a 100 x 100 m square map from Geological Survey of Finland (GTK). Regarding the soil type, for each area, the map with the highest resolution (1:20 000, 1:50 000 and 1:100 000) available was used. STUK also provided us with an 8 x 8 km square map of soil uranium concentration (Bq/kg) (50). The vector maps for dwellings were evaluated using QGIS (v. 3.2.1) and square maps were evaluated with a basic R script.

Detailed soil types were classified into three categories by permeability. The classification was based on the grain size distribution of the soil type. Air permeability of soil types is closely related to grain size distribution. Soil air permeability is highest for coarse gravel (grain size 6-20 mm) and lowest for clay with a very low grain size (>0.002 mm). The database presents the soil type at the depth of 1 meter, which is representative of the depth of house foundations. Several terms were created to characterize year of construction: a categorical variable in 5-year intervals, as well as a separate indicator term for pre-1940 were used. For apartments, the latter term was defined

with 1950 as the cut-off. The building material was classified as rock-based, wood, or other/ unknown. We also created a binary variable to estimate exhaust fan-based ventilation: any type of ventilation based on the building registry and building completed before year 2000 for houses and any type of ventilation with building completed between 1950 and 2006 for apartments. The presence of a basement was modelled as a three-step variable (no basement, basement and dwelling built before 1990, basement and dwelling built after 1990) due to new prevalent practice of hill-side houses instead of full basement houses.

#### Modeling indoor radon

We applied multiple approaches for developing the two final radon prediction models. The methods were used similarly for both models from predictor selection to validation. First, we started with a log-linear model with all one available predictors. All continuous potential predictors were log-transformed. We used a backward selection algorithm starting with the full model and used multiple imputation to deal with the missing data. The proportions of missing data for each potential predictor are presented in the supplementary material ([www.sjweh.fi/show\\_abstract.php?abstract\\_id=3867](http://www.sjweh.fi/show_abstract.php?abstract_id=3867)), table S1. We defined measured indoor radon outliers as values with  $z > 3$  and excluded them.

We then created two categorical models with radon concentrations divided into quartiles: a polynomial and a multinomial. We also tested a model with a binary dependent variable by dividing the radon concentration by its 80th percentile. Finally, we experimented with modern machine learning algorithms (random forest and deep neural networks) as an alternative to the traditional methods (51, 52). For random forest models, we set the number of trees grown to 2000 and 560 for apartments and houses, respectively, based on the point, where the model errors started to converge. Deep neural network was specified as a 4-layer network with 256, 128, 64, and 1 nodes with rectified linear unit as activation function in each except the output layer. The model was trained with 80% of the data with additional 20% used as validation for each epoch for 1000 epochs or until convergence according to mean squared error.

We used five-fold cross validation to explore the robustness and potential over-fitting of the log-linear model. We also calculated the Spearman correlation between the measured and predicted indoor radon concentrations. Categorical models were evaluated with Cohen's kappa. We performed sensitivity analyses on different levels of filtering regarding the slight discrepancies between databases.

#### Childhood leukemia case-control study

The indoor radon exposure was predicted with log-linear model for the cases and controls using our nationwide case-control dataset (48). Briefly, the cases included all Finnish children diagnosed with childhood leukemia during 1990-2011. The 1100 cases were identified from Finnish Cancer Registry (M9800 - M9948 in ICD-O-3). Three controls were individually matched on sex and year of birth to each case from the Finnish Population Register Center. Each control was assigned a reference date to match the diagnosis of the respective case. We assumed a two-year latency based on results summarized by UNSCEAR, which automatically results in null exposure for subjects less than two years of age at their reference date as well as their controls (53). These cases and their respective controls were excluded from the analyses. We obtained also complete residential histories which yielded, in total, 7334 residencies with the aforementioned latency period. As a sensitivity analysis, we experimented with a five-year latency period.

The cases were classified by leukemia subtype into pre-B-ALL (precursor B-cell acute lymphoblastic leukemia), T-ALL (T-cell acute lymphoblastic leukemia), unspecified ALL, AML (acute myeloid leukemia) and others. The genetic subtypes were obtained from the hospital records. We obtained data on gestational age, birth weight, maternal smoking from the Medical Birth Registry. Diagnoses of Down syndrome and other congenital malformations were obtained from the Congenital Malformation Registry. In addition, we obtained data on parental education, occupation, and socioeconomic status from Statistics Finland.

When applying the model to the childhood leukemia dataset for subjects (3.0% for cases and 2.6% for controls) with only municipality of residence available (for at least one residence), we used municipality-specific radon estimates. For residential periods abroad (1.4% for cases and 0.7% for controls), we used worldwide indoor radon average 39



Bq/m<sup>3</sup> (54). In the rare cases where a dwelling could not be classified as either a house or an apartment, we also used the municipality-specific median (1.2% for cases and 1.2% for controls). Otherwise, we applied the model after using multiple imputation for missing data on variables required for the prediction. As the dependent variable of the model was log-transformed before fitting the curve, the predictions represent geometric means of the estimated indoor radon concentrations when transformed back into Bq/m<sup>3</sup>.

#### Radon exposure prediction

We calculated cumulative radon exposure as Bq/m<sup>3</sup> integrating over time to cover the whole residential history taking two-year latency period into account and divided it into quartiles for the conditional logistic regression analyses. We also calculated the average concentration of the exposure period by dividing the cumulative exposure with the total length of the exposure period. Cumulative exposure accumulates with age and, thus, is highly correlated with it. The analyses were adjusted for potential confounders: Down syndrome (yes or no), large birth weight (LGA) (exceeds 90th birth weight percentile in relation to gestational duration), terrestrial gamma radiation and Chernobyl fallout [cumulative red bone marrow equivalent dose (mSv)], cumulative red bone marrow dose from CT exposure (mGy), maternal smoking during pregnancy (yes or no), as well as parental socioeconomic status and education. Both socioeconomic status and education were known individually for each parent. Socioeconomic status was classified into five classes (self-employed, upper level employee, lower level employee, manual worker and other) and education into three levels (upper secondary, bachelor's degree, master's or doctor's degree) (55).

#### Statistical analysis

All analyses were performed using R software version 3.4.0. For the modelling and visualization, the R libraries included: multiple imputation (Amelia, v. 1.7.5), k-fold cross validation (DAAG, v. 1.22), Cohen's kappa (psych, v. 1.8.4), Bland-Altman plot (BlandAltmanLeh, v. 0.3.1; ggExtra, v. 0.8; ggplot2, v. 3.1.0), ordered logistic regression (MASS, v. 7.3-51), Brant's test (brant, v. 0.2-0) multinomial logistic regression (nnet, v. 7.3-12), random forests (randomForest, v. 4.6-14), keras (keras, v. 2.2.0). The risk analyses after prediction were carried out with conditional logistic regression from the library survival (v. 2.43-1). Variance inflation was examined using car-library (v. 3.0-2). We used 5% as the significance threshold and all reported p-values are two-sided. For multiple testing corrections we used the Benjamini-Hochberg method. Effect modification was investigated by including interaction terms into the model and evaluating improvement in model fit.

#### Ethical considerations

No informed consent from the study subjects was needed according to the Finnish regulations as the study was carried out entirely through registers and databases, without any contact with the study subjects.

#### Results

##### Radon measurements

The median indoor radon concentration in 93 219 unique linked dwellings from the STUK database was 137.3 Bq/m<sup>3</sup> (IQR 68.0 Bq/m<sup>3</sup>, 267.4 Bq/m<sup>3</sup>), with the 95th percentile 732.7 Bq/m<sup>3</sup>, the 99th percentile 1913.0 Bq/m<sup>3</sup> and the maximum 38,883 Bq/m<sup>3</sup>. The distribution was log-normal and after log-transformation, the distribution was normalized when evaluated using a Q-Q plot.

After exclusions, the material included 73 903 (94.1%) houses and 3709 (4.7%) apartments, with median radon concentrations 143 Bq/m<sup>3</sup> (IQR 71 Bq/m<sup>3</sup>, 276 Bq/m<sup>3</sup>) and 66 Bq/m<sup>3</sup> (IQR 38 Bq/m<sup>3</sup>, 134 Bq/m<sup>3</sup>), respectively. The descriptive statistics and distributions of predictors are represented in tables 1a and b by indoor radon quartiles.

##### Modelling indoor radon concentrations

The final predictors, their estimates and confidence intervals (CI) with adjusted P-values for the log-linear model are reported in tables 2a, b and c. For the house model, most of the selected predictors had a highly statistically significant effect due to large sample size. Especially for the houses, the construction year displayed an inverted U-shaped curve relationship with indoor radon, with lower concentrations in newer buildings due to stricter radon protection regulation. Rock-based building materials were associated with higher residential radon than wood as a building material, and higher indoor radon concentrations were also associated with more porous soil. Uranium concentration in soil exerted a major influence in the house model. In general, we identified fewer predictors with



mostly smaller coefficients for apartments.

For both models (houses and apartments), the year of completion was an important predictor. It explained 10.6% and 4.61% of the variance and for the house and apartments, respectively. Soil permeability was also influential (houses 2.97% and apartments 7.05%). The other proportions of the variation explained by each predictor are reported in table 3. For the final log-linear house model, we observed Akaike's information criterion (AIC) 157 739 and Bayesian information criterion (BIC) 158 036 and for the apartment model AIC 9993 and BIC 10 161.

#### Performance of the models

The final model of the log-transformed indoor radon concentration reached  $r^2$  of 0.21 for the house model and 0.20 for the apartment model. The Spearman correlation between the measured and predicted values in the validation dataset was 0.45 for the houses and 0.44 for the apartments. The scatterplots of measured and predicted indoor radon concentrations also showed only a modest correlation with a narrower range of predicted than observed concentrations (figure 2), but both models were unable to accurately identify the lowest and highest radon concentrations (figure 3). In the five-fold crossvalidation with 80-20 split, the models appeared robust with no indication of substantial over-fitting for either model. The mean squared error was 0.84 for the houses and 0.88 for the apartments. We observed variance inflation due to multicollinearity of the predictors. For the apartments, the predictors with generalized varianceinflation (GVIF)  $>2$  were soil permeability (5.1), formation by ice-age (4.3), year of completion (2.3) and soil uranium concentration (2.3). For the house model, five predictors showed GVIF  $>2$ : soil permeability (2.6), formation by ice-age (2.4), year of completion (2.6), floor area (2.3) and total volume (2.2). The weighted Cohen's kappa for measured and predicted values by quartiles of measured indoor radon was 0.33 for houses and 0.38 for apartments. If only one split at 80th percentile was used, the weighted kappa was 0.10 for houses and 0.25 for apartments.

#### Exploratory modelling attempts

In exploratory analyses, the predictors of both dwelling types remained largely similar when an ordered logistic regression was used instead of the log-linear model to predict indoor radon in quartiles, but the assumption of parallel lines was not met for the categorized year of completion when evaluated with Brant's test. This also applied to multinomial logistic regression. Ordinary logistic regression for binary radon split at  $p_{80}$  gave poor results. We did not observe major changes in  $r^2$  (0.21-0.24 for houses and 0.20-0.24 for apartments) or in the coefficients when different levels of measurement filtering were used. Using modern machine learning methods, we were able to markedly improve the coefficient of determination [random forest (apartments 0.23, houses 0.28), deep neural network (apartments 0.19, houses 0.18)]. We also observed lower coefficients of determination when using the newest available radon concentration for each dwelling.

#### Childhood leukemia case-control data

After exclusions, we included 1093 (4 had prohibition of data use and 3 had incorrect identification codes) childhood leukemia cases diagnosed in 1990-2011. Of these, 826 (75.6%) were pre-B-ALL, 64 (5.9%) were T-ALL, 20 were unclassified ALL (1.8%), 146 were AML (13.6%), and 34 were other (3.1%). A majority of the cases were diagnosed at age 2-7 years, and the median age was 4.52 [interquartile range (IQR) 2.72, 8.23]. Down syndrome, intrauterine growth, and maternal smoking during pregnancy were associated with risk of childhood leukemia (table S2). In total, there were 7443 different dwellings (1839 for cases and 5604 for controls) in the subjects' residential histories using the two-year latency period. The residential radon concentrations were estimated with either the house (56.1%,  $N=1032$  for cases and 54.9%,  $N=3079$  for controls) or the apartment model (38.3%,  $N=704$  for cases and 40.5%,  $N=2271$  for controls), except for 5.6% for cases and 4.5% for controls for whom municipality-specific medians were imputed due to lack of dwelling data.

#### Evaluating the model against direct measurements

Direct measurements were available for 1.4% ( $N=103$ ) of the subjects' residential periods (1.4%,  $N=25$  for cases and 1.4%,  $N=78$  for controls) when linking by address, city and the time period of the measurement to STUK radon database. The Spearman correlation between the predicted and measured radon concentrations of the subjects was 0.36 and  $r^2$  was 0.10 after log-transformation. If direct measurements were matched also by year of completion

(maximum 1-year discrepancy) and by coordinates (maximum 100 m Euclidean distance), there were, in total, 55 measurements [14 (25%) for cases, and 41 (75%) for controls], and the Spearman correlation rose to 0.45 and the  $r^2$  became 0.11.

#### Predicted radon concentrations

We made predictions of indoor radon concentration for each residential period with both the log-linear and random forest models. The correlation between these predictions for apartments was 0.52 and 0.49 for houses.

Respectively, the correlation between the cumulative exposures (Bq/m<sup>3</sup> years) of subjects was higher (0.93) and for the average concentration it was only 0.29, reflecting the effect of the total duration of all residential periods of each subject.

Using the log-linear model, the median predicted cumulative indoor radon exposure was 301 Bq/m<sup>3</sup> years (IQR 121 Bq/m<sup>3</sup> years, 625 Bq/m<sup>3</sup> years) for the cases and 292 Bq/m<sup>3</sup> years (IQR 116 Bq/m<sup>3</sup> years, 636 Bq/m<sup>3</sup> years) for the controls. The median of the time-weighted average indoor radon concentration was 92 Bq/m<sup>3</sup> (IQR 68 Bq/m<sup>3</sup>, 123 Bq/m<sup>3</sup>) for cases and 89 Bq/m<sup>3</sup> (IQR 67 Bq/m<sup>3</sup>, 121 Bq/m<sup>3</sup>) for controls. For the random forests model, the median cumulative exposure among the cases was 357 Bq/m<sup>3</sup> years (IQR 151 Bq/m<sup>3</sup> years, 789 Bq/m<sup>3</sup> years) and for the controls 357 Bq/m<sup>3</sup> years (IQR 152 Bq/m<sup>3</sup> years, 799 Bq/m<sup>3</sup> years). The median of the average concentration for cases was 107 Bq/m<sup>3</sup> (IQR 93 Bq/m<sup>3</sup>, 127 Bq/m<sup>3</sup>) and for controls 107 Bq/m<sup>3</sup> (IQR 93 Bq/m<sup>3</sup>, 128 Bq/m<sup>3</sup>).

#### Risk analyses

In unadjusted analysis of exposure predicted with the log-linear models, we observed an odds ratio (OR) of 0.87 (95% CI 0.63-1.19) for an increase of 1000 Bq/m<sup>3</sup> years in cumulative radon exposure. When the model was adjusted for potential confounders the OR was 1.06 (95% CI 0.59-1.92). The results from both unadjusted and adjusted models for cumulative exposure, average concentration and quartiles are presented in table 4 based on log-linear and random forest predictions. The dose-response curves based on quartiles are presented in figure 4 for predictions from both modelling approaches.

#### Exploratory and sensitivity analyses

In exploratory subgroup analyses for ALL patients with the log-linear model, we found an adjusted OR of 1.32 (95% CI 0.67-2.60) for every 1000 Bq/m<sup>3</sup>-years. Similarly, for subjects diagnosed before turning 6 years, the OR was 3.53 (95% CI 0.80-15.5). All subgroup analyses for both cumulative exposure and average concentration with log-linear and random forest predictions are shown in the supplementary table S3. The interaction term was not significant for subtypes nor age-groups.

As sensitivity analysis, we explored the effect of a longer, 5-year, latency period (489 cases and 1467 controls). In unadjusted analyses with log-linear model, we observed an OR of 0.70 (95% CI 0.42-1.18) for an increase of 1000 Bq/m<sup>3</sup> in cumulative exposure and when adjusted the similar OR was 0.93 (95% CI 0.33-2.63). The analysis of quartiles of average concentration showed no evidence of elevated risk and the central estimates of all but the reference quartile were below unity (data not shown).

#### Discussion

##### Main findings

We constructed two prediction models to estimate indoor radon concentrations in Finland using both technical properties of the buildings and geological properties of the terrain under the building. Our models performed reasonably well compared to previous modelling attempts, showed no imminent signs of overfitting and behaved robustly in multiple sensitivity analyses. However, the prediction model was unable to distinguish radon concentration deviating strongly from the average but modelling the highest concentrations (>10 000 Bq/m<sup>3</sup>) was never the aim as they are not reachable with traditionally available data. We applied the model to a nationwide register-based case-control dataset of childhood leukemia and observed a slight, non-significant trend risk, with the OR 1.1-1.3 (95% CI 0.79-1.77) for radon concentrations >120 Bq/m<sup>3</sup>.

The distributions of the predictions produced by our models (92 Bq/m<sup>3</sup> for cases, 89 Bq/m<sup>3</sup> for controls) were in line with the previously published median Finnish indoor radon concentration (96 Bq/m<sup>3</sup>) (6, 56). The performance of our

main model was similar ( $r^2 = 0.21$ ) to the recent, similarly constructed model from Switzerland (29). Higher coefficients of determination in some previous country-specific models may be related to smaller numbers of measurements (30, 57, 58). We were also able to reach slightly higher coefficients of determination using the random forest machine learning method. However, the small absolute difference in  $r^2$  (maximum 0.07 units), suggests no dramatic improvement over the simpler, and thus to some degree more preferable, classic approach with the log-linear model.

#### Strengths of the study

Regardless of the sub-optimal performance, the various strengths of our study, with its sophisticated modern machine-learning methods, make it the most up-to-date statistics-based attempt to study indoor radon and childhood leukemia. Our prediction models were created with a comprehensive roster of predictors. Both building properties and geological variables were used. The predictors were collected from nationwide registries. The sample size of direct indoor radon measurements, on which the model is based, is the largest to date. We used multiple approaches when building the optimal model and also saw potential in modern machine-learning methods, especially in the random forest method.

#### Limitations of the study

However, our study had also limitations. First, our prediction model failed to identify residences toward the high and low ends of the indoor radon range, as is apparent in the Bland-Altman plots. This shortcoming was not rectified by the machine learning methods. Unlike most countries, Finnish indoor radon concentrations can be  $>10\,000$  Bq/m<sup>3</sup>, which poses major challenges for the prediction and also means that models created for other European countries cannot be applied to the Finnish predictions. To combat the issue, we used the oldest measurements when there were multiple available to avoid the interference of potential radon protection installations and also used the highest available measurement from each measurement session if concentrations were, for example, measured in multiple rooms. This approach resulted in higher coefficients of determination. In the Swiss study using an approach comparable to our log-linear model, the median predicted radon concentration was 77.7 Bq/m<sup>3</sup> and the 90th percentile was 139.9 Bq/m<sup>3</sup> (29). The respective statistics in our data were 89.9 Bq/m<sup>3</sup> and 154.1 Bq/m<sup>3</sup>. In the Danish study, the median of the predicted concentrations was considerably lower (41 Bq/m<sup>3</sup>) (26).

Second, even though the used soil type maps were vector-based with resolution sufficient to minimize misclassification, the soil types in maps were defined manually and borders between soil types may involve some inaccuracies.

Third, multicollinearity of the predictors cannot be entirely avoided and this may weaken the distinction between predictor contributions and this was observed as higher variation inflation factors. The year of completion reflects multiple building properties and it was one of the strongest predictors of indoor radon also included in the model. It is, however, a proxy indicator for building techniques that we were unable to capture directly and is therefore a suboptimal predictor. The missing important predictors included the type of foundation and the type of stabilizing soil used directly under the foundation as well as accurate ventilation flow patterns.

Fourth, the county-specific median indoor radon concentrations in the apartment model are based on measurements that are included in the apartment model, introducing an element of circular logic. Excluding the survey measurements would have decreased the apartment sample roughly by half. This issue was avoided with houses by randomly selecting a 20% subsample, which was then left outside modelling. Overall, these issues likely overestimated the predictive capacity of our models.

Finally, when the performance of the model was evaluated with direct measurements, we saw some signs of overfitting as the correlation coefficients and the  $r^2$  values were lower than in other means of estimating model performance. Using more stringent criteria for identifying direct measurements did not completely solve the issue. Also, the predictions made by log-linear and random forest models were not highly similar which also displays another uncertainty in our exposure assessment strategy.

The performance of the prediction model was not optimal despite large and high-quality data available for the predictors. The fact that even rich data combined with sophisticated statistical methods fails to capture variability in

indoor radon between dwellings shows that results obtained in some other countries are not applicable in the Finnish context and casts some doubt about their broader generalizability. Differences may also reflect a more complex set of determinants in the Finnish context (and broader range of radon levels). Improved prediction models would likely require new modelling approaches or more complete building characteristics.

#### Integration of the findings with previous studies

As in the recent Norwegian and Swiss analyses, we did not observe a significantly increased risk of childhood leukemia associated with indoor radon. Hauri et al (26) compared the highest 90th percentile to subjects below median and reported an adjusted HR of 0.95 (95% CI 0.63-1.43). Kollerud et al (31) found an adjusted HR of 0.93 (95% CI 0.76, 1.13) per 100 Bq/m<sup>3</sup> increment. Also, the analyses from United-Kingdom and France did not report increased risks related to higher indoor radon concentrations (27, 28). The British study reported an RR of 1.03 (95% CI 0.96-1.11) for every 1 mSv increase in cumulative red bone marrow dose as the French study reported and standardized incidence ratio of 1.01 (95% CI 0.91-1.12) for an increase of 100 Bq/m<sup>3</sup> in the indoor radon concentration.

Interestingly, a Danish study by Raaschou-Nielsen et al (24) reported an increased risk for childhood ALL (RR 1.53, 95% CI 1.05-2.30 for a 1000 Bq/m<sup>3</sup>-year increase in cumulative exposure). The Danish study was based on a radon prediction model with a high  $r^2$  (40%). They were also able to utilize complete residential histories and adjust for a number of potential confounders. The CI of the Danish study overlap with the results we observed.

Several small case-control studies have used direct residential radon measurements and failed to show a consistent exposure-effect gradient (34-37). They have been frequently limited, however, by lack of complete residential histories and potential selection bias.

When applying the model to our childhood leukemia case-control dataset, we were able to use complete residential histories. The register-based approach minimized selection bias. We adjusted for multiple potential confounders and used a two-year latency period to focus on etiologically relevant exposure.

However, the conclusions that can be drawn from the risk analyses are dependent on our ability to predict the exposure, and the limitations in the prediction model performance are likely to introduce exposure misclassification. As this is most likely similar for cases and controls, non-differential random error is expected to dilute any true effect and a null result may reflect either real lack of an effect or an effect largely masked by misclassification. Also, the dilemma of optimal research strategy remains in choosing between an analysis with inaccurate exposure assessment in a large and representative sample (as register-based studies with predicted radon) or an analysis with accurate direct measurements in a smaller sample potentially affected by selection bias.

#### Concluding remarks

Our modelling of indoor radon concentration involves major uncertainties, and the results should be interpreted with caution. However, we observed a slight non-significant risk of childhood leukemia related to higher average indoor radon concentrations and results are suggestive of a higher risk for ALL patients and patients under six years of age. In future studies using predictive models, identifying the dwellings with the high radon concentrations, preferably up to 2000 Bq/m<sup>3</sup>, should be prioritized and, whenever possible, direct measurements should be chosen over modelling.

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#### Ethics approval and consent to participate

The study protocol (tracking number R14074) was reviewed by the ethical committee of Pirkanmaa Hospital District and no informed consent was needed in accordance with the Finnish regulations. We also obtained a permission

(tracking no. 1774/5.05.00/2014) from the National Institute of Health and Welfare for record linkages with the Finnish Cancer Registry, Medical Birth Register, Care Register for Health Care, and Congenital Malformation Register. A permission to use the socioeconomic data was obtained from Statistics Finland (TK-52-306-16).

#### Availability of data and materials

Due to the strict data privacy policies in the European Union and Finland, we are not able to provide the full data used in this study. The data could not be anonymized to the minimum of five unique rows due to the large number of variables compared to the number of observations. Under the current jurisdiction, pseudonymized data cannot be published openly.

#### Competing interests

The authors declare no conflicts of interests.

#### Sidebar

Indoor radon prediction models were created based on ~80 000 measurements and modern machine learning methods were used in modelling. The performance of the models was comparable to the previously published ones. We observed a non-significant risk of childhood leukemia from indoor radon. However, the modelling involves some uncertainties.

Key terms: cancer; carcinogen; childhood leukemia; epidemiology; etiology; Finland; Finland; indoor radon; leukemia; radon; radon concentration

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#### Additional material

Please note that there is additional material available belonging to this article on the Scandinavian Journal of Work, Environment & Health -website.

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## DETAILS

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# The impact of office design on medically certified sickness absence

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## ABSTRACT (ENGLISH)

**Objective** The aim of this study was to determine the impact of three different office designs (cellular office, shared office, and open-plan workspace) on the risk of medically certified sickness absence and the number of days, respectively, of medically certified sickness absence over a 12-month follow-up period. **Methods** The study relied on a combination of self-report survey questionnaire data on office design supplemented with official registry data number of days with sickness absence from the Norwegian Labor and Welfare Administration. The sample comprised 6328 Norwegian office workers (57% women, age range: 19-70 years, mean age: 44 years). **Results** Adjusting for survey year, employees working in a shared office [risk ratios (RR) 1.18, 95% confidence interval (CI) 1.10-1.27] and an open-plan workspace (RR 1.12, 95% CI 1.02-1.22) had significantly higher risk of having had medically instances of certified sickness absence when compared to employees working in a cellular-office. Office design was not related to the number of days with absence. The associations were consistent across organizational affiliation, age, gender, whether the respondent had leadership responsibility, and educational level. **Conclusion** The use of shared offices and open-plan workspaces is a risk factor for medically certified sickness absence. Providing employees with the opportunity to work in cellular offices may reduce absence rates. **Key terms** cellular office; health; open office; open-plan office; registry data; shared office; shared workstation; sick leave; workability.

## FULL TEXT

### Headnote

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**Methods** The study relied on a combination of self-report survey questionnaire data on office design supplemented with official registry data number of days with sickness absence from the Norwegian Labor and Welfare Administration. The sample comprised 6328 Norwegian office workers (57% women, age range: 19-70 years, mean age: 44 years).

**Results** Adjusting for survey year, employees working in a shared office [risk ratios (RR) 1.18, 95% confidence interval (CI) 1.10-1.27] and an open-plan workspace (RR 1.12, 95% CI 1.02-1.22) had significantly higher risk of having had medically instances of certified sickness absence when compared to employees working in a cellular-office. Office design was not related to the number of days with absence. The associations were consistent across organizational affiliation, age, gender, whether the respondent had leadership responsibility, and educational level.

**Conclusion** The use of shared offices and open-plan workspaces is a risk factor for medically certified sickness absence. Providing employees with the opportunity to work in cellular offices may reduce absence rates.

**Key terms** cellular office; health; open office; open-plan office; registry data; shared office; shared workstation; sick leave; workability.

The change from cellular offices to open-plan and shared workspaces is a common trend in contemporary working life (1-3). Previous research has established associations between office design and outcomes such as health, well-being, and self-reported sickness absence (4-6). A prospective study of Swedish employees found a significant excess risk of short- and long-term self-reported sickness absence for employees working in open-plan workspaces (7). Similarly, a study from Denmark found that occupants of a shared office and those working in an open-plan

workspace had significantly more days of self-reported sickness absence than occupants of cellular offices (8). Explanations for why open-plan or shared workspaces are risk factors for sickness absence are reduced personal control and privacy, increased noise, higher risk of infections, and different group dynamics when compared to cellular offices (7).

As the majority of previous studies on the health outcomes of office designs have been based on self-report survey data, often with cross-sectional designs, the potential for causal inferences is limited. There is, therefore, a need for prospective studies that link office designs with objective absence data (7, 9).

Using official registry data on sickness absence, this study determined the impact of three different office designs (cellular office, shared office, and open-plan workspace) on the risk and number of days of medically certified sickness absence over a 12-month time period. Following the findings from self-report studies, it was expected that employees in shared offices and open-plan workspaces would have both higher risk medically certified absence and more days of sickness absence compared to employees in cellular offices.

## Methods

### Study design

This study was a part of the research project "The new workplace II: work factors, sickness absence, and exit from working life among Norwegian employees". The study protocol provides a full description of the research project, procedure, and data material, including demographic information (10). The project was based on a questionnaire survey combined with official registry data on disability benefits. The survey part comprised data from a large sample of adults employed in a full- or parttime position. Subjects were recruited from organizations in Norway that accepted to participate in the study. All employees, excluding those on sick leave, were mailed a letter that explained the aims of the project and assured that responses would be treated confidentially. The survey was web-based, although participants with limited access to computers were given the option of completing a paper version of the questionnaire.

From November 2004 to 15 December 2014, 97 organizations participated in the project. A total of 31 823 employees were invited to participate in the survey. Altogether 15 282 persons responded (response rate 48%), and 14 501 (95%) respondents permitted linking survey questionnaire data to registry data. About 85% of the respondents answered the questionnaire using the electronic survey form, and about 15% used the paper form. After removing respondents that did not work in an office, the final sample for this study comprised 6328 respondents.

The Regional Committees for Medical and Health Research Ethics (REC) in Norway (REC South East) and the Norwegian Data Protection Authority approved this study, which was conducted in accordance with the World Medical Association Declaration of Helsinki. All study participants provided their informed consent. Only respondents who permitted the linking of their answers to sickness absence registries were included.

### Registry data and questionnaire instruments

Office design was assessed with a single item question that asked "Do you work...." (i) "alone in your own office"; (ii) "in a shared office with one or more colleagues"; (iii) "in an open-plan workspace"; (iv) "in a shop/service station etc."; (v) "in a treatment institution"; (vi) "outdoors"? Respondents who reported the last three alternatives were not included in this study as they do not work in an office.

The general rules for sickness absence in Norway provide employees with the ability to self-declare sickness absence for <3 continuous calendar days at a time. Sickness absence for >3 calendar days must be certified by authorized medical personnel and are reported to the Norwegian Labour and Welfare Administration (NAV). Self-declared sick leave can be used four times in the course of a 12-month period.

We accessed information on medically certified sickness absence from the Norwegian Labour and Welfare Administration (NAV). The registry provides complete registrations of all medically certified sickness absence. The current study had access to data on total number of days with medically certified absence over a 12-month period, but not on the number of absence spells, duration of spells, or medical diagnosis. Hence, the analyses included whether or not the respondents had >1 instance of medically certified sickness absence and the total number of

days over a 12-month period. The registry should be accurate since correct registration is required for the transfer of payments by the social insurance scheme.

We aggregated data on sickness absence over the 12 months following the survey. Registry information of sickness absence was linked to the survey data by the unique 11-digit national individual identity number. The time period the employees were eligible for sickness absence was considered the same for all respondents within each company, starting from the day the electronic forms were closed. The registry was checked for inconsistencies. Overlapping or duplicate spells of sickness absence were merged.

#### Statistical analysis

Data were analyzed with Stata 15.1 (StataCorp, College Station, TX, USA). Risk ratios (RR), incidence rate ratio (IRR) and 95% confidence intervals (CI) were calculated with a negative binomial hurdle (NBH) model. The NBH model is capable of capturing both over-dispersion and excess of zero-values (11) and allows for analysis of data in a two-part process. First, a log-binomial regression analysis estimates the RR of having >1 day of medically certified sickness absence. Second, a zerotruncated negative binomial analysis produces IRR for the number of days absent among the sub-sample having medically certified sickness absence. Interaction analyses were conducted to determine whether the associations between office design and sickness absence were moderated by the following demographical factors: gender, age, having leadership responsibility, and educational level. All analyses were adjusted for survey year. In order to determine the impact of non-independency of observations due to cluster sampling, analyses were conducted both with and without adjustment for organizational affiliation.

#### Results

Demographic characteristics of participants by office type are presented in table 1. Altogether 56.5% of the sample worked in a cellular office, 27.1% worked in a shared office, and 16.5% worked in an open plan workspace. Based on official registry data, 38.8% of the sample had medically certified sickness absence during the 12 months following the survey. The average number of days with absence the year following the survey was 21.65 [standard deviation (SD) 61.40; median=0; mode=0, range 0-365]. Table 1 displays the findings from the NBH model on direct effects of office design on subsequent medically certified sickness absence. Adjusting for survey year (RR 0.99, 95% CI 0.98-1.00), employees working in a shared office (RR 1.18, 95% CI 1.10-1.27), and an open-plan workspace (RR 1.12, 95% CI 1.02-1.22) had significantly higher risk of having had >1 instance of medically certified sickness absence when compared to employees working in a cellular office. Type of office design was not related to the number of sickness absence days.

Analyses were replicated with adjustment for organizational affiliation. The findings were consistent with the main analyses. Employees working in a shared office (RR 1.18, 95% CI 1.10-1.27) had a significantly higher risk of having medically certified sickness absence compared to employees working in cellular offices, whereas the difference in risk of absence between open-plan workspaces and cellular offices was borderline significant (RR 1.12, 95% CI 1.00-1.26) after adjusting for organizational affiliation. Office design was unrelated to the number of days with the absence also when adjusting for organizational affiliation.

Sensitivity analyses with shared offices and openplan workspaces, respectively, as reference groups confirmed that employees in shared offices and openplan offices had a significantly higher risk of absence compared to employees in cellular offices. There were no differences in absence risk between employees in shared offices and open plan workspaces (see supplementary material, [www.sjweh.fi/show\\_abstract.php?abstract\\_id=3859](http://www.sjweh.fi/show_abstract.php?abstract_id=3859)).

A series of interaction analyses were conducted to determine whether the magnitude of the associations between office design and sickness absence were conditioned by demographical background variables. The results from these analyses showed no significant interaction effect between office design and gender, age, having leadership responsibility, and educational level as moderator variables with regard to risk of, and number of days with, sickness absence.

#### Discussion

The results from this registry-based study show that, compared to employees working in cellular offices, those working in shared offices and open-plan workspaces have an 18% and 12% higher risk, respectively, of medically



certified sickness absence. There were no differences in the number of days of sickness absence between the office designs.

The magnitude of the associations were consistent across several demographic factors, indicating that shared offices and open-plan workspace designs are associated with higher risk of sickness absence irrespective of age, gender, leadership responsibility, and educational level. The findings are in line with previous studies that have found significant associations between office design and self-reported sickness absence (7, 8), and suggest that the use of shared offices and open-plan workspace designs may be considered as risk factors for sickness absence. Although shared workspaces may be cost-effective in some areas, the increased risk of sickness absence in shared and open-plan offices suggests that employers, employees, and society in general may be paying a significant price in the form of more health problems and higher absence rates (9).

The prospective study design, large sample size, and use of official registry data to measure sickness absence are strengths of this study. As data was collected over several years (organizations participated at different time-points between 2004-2014, but all employees within the same organization responded at the same time and their data were analysed in a 12-month period consistent with the registry data), we can be relatively sure that factors such as seasonal variation and economic trends had little impact on the examined associations. There were no changes in national regulations of sickness benefits during the survey period that would have influenced our findings. While the mode and the median values for days of absence were zero, the arithmetic mean was 21.65 days. This relatively high value indicate that the overall mean, as compared to mode and median values, could be inflated due to inclusion of some respondents with long-term absence. Although the survey had a response rate in correspondence with the estimated average for organizational surveys (12), altogether 52% of invited respondents did not participate to the questionnaire survey. The external validity of the findings may therefore be questioned. While the sample was large, the non-random recruitment of participating organizations limits the external validity of the findings. However, there was probability sampling at the individual level as all employees in the participating organizations were invited to participate in the survey (13).

Another limitation of this study is that we did not have access to information about number of employees sharing offices or workspaces, diagnoses for sickness absence, and the length of each absence incidence. Results with stronger validity would have been obtained if this kind of information had been included in the analyses.

This kind of information could have important practical implications and should therefore be included in future studies on the associations between workspace design and sickness absence. Previous research has established organizational characteristics, such as ethical culture, as a risk factor for sickness absence (14). In the current study, the findings of shared offices and open-plan workspaces as predictors of sickness absence remained consistent after adjusting for the respondent's organizational affiliation, thus indicating that the established associations were consistent across the participating organizations. Although we found that the associations between office design and absence were consistent across some demographical factors and organizational affiliation, it is likely that other factors, such as specific psychological, social, and physical factors (15-17), type of occupation, and the personality characteristics of the workers, could influence the magnitude of the associations. Despite these limitations, our findings indicate that shared offices and open-plan workspace designs are associated with an increased risk of medically certified sickness absence when compared to cellular offices. Providing employees with the opportunity to use cellular offices may therefore be beneficial with regard to reducing sickness absence rates.

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#### Conflicts of interest

The authors declare no conflicts of interest.

## Sidebar

Little is known about how office design influences the health, well-being, and workability of employees. Using official registry data on sickness absence, this study shows that employees working in shared- and open-plan offices have a significantly higher risk of having medically certified sickness absence compared to employees working in cellular offices.

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### Additional material

Please note that there is additional material available belonging to this article on the Scandinavian Journal of Work, Environment & Health -website.

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### Footnote

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# Applying two general population job exposure matrices to predict incident carpal tunnel syndrome: A cross-national approach to improve estimation of workplace physical exposures

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## ABSTRACT (ENGLISH)

**Objectives** A job exposure matrix (JEM) is a tool to estimate workers' exposure to occupational physical risk factors. We evaluated the performance of two general population JEM (CONSTANCES and O·NET) to detect known exposure-disease relationships in an American prospective cohort study. We compared exposure estimates from three data sources and explored whether combining exposures from these two JEM, or combining exposure from each JEM with individual-level measures, improved prediction of carpal tunnel syndrome (CTS).

**Methods** Using Cox proportional hazard models, we evaluated relationships between physical work exposure and incident CTS of 2393 workers using JEM-assigned and individual-level measure exposure information. We

compared exposure estimates using Spearman's rank correlation and Cohen's kappa. We compared combined exposure models to single source exposure models by using binomial logistic regression and examined differences based on model fit and performance.

**Results** The O-NET JEM [hazard ratio (HR) range 1.3-2.01] demonstrated generally similar exposure-disease associations as individual-level measures (HR range 1.00-1.42); we found fewer associations with the CONSTANCES JEM (HR range 1.08-2.05). Comparisons between the three sources showed stronger correlations and agreement at the job versus worker level. Combined models improved goodness-of-fit and had lower Akaike information criterion (AIC) values compared to single-source models.

**Conclusions** JEM can be applied cross nationally and there is potential to combine complementary exposure methods to improve estimation of workplace physical exposures in the prediction of CTS. More investigations are needed to explore exposure-disease associations in other samples and combinations of exposure data from different methods.

## FULL TEXT

### Headnote

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**Conclusions** JEM can be applied cross nationally and there is potential to combine complementary exposure methods to improve estimation of workplace physical exposures in the prediction of CTS. More investigations are needed to explore exposure-disease associations in other samples and combinations of exposure data from different methods.

**Key terms** assessment injury prevention; CTS; ergonomics; exposure-risk; JEM; job exposure matrix; musculoskeletal disorder; MSD; occupational health; risk.

Workplace exposure assessment is necessary for effective assessment and prevention of health conditions that may be affected by occupational factors including physical (biomechanical) exposures. A job exposure matrix (JEM) is an efficient tool to estimate workers' exposure to occupational risk factors by using job titles, industry information, and job-level exposure data. There has been considerable international interest in constructing JEM to estimate physical exposures for the study of workrelated musculoskeletal disorders (MSD), leading to recent JEM created in Denmark (1), Norway (2), Finland (3, 4), the United States (5, 6), and France (7).

Several studies have validated physical exposure JEM and their association with various MSD, including low-back pain (3), hip and knee osteoarthritis (8, 9), carpal tunnel syndrome (CTS) (10), and subacromial impingement syndrome (11). More recently, we (12) validated a JEM (O-NET JEM) based on the American Occupational Information Network data (O-NET, [www.onetonline.org](http://www.onetonline.org)) by comparing exposure-disease associations for incident CTS in a well-studied cohort of US workers (13). Exposure-disease associations obtained using physical exposures estimated from a JEM were similar to associations obtained from observations of individual workers (12). In a cross-

national comparison of exposure estimates, we compared a French JEM based on self-reported physical exposures from a large cohort study (CONSTANCES - Cohorte des consultants des Centres d'exams de sante) (14, 15) with the American O-NET JEM based on exposure information provided by expert job analysts and from surveys of workers in different jobs. We found that exposure estimates from these two general population JEM were strongly related, suggesting that results obtained from different general population JEM were likely to be comparable. In some circumstances, it might be reasonable to combine exposures from different JEM to provide better estimates of some exposures (16).

The objectives of this study were to evaluate the ability of the CONSTANCES and O-NET JEM to detect known exposure-disease relationships in a large US prospective cohort study and to explore whether combining exposure variables from multiple sources of exposure information improved the prediction of health outcomes (figure 1). As an extension of our previous study (12), we first evaluated the predictive validity of the CONSTANCES and O-NET JEM by testing their ability to reproduce known exposure-disease associations obtained from individual-level measures (Aim 1). To further examine the relationships between the three sources of exposure data, we then compared associations and agreement of exposure estimates between the CONSTANCES JEM, the O-NET JEM, and individual-level measures obtained from a large US prospective cohort study (Aim 2). Finally, we compared multivariable models combining exposure data from both JEM and observations to determine whether a combined exposure variable model predicted CTS better than a model containing exposure data from a single source (Aim 3). To our knowledge, this is the first study to explore the combination of exposure variables from two general population JEM and individual-level measures in the prediction of MSD. A general population JEM that produces similar exposure-disease associations to individual-level measures, and which can serve as a strong complement to existing JEM, will be an effective tool to study the effects of workplace physical exposures on a variety of health conditions.

## Methods

### Individual-level measures

Cohort study methodology. Pooled exposure data were obtained from six prospective cohort studies conducted as part of the NIOSH upper-extremity MSD consortium. This cohort has been thoroughly described in previous studies (12, 13, 17-19). In brief, 4321 workers were recruited across six study sites and followed between 2001 and 2010. All study participants were full-time employees, >18 years of age, recruited from jobs that involved hand-intensive activities, and employed in manufacturing, production, service, and construction industries. Ethics approval was provided by the respective institutional review boards for each study and written informed consent was obtained from all participants.

Both hand/arm exposures and the health outcome (CTS) were assigned at the worker level. All study participants completed baseline questionnaires and underwent physical examinations, which included median and ulnar nerve electrodiagnostic tests. We defined incident CTS as (i): symptoms of tingling, numbness, burning or pain in the thumb, index finger or long finger, and (ii) abnormal electrodiagnostic tests consistent with median neuropathy at the wrist (12). Work exposure assessments were performed for each individual, consisting of interviews to identify primary work tasks, video recordings of workers performing typical work tasks, and worker and analyst-rated estimation of hand forces required to perform each task. Physical exposure variables were relevant to MSD risk, including force, repetition, hand/ wrist posture, and hand/arm vibration.

Individual-level measure variables. Peak hand force required for a task was assessed using the Borg category ratio 0-10 (CR-10) rating scale (20) and was obtained from both worker estimates [peak hand force (worker rated)] and from trained analysts [peak hand force (analyst rated)]. Video recordings of work tasks provided estimates of duty cycle, for all exertions in a task (duty cycle for all exertions) or for exertions requiring significant force (duty cycle of forceful exertions). Forceful exertions were defined as pinch force >9 N, or power grip force >45 N, or a Borg CR-10 rating of >2; estimates of force was based on measurement of the force required for the task, the weights of parts or tools, or from force matching. Repetitiveness of tasks were estimated using hand activity level (HAL) ratings, which were calculated using Latko et al's (21) 0-10 verbal anchor scale determined by analysts who assigned ratings by



observation in the field and from video analysis of worker tasks. Other temporal exertion patterns for repetition was determined by detailed time studies of video recordings for all exertions (repetition per minute for all exertions) and for significant exertions (repetition per minute for forceful exertions). The American Conference of Governmental Industrial Hygienists (ACGIH) threshold limit value (TLV) for HAL was calculated to provide a single composite index value from a combination of job physical exposure factors (force and repetition) (22). Both worker- and observer-estimated TLV were calculated using the equation score of  $TLV = \text{peak force}/(10 - HAL)$ , using worker- or analyst-rated peak force, and the analyst-rated HAL. Finally, using video recordings of work tasks, we calculated the percentage of time in wrist extension (>50 degrees) or flexion (>30 degrees). Workers who performed multiple tasks in their job, or their supervisors, provided estimates of the proportion of time spent in each task. We calculated a time-weighted-average (TWA) exposure to create a single exposure variable measure that accounted for the proportion of daily work time in each observed task.

**CONSTANCES job exposure matrix.** A general population physical exposure JEM was constructed from self-reported data obtained from CONSTANCES, a large cohort study of French salaried workers (14, 15). Details of the creation of this JEM have been described in Evanoff et al (7). Briefly, in CONSTANCES, the duration of performing specific activities of a given frequency or intensity were self-reported for the current job using a 4- or 5-point ordinal scale; physical intensity was assessed with Borg's rating of perceived exertion (RPE) scale. The CONSTANCES JEM focused on 27 physical risk factors relevant to MSD and used data from the first 81 425 CONSTANCES participants. Reported job titles were assigned a 4-digit PCS (profession et categorie sociale - profession and social category) job code using the SiCore automated coding system (23). When required, job codes were grouped with similar codes to ensure all PCS job codes had a minimum of ten valid responses for each of its 27 physical risk factors. The resulting JEM was comprised of 27 physical risk factors assigned to 407 different 4-digit PCS codes among 35 526 eligible CONSTANCES participants after excluding participants who (i) were not currently working, (ii) did not report a job title, (iii) were not assigned a PCS job code through automatic coding, or (iv) had missing exposure data.

**O·NET job exposure matrix.** A JEM was created using physical job demand data obtained from O·NET (version 21.2), a publicly available American database of more than 800 occupations. Estimates of job demands, pertaining to the frequency and intensity, were provided by expert job analysts and from self-reported exposures by individual workers across different jobs. Job demands in O·NET were scored on a 5- or 8-point ordinal scale with exposure-specific descriptive anchors (16). Occupations from O·NET were assigned a standard occupational classification (SOC) job code.

#### Assigning JEM exposure estimates to individual workers

From the 4321 original pooled consortium study cohort, we excluded prevalent cases of CTS at baseline and removed subjects with no follow-up measurements, workers who did not have detailed data necessary for individual assessment, and workers who had missing covariate data, leaving 2393 workers for analysis of CTS incidence. This analyzed cohort was identical to a previous study on exposure-disease associations that used a smaller set of O·NET exposure variables (12). SOC codes were assigned to each job for each worker using information about the worker's current job (ie, job title, company name, job start date and work-related tasks) collected at baseline (12). The job title selection feature provided by O·NET assisted in assigning SOC codes to match primary tasks reported by the worker and from employer information. Two raters, blinded by case status, assigned job codes independently, with differences resolved by consensus. For assigning exposure values using the CONSTANCES JEM, we created a crosswalk to match French PCS codes with American SOC codes based on similarity of work physical exposures (16). French PCS codes were first matched to three-digit ISCO-88 (International Standard Classification of Occupations) codes using the Codage Assisié des Professions et Secieurs d'aciiviié (CAPS) and an existing French auto-coding tool (24). ISCO-88 codes were then matched to ISCO-08 codes using an existing crosswalk from the International Labour Organization (25). Finally, ISCO-08 codes were matched to American SOC codes using an existing crosswalk from the US Bureau of Labor Statistics ([www.bls.gov/soc](http://www.bls.gov/soc)). The mean CONSTANCES ordinal score for each exposure variable was then assigned to each participant's SOC code. A similar process was

performed when assigning exposure values using the O·NET JEM. The mean O·NET ordinal score for each exposure variable was assigned to each consortium participant. For every exposure variable, each of 2393 participants was assigned an exposure value from the individual-level measures, an exposure estimate from the CONSTANCES JEM, and an exposure estimate from the O·NET JEM. We focused on exposures relevant to incident CTS: 11 exposure variables from the CONSTANCES JEM, 8 variables from the O·NET JEM, and 11 variables from the consortium study (supplementary material: [www.sjweh.fi/show\\_abstract.php?abstract\\_id=3855](http://www.sjweh.fi/show_abstract.php?abstract_id=3855) tables S1 and S2). Variables such as "stand" and "work outdoors" were excluded from analysis as they were not expected to be related to CTS. Dale et al (12), reported exposure-disease associations for CTS using a subset of physical exposures from O·NET (12); in this study we examined a larger set of O·NET variables.

#### Statistical analysis

**Aim 1: Physical exposures and incident CTS.** We computed Cox proportional hazard models to evaluate relationships between baseline physical work exposure and incident CTS. We determined hazard ratios (HR) and 95% confidence intervals (CI) adjusted for age, gender, body mass index (BMI), and study site. Each model included a single physical work exposure from the CONSTANCES JEM, O·NET JEM, or individual-level measurement. Since exposure data from all sources were expressed on different scales, we examined a dichotomous exposure model where values were split at the median value (high versus low), in addition to continuous exposure models (per 1-unit increase). We applied robust sandwich estimators (26) to account for intra-cluster dependence within each model.

**Aim 2: Comparison of exposure estimates between JEM and individual-level measures and between JEM at the worker and job-level.** We carried out two comparison analyses: Spearman's rank correlation coefficient and Cohen's kappa. We matched a priori similar exposure variables in order to compare exposure estimates between JEM and observation. Matched exposure variables assessed similar ergonomic risk factors (ie, force, repetition, posture, duration). For the O·NET JEM, we matched >1 of 8 O·NET variables to 11 consortium variables, resulting in 41 matched pairs (supplementary table S1). For the CONSTANCES JEM, we matched >1 of 9 CONSTANCES variables to 11 consortium variables, resulting in 54 matched pairs (supplementary table S2). Between CONSTANCES and O·NET JEM, we matched 8 O·NET variables with 9 CONSTANCES variables, resulting in 28 matched pairs (16). Job title data represented 130 unique American SOC codes matched to 77 unique French PCS codes.

We assigned exposure values from both JEM and from individual-level measurement to each of 2393 workers, and calculated Spearman correlations and Cohen's kappa at the worker level between: (i) O·NET JEM and consortium exposures, (ii) CONSTANCES JEM and consortium exposures, and (iii) CONSTANCES and O·NET JEM. We also performed the same comparisons at the level of the job within the 130 job codes contained in the consortium data. When calculating Cohen's kappa, we dichotomized physical exposure estimates from the CONSTANCES JEM, O·NET JEM, and consortium individual-level measure exposure data at their respective median physical exposure levels. We interpreted our Cohen's kappa calculation as the level of agreement in categorizing high and low exposure groups between exposure methods for each paired exposure variable.

**Aim 3: Comparison of models consisting of CONSTANCES, O·NET, and individual-level measures in predicting incident CTS.** Our third aim was to compare models based on their performance, including measure of goodness-of-fit. In order to compare models, we used binomial logistic regression with research site as a random intercept; there are few readily implemented tests for goodness-of-fit for Cox proportional hazard models (27). Each model included a set of physical work exposures from the CONSTANCES JEM (11 variables), O·NET JEM (8 variables), and consortium individual-level measures (11 variables). For all binomial logistic regression models, we selected a fixed follow-up time of two years for all participants; this two-year period ensured that data from all six study sites were retained in our analysis, leaving 2173 eligible participants for analysis. We excluded all participants who had missing exposure data, leaving 1073 participants.

For the multivariable model analysis, we performed backward selection by Akaike information criterion (AIC) (28), to retain a subset of variables, adjusted for age, gender, BMI, and research site, for models containing CONSTANCES, O·NET, or consortium exposures. For each model, we calculated the c-statistic as a measure of goodness-of-fit of

models with multiple variables from each exposure method.

To explore the prediction of incident CTS by combining variables across JEM or adding JEM data to individual-level measures, we compared an O·NET-only model to O·NET + CONSTANCES, and a consortium exposure-only model to consortium + O·NET and consortium + CONSTANCES. For these combined models, we carried out backward selection by AIC, retaining a subset of JEM variables into a model with our a priori selected covariates (age, gender, BMI, research site) and O·NET or consortium variables identified in the O·NET or consortium variable-only analysis. We computed AIC estimates (29), and the c-statistic, between the O·NET model and CONSTANCES + O·NET model, between the consortium model and consortium + O·NET model, and between the consortium model and consortium + CONSTANCES model. We compared c-statistics by calculating the 95% CI with bootstrapping resulting in a one-sided bootstrapped P-value.

All analyses were carried out with R software (R Foundation for Statistical Computing, Vienna, Austria).

## Results

### Aim 1: Physical exposures and incident CTS

HR for consortium individual-level measures ranged from 1.00-1.42 for continuous models. Of 11 consortium exposure variables, 9 were statistically meaningful, with the highest HR value observed with ACGIH TLV (analyst rated). In analyzing the dichotomous models, 5 exposure variables were statistically meaningful.

All 8 O·NET variables were statistically meaningful in their relationship to incident CTS. HR ranged from 1.31 (95% CI 1.01-1.70) (wrist finger speed) to 2.01 (95% CI 1.55-2.59) (spend time using your hands) in the continuous models. We observed HR ranging from 0.99-1.64 in the dichotomous models where 4 variables were statistically significant.

HR from continuous models were in the range of 1.08-2.05 for CONSTANCES exposure variables (table 1). Of 11 variables, only 2 were statistically meaningful. "Finger pinch" demonstrated the highest HR of 2.05 (95% CI 1.38-3.06), followed by "rotate forearm" (HR 1.44, 95% CI 1.10-1.89). Dichotomous models showed HR between 0.81-1.46, only "repetition" was statistically significant.

In summary, we observed significant exposure-disease associations using O·NET JEM exposure variables to predict CTS in a US worker population; these associations were broadly similar to variables assessed by individual-level measures. We also observed some, but fewer, significant exposure-disease associations using a CONSTANCES JEM to predict CTS in a US worker population.

### Aim 2: Comparison of exposure estimates between JEM and individual-level measures and between JEM at the worker- and job-level

Aim 2 results are reported in supplementary figures S1-4. We provide an example that is indicative of overall trends and briefly describe the supplementary results. In our example, correlation coefficients between worker-assigned CONSTANCES JEM and consortium individual-level measure exposure variables ranged from -0.01 to 0.36 (figure 2a). Among the 54 matched pairs, 9 pairs demonstrated low positive correlations while the remaining 45 pairs were negligibly correlated. Unmatched pairs resulted in negligible-to-low correlations. Between CONSTANCES and consortium variables, Cohen's kappa values ranged between -0.07 and 0.37 (figure 2b). Of the 54 matched pairs between CONSTANCES and individual-level measures, 17 pairs demonstrated fair agreement. Unmatched exposure variable pairs showed low-to-fair agreement.

We also compared O·NET and CONSTANCES JEM exposure variables with consortium individual-level measures at the job level for 130 SOC job codes. Between CONSTANCES and consortium estimates, correlations ranged between 0.06 (negligible) and 0.59 (moderate). Of 54 matched pairs, 27 were moderately correlated (figure 3a). Between CONSTANCES JEM and consortium individual-level measures, 23 matched pairs demonstrated moderate agreement (figure 3 b). Cohen's kappa values ranged between -0.02 and 0.51.

In our results, we found mostly low correlations and slight agreement between matched variables from the O·NET JEM and consortium individual-level measures when assigned to workers (supplementary figures S1a-b).

Comparing CONSTANCES and O·NET JEM exposure estimates assigned to workers, the correlations between matched variables were minimal but agreement was fair to moderate (supplementary figure S2a-b). When

comparing exposure estimates at the job level, correlation and agreement between CONSTANCES JEM, O·NET JEM, and individual-level measure variables were substantially higher (supplementary figures S3a-b and S4a-b).

Aim 3: Comparison of models consisting of CONSTANCES, O·NET, and individual-level measures in predicting incident CTS

The analyzed cohort from aims 1 and 2 was compared to aim 3's restricted sample. We observed similar mean age and BMI between the two analyzed samples (supplementary table S3), however, the restricted sample had a larger proportion of female workers (60.4% versus 52.2%) and a higher incident rate of CTS (4.8 cases per 100 person-years versus 3.9 cases per 100 person-years). The number of SOC job codes represented within the analyzed cohort decreased from 130 SOC codes in the aim 1 and 2 sample to 113 SOC codes in the aim 3 sample; the proportion of workers per job code in each sample showed little difference, with a maximum of 5% (supplementary table S4). Between the two samples, mean physical exposure levels for the 11 consortium variables were similar (supplementary table S5).

We also compared exposure-disease associations between the aim 1/aim 2 full, and aim 3 restricted samples. We computed log-binomial regression odds ratios (OR) for each variable within the three sources of exposure data (supplementary table S6). Generally, in both full and restricted samples, we observed statistically meaningful relationships between exposure variables, within each of the three sources of data, and incident CTS.

Backward selection based on AIC resulted in three retained variables in each of CONSTANCES, O·NET, and consortium models (table 2). We also listed the exposure variables within each combined variable model and compared their performance against a single source model (table 2). For example, the O·NET + CONSTANCES model consisted of five CONSTANCES variables added to the three O·NET job demands. When compared to the O·NET variable-only model, the O·NET + CONSTANCES model had a significantly higher c-index ( $P=0.02$ ) and a lower AIC ( $\Delta=-13.0$ ). We observed similar trends with the combined consortium + O·NET and consortium + CONSTANCES models where c-indices were significantly higher ( $P=0.01$  and  $P=0.02$ , respectively) and the AIC values were lower ( $\Delta=-16.6$  and  $\Delta=-10.30$ , respectively) from the single source model.

In summary, combining exposure variables from two sources of exposure information improved model performance compared to a single source of exposure information. Combining CONSTANCES JEM variables with O·NET JEM variables better predicted CTS than a model of only O·NET variables. Combining either CONSTANCES JEM or O·NET JEM exposure variables with individual-level measures also improved model performance.

## Discussion

There is a growing interest in applying physical exposure JEM to improve prediction of MSD and other health conditions by incorporating exposure variables to cohorts where no other work exposure data exists, or complementing existing sources of exposure information by combining exposure methods. There is also interest in comparing results obtained from JEM developed in different countries. We observed meaningful exposure-disease associations with incident CTS in a US worker population using both the American O·NET and the French CONSTANCES JEM, with a greater number of associations found with the O·NET JEM. Combining exposures from two JEM or combining exposure from a JEM with individual-level measures improved the prediction of CTS in exploratory models.

We previously evaluated a subset of O·NET exposure variables and their relationship with incident CTS (12) and found that O·NET JEM exposure estimates predicted CTS with similar effect sizes as exposure values obtained from individual-level measurement. In this study, we extended this evaluation by including three additional O·NET variables and found similar significant exposure-disease associations using O·NET JEM exposure estimates, particularly with variables related to strength and job demands requiring hand motions. We also evaluated the French CONSTANCES JEM, which includes physical exposures not available through O·NET, including pinch grip, hand or wrist posture, and hand vibration. CONSTANCES exposure variables pertaining to repetition were statistically meaningful in both continuous and dichotomous survival analysis models, while "rotate forearm" and "use vibrating tools" were statistically meaningful predictors in log binomial analyses. Differences between O·NET and CONSTANCES questions and scales might help explain contrasts in the exposure-disease associations



between seemingly similar exposure variables. Generally, O·NET variables address the magnitude or intensity of exposure whereas CONSTANCES variables pertain to duration of performing specific actions at a specific intensity or frequency.

Although we observed meaningful exposure-disease associations with incident CTS using CONSTANCES and O·NET JEM, we observed negligible-to-low correlations and low-to-fair agreement between individual-level measures and both JEM. These results, in part, likely reflected the different scales and methods used to obtain exposure data from CONSTANCES, O·NET, and the consortium study. We previously found moderate-to-high positive correlations and moderate-to-substantial agreement between CONSTANCES and O·NET exposure variables at the job level for 367 job codes (16); in that analysis, each job code was weighted equally. In the current study, we observed higher correlations and agreement between JEM exposure estimates when comparing agreement at the job level than when comparing agreement at the worker level; when assigning exposure estimates at the worker level, correlations and agreement are dependent on the distribution of workers in different jobs within the population. In order to compare with worker level observation, the current study also compared a smaller number of job codes (130 SOC codes and 77 PCS codes) than the previous CONSTANCES to O·NET comparison (367 job codes). The strength of agreement between JEM and other exposure estimates may be influenced by the distribution of the worker population and the number of jobs under study; both of these factors may affect the within-job versus between-job variation in JEM-based exposure estimates.

Differences in constructs between general population JEM provide an opportunity to combine complementary variables into a single multivariable model. Hybrid exposure assessment methods have been used to study prostate cancer risk (30) and shoulder disorders (1). Since the development of MSD is multifactorial (31), relying on a single source of exposure information may not provide the optimal breadth of physical exposure data, and hybrid exposure methods offer the opportunity to improve precision while maintaining the efficiency of a JEM. Our exploratory comparison of multivariable exposure models supports the use of combining data obtained from individual-level measures with JEM data, and combining data from different JEM. Combining exposure variables from a French population JEM with an American JEM to predict CTS in a US worker population improved model performance. Combining JEM variables with individual-level measures also seemed to improve the prediction of incident CTS. We observed decreases in AIC compared to the baseline model, indicating that combined variable models provided better approximations than single source models (29). These findings warrant further research in combining exposure data from different methods to better predict risks of work-related MSD.

Alongside our study strengths, there are several limitations. First, we used a sample size of 2393 workers for aims 1 and 2, allowing for comparisons using the widest range of available job codes and time-to-event analysis; in aim 3, we used a restricted sample of 1073 workers in order to compare predictive models. Despite slight differences in demographics, incidence rate of CTS, and fewer SOC codes represented within the restricted sample, the mean physical exposure levels between the two samples were similar. Second, the crosswalk process for matching French PCS codes with American SOC codes required a multistep process. Existing software and tools assisted with assignment of job codes; however, there is no certainty that crosswalked jobs have the same tasks with similar exposures, nor that job tasks performed in identical jobs in different countries are the same. Furthermore, the number of job codes reflected in this analysis was on a portion of all possible job codes within each country. These differences may have contributed to the fewer exposure-disease associations found using the CONSTANCES JEM. Overall, our results suggest that O·NET JEM and to some degree CONSTANCES JEM can reproduce known exposure-disease associations obtained from individual-level measures. Combining exposure estimates between two JEM and between JEM and individual-level measures improved the prediction of CTS when compared to single source models. Exposure information from a JEM could potentially enrich existing individual-level datasets or complement an existing JEM that might lack particular exposures. These preliminary findings using cross-national JEM are encouraging, but clearly, more investigations are needed to explore exposure-disease associations in other samples and combinations of exposure data from different methods to better predict MSD risk.

Concluding remarks

Both O·NET and CONSTANCES JEM demonstrated meaningful exposure-disease associations with incident CTS. The O·NET general population JEM demonstrated generally similar results as individual-level measures when calculating exposure-disease associations for CTS in the same worker cohort while the CONSTANCES JEM demonstrated fewer associations for CTS. This suggests that these JEM are useful tools for estimating workplace physical exposures in population studies. In exploratory models, adding exposure data from JEM to individual-level measures improved the prediction of incident CTS in our study, as did combining data from JEM from two different countries. These data suggest potential for combining exposure methods to improve the estimation of workplace physical exposures for surveillance and epidemiology studies. The performance of a general population JEM is influenced on the distribution of jobs within the studied worker population; in most cases it is likely that a larger variability of jobs within a studied cohort will improve the ability of general population JEM to demonstrate exposure-disease associations.

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#### Sidebar

General population job exposure matrices (JEM) are useful tools to estimate workplace physical exposures in population studies. The French CONSTANCES JEM and American O·NET JEM demonstrated similar exposure-disease associations as individual-level directly observed exposures. JEM can be applied cross-nationally. Combining complementary variables between two JEM and between JEM and individual-level directly observed exposures improved prediction of health conditions.

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Key terms: assessment injury prevention; carpal tunnel syndrome; CTS; ergonomics; exposure-risk; incident carpal tunnel syndrome; JEM; job exposure matrix; MSD; musculoskeletal disorder; occupational health;; physical exposure; prediction; risk; workplace physical exposure

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#### Additional material

Please note that there is additional material available belonging to this article on the Scandinavian Journal of Work, Environment & Health -website.

Yung M, Evanoff BA, Buckner-Petty S, Roquelaure Y, Descatha A, Dale AM. Applying two general population job exposure matrices to predict incident carpal tunnel syndrome: A cross-national approach to improve estimation of workplace physical exposures. *Scand J Work Environ Health*. 2020;46(3):248-258. doi:10.5271/sjweh.3860

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#### Footnote

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# What is precarious employment? A systematic review of definitions and operationalizations from quantitative and qualitative studies

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## ABSTRACT (ENGLISH)

**Objectives** The lack of a common definition for precarious employment (PE) severely hampers the comparison of studies within and between countries, consequently reducing the applicability of research findings. We carried out a systematic review to summarize how PE has been conceptualized and implemented in research and identify the construct's dimensions in order to facilitate guidance on its operationalization.

**Methods** According to PRISMA guidelines, we searched Web of Science and Scopus for publications with variations of PE in the title or abstract. The search returned 1225 unique entries, which were screened for eligibility. Exclusion criteria were (i) language other than English, (ii) lack of a definition for PE, and (iii) non-original research. A total of 63 full-text articles were included and qualitative thematic-analysis was performed in order to identify dimensions of PE.

**Results** We identified several theory-based definitions of PE developed by previous researchers. Most definitions and operationalizations were either an accommodation to available data or the direct result of qualitative studies identifying themes of PE. The thematic-analysis of the selected articles resulted in a multidimensional construct including the following three dimensions: employment insecurity, income inadequacy, and lack of rights and protection.

**Conclusions** Despite a growing number of studies on PE, most fail to clearly define the concept, severely restricting the advancement of the research of PE as a social determinant of health. Our combined theoretical and empirical review suggests that a common multidimensional definition could be developed and deployed in different labor market contexts using a variety of methodological approaches.

## FULL TEXT

### Headnote

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**Conclusions** Despite a growing number of studies on PE, most fail to clearly define the concept, severely restricting the advancement of the research of PE as a social determinant of health. Our combined theoretical and empirical review suggests that a common multidimensional definition could be developed and deployed in different labor market contexts using a variety of methodological approaches.

**Key terms** employment condition; epidemiology; occupational health; precariat; precarity.

Technological progress, shifts in economies, and an increased mobility of capital and workers over recent decades have transformed employment conditions (1, 2). Labor markets have witnessed a transition where new and more flexible forms of employment are replacing so-called "standard" forms of employment, generally associated with full-time, long-term, and secure jobs with entitlement to benefits (3, 4). While on the one hand, the increase in labor flexibility has been considered to have a positive impact on economic growth, on the other hand, it has contributed to a growth of atypical forms of employment of lower quality with potential adverse consequences, often referred to as precarious employment (PE) (5). PE is increasingly being recognized as a threat to health and well-being of workers and their families (3, 6) and is associated with mental and physical health (7, 8) as well as occupational injury risk (9). Mechanisms by which PE harms workers' health are largely unexplored (10, 11). Some researchers have developed multidimensional models and constructs of PE and examined the pathways and mechanism by which these are associated to health outcomes (6, 12-14).

Despite several attempts, there is no consensus on what constitutes PE and the various constructs or concepts used to describe it have greater or lesser currency depending on country and context (4). There is confusion when it comes to defining PE, as many related terms are used interchangeably: the precariat, precarious employment, precarious work, or simply precarity or precariousness. In the EU, the terms "atypical" or "nonstandard" forms of employment have been used extensively when referring to PE, while in the US the term "contingent work" is more common (4). The lack of a common definition severely hampers the comparison of studies and consequently reduces the applicability of research findings, despite high societal relevance. Leading researchers in the field have called for the development of a common definition based on objective measures (10, 15). PE is a term that has undergone substantial theoretical development and gained international traction over the last decades in many countries and research disciplines. There is a lively debate on how to define it, which is the rationale for limiting our work to PE specifically and deliberately excluding other concepts (16).

In order to support such an effort, we believe that a systematic review across disciplines of the available definitions of PE could lead to a better understanding of how PE is conceptualized and operationalized. Each research field approaches PE differently, therefore a classic Cochrane-style review is not appropriate. A synthesis and analysis of both qualitative and quantitative studies can promote a deeper understanding of this complex phenomenon, which will further advance for subsequent research on PE.

The aim of this study is to investigate how PE has been defined within research by reviewing the literature for definitions and operationalizations of PE and identify the construct's core dimensions in order to facilitate guidance on its operationalization.

## Methods

Before conducting the review, a protocol was adapted from the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines (17). During the process, guidance and standards were also followed from the Cochrane Handbook for Systematic Reviews of Interventions (18). The full protocol can be found as supplementary material A ([www.sjweh.fi/show\\_abstract.php?abstract\\_id=3875](http://www.sjweh.fi/show_abstract.php?abstract_id=3875)). This study is part of a larger project, with a published study protocol (19). Systematic review methods are well-developed when it comes to

quantitative research studies, while methods for systematically reviewing a combination of both qualitative and quantitative research are still emerging and under development (20, 21). In this study, we have drawn on these methods also adding other qualitative methods to achieve our aims (see below).

#### Search strategy and eligibility criteria

The literature search was conducted in two multidisciplinary bibliographic databases: Web of Knowledge (all databases including the Web of Science Core Collection and MEDLINE) and Scopus.

We included any type of original publication (book, journal articles, conference proceedings etc.) of any type (observational, intervention, methodology, theory). Our exclusion criteria were (i) language other than English, (ii) lack of an explicit definition or operationalization of PE, and (iii) non-original research, such as systematic reviews or discussion papers. No restrictions were applied on year of publication, population or research discipline. We did not apply inclusion criteria related to quality of the publication. However, in the analysis, we considered the strength of both theoretical and empirical foundations of the different definitions and operationalizations. The search strategy was constructed using the same key words in both databases and by screening titles and abstracts. The key words were all related to or constructed from "precarious employment", using a spectrum of key words in order to allow a potentially broad inclusion of studies. In order to test the selected key words, pilot searches were conducted prior to the final search. The final search strings were the following:

WEB of Science: TI=(precari· AND (employ· OR job· OR work·)) OR TI=(precariat OR precarity)

Scopus: TITLE(precari· AND (employ· OR job· OR work·)) OR TITLE(precariat OR precarity)

#### Study selection and data collection

Figure 1 illustrates an overview of the selection process of the articles. The two database searches resulted in a total of 1850 records, which were downloaded to EndNote reference manager software. The software was then used to remove duplicates (N=816). Citations were thereafter uploaded to the online Covidence systematic review management software, which is the standard production platform for Cochrane reviews (Covidence systematic review software VHI, Melbourne, Australia). Firstly, this software was used to identify additional duplicates (N=8), leaving 1026 unique records to be assessed. Secondly, the first author screened titles and abstracts for relevance, and 437 studies were excluded because they did not match any of the inclusion criteria, leaving 589 hits to be screened in full-text for eligibility against the predefined exclusion criteria. Full-texts were retrieved through the online library resources. Authors and/or publishers were directly contacted if studies were unavailable through the library. Out of the 589 hits, 476 records were further excluded as not being an original or peer reviewed article (N=220), not stating a definition of PE (N=239) or not written in English (N=17).

Two reviewers assessed and extracted data from the remaining articles (N=113). If inclusion of an article was uncertain at this point, it was kept for the data extraction stage, which was conducted using an online google form developed by the authors, a method successfully used in previous reviews (8, 9). (Supplementary material B.) The form also allowed the reviewers to suggest the exclusion of an article and provide the reason for this. Article review and data extraction of each study was independently conducted by two of the nine reviewers. At this stage, an additional 50 records were excluded, leaving a total of 63 studies for the final analysis (12, 22-83). The main reason for exclusion at this stage was that articles had used PE as a theoretical framework for their study but did not properly define it in their research. In 35 studies, the reviewers had a disagreement with regard to inclusion/exclusion. The first and last authors re-examined and discussed jointly these articles until consensus was reached. All differences in the data extraction were resolved in the same way.

#### Data analysis

Quantitative and qualitative analyses were performed separately on the included articles in order to approach the complex multidimensional nature of PE.

#### Descriptive analysis

Descriptive data on study characteristics were extracted from the articles included in the review. These included: country of study, main outcome of the study, and the full definition of PE including separate extraction of each dimension in case the paper used a multi-dimensional definition. We used these data to present summary statistics



for some important characteristics of the included studies. These were: region, research area, study design, primary outcome of the study (if any), type of PE definition, definition of PE created on an existing one, and number of dimensions of PE.

#### Qualitative analysis

A thematic-analysis approach - a widely used qualitative data analysis method focused on identifying patterned meanings across a data set (84) - was used to analyze the collected definitions and operationalizations, and consequently generate dimensions of PE. This approach involved three main steps with some overlap. In the first stage, after the descriptive analysis, a free line-by-line analysis of each PE definition and its dimension(s) was performed, and these were grouped into similar subthemes. Initially, these subthemes were kept as similar as possible to the meaning and wording of the original definition. In a second step, the subthemes were then examined and broader patterns of meaning were identified, and the subthemes grouped into aggregated themes with short descriptive labels, sought to describe each theme in one or a few words. Finally, several rounds of discussions were held among the authors to reach consensus on which subtheme should be designated to which theme and how these themes should be clustered into what we will henceforth call dimensions of PE. Inclusion or exclusion of the dimensions was then guided and based on a theoretical framework for PE developed by Bodin et al (16).

#### Theoretical framework

We anticipated that our thematic approach could generate dimensions that would fall outside the common understanding of PE and decided, a priori, to apply a theoretical framework to guide our analysis in the exclusion of such dimensions. The aim of the framework is to understand PE as a multidimensional construct where unfavorable features of employment quality accumulate in the same job (16). This framework builds on previous work by several leading researchers in this field (6, 12, 13, 85-87), and locates PE at the level of employment relationships to include salary, working times, contractual relationship and rights. Employment relationships, especially rights, are usually defined by laws, regulations and collective agreements. Social support through family and welfare systems are factors that very well might influence both the bargaining position of the workers as well as feelings of (in)security. As such, we believe that social support and the welfare state could be best seen as contextual or modifying factors. It also follows from our theoretical framework that boring, unsatisfying (88) low-status or hazardous work (89) should be seen as possible consequences of PE but not a defining characteristic. The same holds for health outcomes and job insecurity as a psychological (cognitive and/or affective) phenomenon as well as social precarisation (poverty, etc). This theoretical framework thus includes neither health consequences of work nor the related social or psychosocial concepts that might relate to quality of work. Both employer and employee are seen in broad terms, as these roles can take on a variety of legal and organizational forms in the ever-changing economic environment of gig and platform work, outsourcing and consulting.

#### Results

##### Descriptive analysis

Of the 63 research articles included in the review, the largest number were conducted in Europe (table 1). Canada (N=9) and South Korea (N=9) were the most common countries where studies were based. Other countries where >3 studies took place were Italy (N=6), Australia (N=6), and Spain (N=4). Several studies (N=7) were conducted in multiple countries. Research disciplines were defined a priori in the online google form developed by the authors and an option to add a discipline was given if the discipline was not listed. The most recurrent were respectively public, environmental or occupational health (N=29) followed by industrial relations (N=17), sociology (N=5), and economics (N=3). In all, 43 studies were quantitative observational studies (12, 22-63), while 18 were qualitative studies (64-81). Only one article conducted a mixed-methods approach (83) and one was an historical article (82). In 16 publications, definitions of PE were based on one or several pre-existing definitions in the literature (23, 32, 36, 42, 43, 58, 61-64, 69, 71, 72, 75, 78, 79). These included works by Amable (90), Benach et al (7), Burgess & Campbell (91), Kalleberg (92), Paugam (88), Piore (93), Rodgers (94), Standing (95, 96), Tompa et al (89), Tucker (97), Vives et al (12) and Vosko (98, 99). In the rest of the articles, the author(s) had either developed their own constructs based on theory, with no clear reference to previous work by others (although often inspired), or defined PE based

on the availability of data either with or without reference to theory. When analyzing the number of dimensions used to define PE, 12 studies used only one dimension, 11 studies used two dimensions, while 40 were based on >3 dimensions.

#### Qualitative analysis

In total, our thematic-analysis resulted in five dimensions: (i) employment insecurity (ii) income inadequacy, (iii) lack of rights and protection, (iv) work environment, and (v) health effects and social consequences (table 2). Following the above-mentioned theoretical framework, we considered the definition of PE as dependent on characteristics of the employment relationship. Consequently, the two latter dimensions were excluded from consideration and were considered as possible consequences of PE but not as unique to or defining of such employment (supplementary material C). Thus, in the following sections we first focus on the three included dimensions of PE, derived from 145 extracted subthemes aggregated into ten themes (figure 2), followed by the excluded dimensions.

#### Included dimensions

**Employment insecurity.** In this first dimension, four major recurrent themes were identified: (i) contractual relationship insecurity, (ii) contractual temporariness, (iii) contractual underemployment, and (iv) multiple jobs/sectors. Overall, 73 of the 145 subthemes, focused on different contractual aspects of PE in both qualitative and quantitative studies.

Contractual relationship insecurity was a predominant theme that emerged from the analysis. A person can be directly employed, employed through an agency or self-employed as well as being employed in other indirect ways depending on context. In the quantitative studies, being employed through an agency or being self-employed was usually contrasted to being directly employed (23, 27, 28, 31, 34, 49, 51, 57). From the analysis of the qualitative definitions, it was possible to capture the negative connotations associated with some of these subthemes, although no explicit comparison was made. For instance, being employed through an agency was described as the only choice available and was related to personal negative experiences (67, 82).

The studies investigating contractual temporariness focused on whether the person was employed on a fixed term contract or a permanent contract (25, 26, 29, 30, 39, 40, 47, 48, 52, 53, 56, 57, 82, 100). There was substantial heterogeneity in how these two concepts were defined. Within fixed term contracts, some studies included "on demand" contracts as well as seasonal employment (22, 32, 33, 100). Other times fixed-term contracts were identified by contract duration, with inconsistency as to length, ranging from longer than a month but shorter than a year, to contracts lasting <3 months or only a month (12, 36, 39, 61, 62). Insecurity deriving from fixed-term contracts and not knowing if, for how long or when these contracts were going to be renewed, was the major feeling experienced by the workers and described in the qualitative studies (67, 70, 73, 74, 81). Another way to consider the meaning of a fixed-term contract is to contrast it with the existence of stable job relationship between employer and employee. Giraudo et al (30) distinguished between number of working contracts and number of jobs held by a person in order to capture frequency of job changes, for instance if a first apprenticeship contract was followed by a permanent contract.

Contractual underemployment is represented by part-time versus full-time contracts. In some studies, parttime contracts were operationalized as having a contract guaranteeing <34 or 35 hours per week (39, 53). One study further specified part-time as working <35 hours/ week with a contract lasting >1 month (39). An aspect emerging from the analysis of these subthemes was involuntary part-time working, where part-time employees explicitly indicated that they were unable to find full-time work, therefore they would accept a part-time position (32, 33, 45, 46). Contractual underemployment does not include skill underemployment.

The subtheme multiple jobs/sectors was operationalized differently across studies but was described as either holding >1 contract or job or >1 job in different economic sectors (30, 32, 33, 46). None of the studies specified if these jobs or contracts were held simultaneously or for instance accounted for the total number of jobs held by a person within a year. One study operationalized this variable as any person holding a second job (46), while another further investigated the number of economic sectors in which a person was working and identified precarious workers in three different groups: those who on average work in three, four and more than four different jobs in two

different economic sectors (30).

Income inadequacy. Even though this dimension encompasses only one theme - income level - there is a high heterogeneity in how studies have defined and operationalized this variable. Income level was mainly investigated as hourly wage, monthly salary, or annual income (12, 27, 36, 43, 59-62). One study made a distinction between direct and indirect income in order to be able to differentiate between wage and any supplementary income derived from other sources, such as government transfers and government- and employer-sponsored benefits (75). In all studies, to characterize income inadequacy, a low income level was set depending on the specific context and country, usually relating to national standards for minimum wage, poverty line or median income (44, 54, 58, 59, 78). Qualitative studies described the high feeling of uncertainty and insecurity deriving from a low income, as well as its inadequacy to provide stability (65, 83). They further highlighted physical and mental health effects, as well as poor living conditions related to a low and unstable salary (70, 77). Although "income volatility" was not derived as an explicit theme during the thematic analysis, the included articles highlighted unstable and inconsistent income as related to PE (65, 78).

Lack of rights and protection. Four main themes were related to this dimension: (i) lack of unionization, (ii) lack of social security, (iii) lack of regulatory support, and (iv) lack of workplace rights. These themes emerged in 26 articles with high heterogeneity among definitions and operationalizations in subthemes and, mainly due to diverse socio-economic and legislative contexts across countries.

Lack of unionization was primarily investigated as the existence of trade unions in the specific country under investigation and/or if the employees were in fact covered by a union (27, 58-60). An important feature emerging here is how workers' representation has declined over the years and how, in contrast, unionized workers have less risk of arbitrary dismissal compared to not unionized workers (27, 69).

When it comes to lack of social security, articles often did not specify how they accounted for and distinguish between in-work (employment) benefits and government determined social security benefits (53, 60, 71).

Two studies further distinguished between whether participants received medical insurance and social insurance (44, 60). This goes hand in hand with lack of regulatory support for full benefits, where the effectiveness of labor policies and labor standards were questioned (64, 69, 76, 82). Studies also investigated whether workers had access and/or power to exercise workplace rights such as, protection against unfair dismissal, protection from authoritarian treatment, discrimination or harassment (12, 36, 60, 78, 83). Other studies mainly looked at the effect of lack of workplace rights, from unacceptable working practices, forced labor and inability to demand better working conditions (12, 36, 61, 62, 66, 81).

#### Excluded dimensions

Work environment. This dimension consisted predominantly of themes and subthemes concerning psychosocial work environment such as lack of work-time control (schedule unpredictability) (53, 58, 66, 68, 70, 80), high work demands (long working hours) (42, 43, 46, 53, 66), skill discretion (being able to use and develop one's skills) (42, 45, 46, 53, 60, 65, 68, 72), as well as hazardous physical work environment (58, 60).

Health and social consequences. In this dimension, diverse themes were collected with the common features that they fall outside the realm of employment and work. The two most dominant themes in this dimension were health outcomes and social deprivation (46, 53, 62, 65, 70, 77). Social support was also a theme derived from a small number of studies (62, 67, 77).

#### Discussion

This systematic review shows how PE was defined across 63 studies from four different continents. Three overarching dimensions of PE emerged from the thematic-analysis: employment insecurity, income inadequacy, and lack of rights and protection. These three dimensions should not be interpreted as an attempt to launch yet another multi-dimensional definition of PE but rather as an organized summary of previous and present definitions and operationalizations. From the large number of screened studies, it is evident that researchers usually do not define or operationalize PE, despite being a term without a commonly accepted definition. The most common research discipline included in this review was Public or Occupational Health, where clear definitions of PE as an exposure

are needed in order to design etiological studies of PE's effects on health. On the contrary, the full list of retrieved publications before screening was dominated by labor market studies, sociology and economics. Furthermore, even though most researchers today acknowledge PE as a multidimensional concept, most of the academic and public studies still apply unidimensional definitions or operationalizations, focusing predominantly on income level or employment status where long-term or full-time contracts are considered as non-PE.

Elements for a multidimensional concept of PE have appeared in the literature. Rodgers & Rodgers definition from 1989 underlined the importance that employment instability and insecurity play in PE (94). This is very similar to the derived dimension "employment insecurity", emerged from the reviewed studies that highlighted how contractual employment arrangements evoked feelings of insecurity and instability directed towards the future (67, 70, 73, 74, 81). Many studies looked at contract status as the only indicator for PE (25, 26, 31, 34, 37, 38, 41, 47, 48, 51, 52). We concur on the importance of contract status but suggest that focus should be put on duration of the contract into the future from the time-point it is measured, rather than looking at tenure. When considering multiple jobs/sectors, we further propose that questions measuring contract renewal unpredictability could be developed to account for those on recurrent fixed-term contracts.

The second dimension derived from the analysis - income inadequacy - reflected salaried work, a dimension which has been included with little variation in all theory-based definitions of PE we have come across (12, 27, 36, 44, 54, 58-62, 65, 75, 78). There is however, a debate as to whether income inadequacy should be measured solely on the individual's salaried work and benefits or should also account for either employment-related income protection such as sickness or unemployment benefits and/or household income (54, 65, 75, 101, 102). None of the studies in this review considered income from work-related benefits or insurance (sickness absence, unemployment etc.). Household income however is not determined by the employment relationship and should in our opinion be seen as a social protection scheme with variable importance depending on the context. A second aspect we believe relevant in this dimension, which derived from discussion among the co-authors and not from the reviewed studies, is income volatility. Two qualitative articles included in this review showed the high extent to which having an unstable and inconsistent income when precariously employed, affects someone's life (58, 65). The coherence of income volatility suggests the need to consider how volatile an individual's income is across months and years. Volatility could be very significant when estimating how stable or unstable employment is, and this can be further enhanced by looking at the direction of this volatility (income gain or loss) (103, 104). Studies not included in the review have shown how income volatility may be linked to an increase in job displacement and that it can reflect both unemployment/re-employment transitions, as for instance being in and out of involuntary part-time work (105, 106). While many studies have linked low income and adverse employment change to poor physical or mental health outcomes, income volatility and its public health consequences are yet to be explored (107, 108).

The last identified dimension, lack of rights and protection, is present in many PE definitions that aimed to investigate degrees of employment insecurity, employment quality and precariousness (27, 94, 95, 98). At the end of the 1990s and beginning of the 2000s, PE started to be characterized both as the relationship to instable and flexible employment conditions and by working conditions, such as having a badly paid job or lack of workers' rights (109, 110). The key role and implications of union coverage, lack of social benefits, as well as general employment conditions experienced by the worker have been thoroughly investigated by a variety of authors (91, 94, 111, 112). This dimension is highly dependent on the context, which makes it difficult to operationalize in a way that works for researchers in different countries. A clear distinction should be made between individuals receiving social security based on their employment condition and those who receive it with no regard to their employment status. The qualitative studies included here highlight the need for PE workers to receive protection against unfair and authoritarian treatment - such as unjustifiable dismissal, discrimination, sexual harassment, and unacceptable working practices - the feeling of powerlessness to exercise their workplace rights, and mistrust towards the government for not providing support and transparency (36, 65, 69, 71, 78, 81). Rights and protection comprise an especially complicated dimension when studying informal or migrant work. This aspect needs more attention, particularly when considering that in 19 of 23 European countries under analysis, union membership among

migrants was lower than among nationals (113).

#### Strength and limitations

PRISMA guidelines were followed to the extent possible and a research protocol was developed prior to conducting this systematic review. Even though integrating different types of studies and data within the same review remains challenging, we believe that qualitative research can and should be included in systematic reviews. To do so requires, however, that studies included in systematic reviews are of high quality and reliable and that all relevant research is included and conclusions are evidence-based (114, 115). On the other hand, a limitation is that there is yet little guidance when combining different study types in reviews. Studies written in languages other than English and studies using synonyms or other terminology rather than PE were excluded from this review, which risks missing definitions of PE from some arenas. Nonetheless, the included studies were obtained from many different labor market contexts. The thematic-analysis performed on the data and the generation of subthemes and themes is a process of moving from the text under analysis to a higher level of conceptual abstraction.

This implies that the process is very dependent on the authors, which could be a potential limitation. In order to minimize subjective influence as much as possible, several rounds of analysis were conducted among our research group which includes researchers from several different disciplines and countries.

#### Implications

The debate on PE has become increasingly interdisciplinary and international and varies according to different labor markets, economies, and social systems. There is need for a common understanding of PE in order to understand its role as a social determinant of health. Based on this review, we believe that a common definition is both feasible, attainable, and likely to be useful in several contexts and research methodologies. National political and social contexts do matter when it comes to how and to what extent PE can affect individuals, and this needs to be taken into account. Unconsidered and unchecked, PE could lead to a dynamic transformation of the society as a whole. It is fundamental and important to grasp all its nuances in order to identify groups that are excluded from or find themselves in limbo with respect to being in the labor market. For the foreseeable future, PE is likely to be a permanent feature of our labor market, especially given rapid technological development and its consequences, thus a joint effort across disciplines and countries should be taken in order to keep worker's health as our main goal.

#### Concluding remarks

This systematic review did not aspire to present yet another multidimensional definition of PE, rather it summarizes the definitions previously and presently in use. Despite some differences in definitions and operationalizations across researchers, disciplines and countries, the results of this review show that a common definition of PE is likely attainable.

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#### Sidebar

No recent systematic review has examined definitions of precarious employment in the literature. This review showed how precarious employment was defined across 63 studies from different continents and research disciplines. Three dimensions of precarious employment emerged: employment insecurity, income inadequacy, and lack of rights and protection.

Refers to the following texts of the Journal: 2015;41(4):329-337 2019;45(5):429-443 2018;44(4):341-350 2020;46(3):321-329 2014;40(5):465-472 2002;28(3):191-196

Key terms: definition; employment condition; epidemiology; occupational health; occupational health; precariat; precarious employment; precarity; review; systematic review

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#### Additional material

Please note that there is additional material available belonging to this article on the Scandinavian Journal of Work, Environment & Health -website.



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# Is the association between poor job control and common mental disorder explained by general perceptions of control? Findings from an Australian longitudinal cohort

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## ABSTRACT (ENGLISH)

**Objectives** This study sought to examine the influence of general perceptions of control on the association between job control and mental health. **Methods** We used four waves of data from a cohort of mid-aged adults from the Personality and Total Health (PATH) Through Life Study (baseline N=2106). Key measures included job control and likelihood of experiencing a common mental disorder (anxiety and/or depression). The data were analyzed using longitudinal randomintercept regression models, controlling for a range of potential confounders including general perceptions of control (ie, not isolated to the work context) via a measure of mastery. The analyses isolated the effect of withinperson changes in job control on mental health (apart from between-person differences). **Results** The results show that the effect of job control remained significant after adjusting for general perceptions of control and other confounders. The within-person effect in the model demonstrated that, when workers had low job control, they were twice as likely to experience a common mental disorder [odds ratio (OR) 2.04, 95% confidence interval (CI) 1.53-2.73]. **Conclusions** Individuals' general perceptions of control in life does not account for the association between low job control and poor mental health. The findings add a new layer of evidence to the literature demonstrating that lack of autonomy at work is an independent predictor of employees' mental health. Increasing employee control should be integrated into workplace strategies to promote mental health. **Key terms** Australia; depression; job stressor; mental health; psychosocial; stress.

## FULL TEXT

### Headnote

**Objectives** This study sought to examine the influence of general perceptions of control on the association between job control and mental health.

**Methods** We used four waves of data from a cohort of mid-aged adults from the Personality and Total Health (PATH) Through Life Study (baseline N=2106). Key measures included job control and likelihood of experiencing a common mental disorder (anxiety and/or depression). The data were analyzed using longitudinal randomintercept regression models, controlling for a range of potential confounders including general perceptions of control (ie, not isolated to the work context) via a measure of mastery. The analyses isolated the effect of withinperson changes in job control on mental health (apart from between-person differences).

**Results** The results show that the effect of job control remained significant after adjusting for general perceptions of control and other confounders. The within-person effect in the model demonstrated that, when workers had low job control, they were twice as likely to experience a common mental disorder [odds ratio (OR) 2.04, 95% confidence interval (CI) 1.53-2.73].

**Conclusions** Individuals' general perceptions of control in life does not account for the association between low job control and poor mental health. The findings add a new layer of evidence to the literature demonstrating that lack of autonomy at work is an independent predictor of employees' mental health. Increasing employee control should be integrated into workplace strategies to promote mental health.

**Key terms** Australia; depression; job stressor; mental health; psychosocial; stress.

Globally, mental illness is a key contributor to disability burden (1). In an effort to reduce the prevalence of mental illness, risk factor identification (and prevention) in the workplace has become a major focus of research as well as both government and employment policy (2). Systematic reviews and meta-analyses have consistently found that psychologically stressful employment conditions (including jobs with high demands, low control/autonomy, insecurity, and poor social support) are associated with poorer mental health (3-6). This research field is primarily influenced by Karasek's job demands-control model (7). The model posits that job strain, a state produced by the combination of high job demands and low job control, places employees at an increased risk of health problems. Following on from the job strain model, much of the literature investigating psychosocial job conditions and mental health has focused on combined (rather than single) indicators of psychosocial stress at work. For example, multiple longitudinal studies have shown that high job strain (high demands and low control) precedes declines in mental health (8-12) as do increases in the number of adverse psychosocial job conditions experienced (eg, combined experiences of high job demands, low job control, job insecurity) (13, 14).

Research has also examined the individual indicators of poor psychosocial job quality and, in the case of most indicators including low job control, has reliably shown an association with poorer mental health. For example, a UK study by Stansfeld et al (15) showed an increased risk of psychiatric disorders among those with low decision authority. Bentley et al (16) and Butterworth et al (17) analyzed seven or more waves of Australian panel data and showed that mental health improved with increases in job control over time. A recent UK longitudinal study by Harvey et al (12) found that low job control predicted higher odds of subsequent common mental disorder. While there is a substantial body of research linking low job control with poorer mental health, the possibility of residual confounding remains a major barrier to causal interpretations in longitudinal research (12). There are many non-work related mental health risk factors that may be associated with self-reported job control, including psychological resources and personality characteristics. While research by Stansfeld et al (15) and Harvey et al (12) did control for some aspects of personality (eg, adult hostility and adolescent temperament respectively), very little research has considered the role that perceptions of control more broadly (ie, not isolated to the work context) might play.

Measures of control in the workplace may simply be a proxy for perceptions of control more generally, or alternatively, it may be that control in the workplace is associated with mental health independent of non-work influences. One relevant study by Clark et al (18) used cross-sectional data from the 2007 UK Adult Psychiatric Morbidity Survey to investigate the contribution of both work and non-work stressors to common mental disorders. The findings suggest that the effects of work stressors are not explained by broader non-work stressors such as adverse life events (eg, financial strain, separation/ divorce, domestic violence), caring responsibilities, and low social support. However, this research only used data from a single point in time and did not specifically investigate the contribution of control within and outside the workplace.

The current study aims to fill this knowledge gap by using four waves of Australian community-based data to examine the association between job control (one of the main components in Karasek's job demands-control model) and common mental disorder, independent of a broader sense of control in life. It is important to account for the influence of control more broadly, as without doing so, the effect of job control might simply reflect perceptions of low control over life circumstances more generally rather than being specific to work. This study separated within- and between-person differences in both job control and broader sense of control to show how time-varying change in job

control is associated with change in mental health, adjusted for other residual confounders (eg, between-person differences in job control as well as within- and between-person broader sense of control). The findings are reported according to the STROBE statement for cohort studies (19).

## Methods

### Participants

Participants were from the Personality and Total Health (PATH) Through Life Project, a large longitudinal community survey on individual health and well-being trajectories across the life course. The survey was undertaken by the Centre for Research on Ageing, Health and Wellbeing at the Australian National University (ANU). The sample comprises cohorts of young, midlife, and older adults randomly selected from the Australian Electoral Rolls of Australian Capital Territory and the neighboring town of Queanbeyan (20). The current study was restricted to the midlife cohort who were aged 40-44 years at baseline, and subsequently assessed every (approximately) four years from 2000/2001 (wave 1) to 2012/2013 (wave 4). The participation rate of this cohort at baseline was 65% (2530 participants), where 93% of baseline participants completed the survey at wave 2, 86% at wave 3, and 71% at wave 4 (figure 1). For the first three waves, participants were typically assessed in their own home or at the ANU. They were invited to complete a questionnaire using a laptop computer under the supervision of a trained interviewer. For the fourth wave, participants were invited to complete an online version of the questionnaire and those in the local area were invited to undertake additional face-to-face assessment. All participants provided informed consent to participate at each wave of the study, and each wave of data collection was approved by the Human Research Ethics Committee of the Australian National University.

The current study excluded observations from participants when they (i) were not employed (ie, unemployed or not active in the labor force); (ii) were employed but on long-term leave; or (iii) did not provide information on their status of employment (figure 1). Given the longitudinal focus, participants with fewer than two waves of data were also excluded.

### Measures

**Common mental disorder.** Anxiety and depression were assessed using the Goldberg Anxiety and Depression scales (21) at all four waves. Each scale comprises nine binary items (yes or no) about anxiety or depressive symptoms experienced in the past month. The total score for each measure was computed by summing the number of "yes" responses and dichotomized using the established cut-off points indicative of symptoms of anxiety or depressive disorders (22). A binary measure of common mental disorder was then generated based on the presence of depressive and/or anxiety symptoms. A continuous measure of common mental disorder severity was also calculated based on number of symptoms of anxiety and depression experienced (ranging from 0-18) - this measure was adopted in sensitivity analyses.

**Control at work.** At all four waves, 15 items from the Whitehall II study (23) assessed aspects of job control (eg, skill discretion: "Do you have a choice in deciding how you do your job?"; decision authority: "Does your job provide you with a variety of interesting things?"). These items offered four response categories: 1=often, 2=sometimes, 3=rarely, and 4=never. Aligned with the methodology used in previous studies (17, 24), a score for job control was calculated by combining the average scores for skill discretion and decision authority and then divided into tertiles identifying three groups representing high, medium, and low job control. This approach was similarly used in the Whitehall II study (15). In addition to the time-varying measure of job control, a between-person (ie, time-invariant) measure was computed to identify respondents who experienced low job control at some point over the four waves. This measure was used as indicator of individual susceptibility or vulnerability to experience adverse job conditions.

### General perceptions of control

General perceptions of control assessed by the Pearlin Mastery Scale (25), which was included in all waves.

Perceived mastery is a psychological resource that has been defined as "the extent to which one regards one's life-chances as being under one's own control in contrast to being fatalistically ruled" (25). The items (eg, "There is really no way I can solve some of the problems I have" and "I have little control over the things that happen to me.") offered four response categories: 1=strongly agree, 2=agree, 3=disagree, and 4=strongly disagree. The seven items

were summed and the total score categorized into tertiles, representing high, medium, and low general control. The analyses also included a between-person (ie, time-invariant) measure of general control, derived by calculating respondents average mastery scale score over the survey waves.

#### Other covariates

**Work-related.** Four items from the Whitehall II study (23) assessing aspects of job demands (eg, "Do you have to work very intensively") with four response categories (1=often, 2=sometimes, 3=rarely, and 4=never) were included from all waves. As with job control, we computed the average score for this measure and then used tertiles to identify three groups representing high, medium, and low job demands. One item: "How secure do you feel about your job or career future in your current workplace?" with four response categories ("not at all secure", "moderately secure", "secure", "extremely secure") was used to assess job insecurity. The former two categories were coded as high insecurity and the latter two as low insecurity.

**Sociodemographic and health covariates.** We included other variables that may potentially confound the association between job control and common mental disorders (2628): time-invariant measures from baseline (ie, gender, educational attainment) and time-varying measures from each wave (ie, partner status, occupational skill level, parental responsibilities, childhood adversity, financial hardship, chronic physical health conditions, smoking status, alcohol consumption, and physical exercise).

Seventeen items on childhood adversity were adopted and adapted from the Parental Bonding Instrument (29), the British National Survey of Health and Development (30), the US National Comorbidity Survey (31), and from open-ended responses in a previous cross-sectional study (32). These items comprised six covering parental affection, parental nervous/emotional trouble, and parental drinking/drug problems; two covering conflict in the household and parental divorce/separation; eight covering neglect, authoritarian upbringing, witnessing physical/sexual abuse, as well as verbal abuse, psychological abuse, physical abuse, physical punishment, and sexual abuse by a parent; and one item covering childhood poverty/financial hardship. Participants were categorized as having childhood adversity if they responded yes to any of these items. Current financial hardship was generated based on one item: "Have you or your family had to go without things you really needed in the last year because you were short of money?" Respondents were classified as having financial hardship if they responded yes, often or yes, sometimes to this item.

Chronic physical health conditions including heart problems, hypertension, cancer, arthritis, thyroid problems, epilepsy, asthma, diabetes, and stroke were considered and coded as a summary variable representing the experience of 0, 1, or >2 of these conditions. Hazardous/ harmful alcohol consumption (33) was derived from the Alcohol Use Disorders Identification Test (34) and classified as yes and no. The hours respondents engaged in moderate or vigorous physical exercise per week was assessed by items in the Whitehall II study (35) and categorized into five groups: 0, <1.5, 1.5-3, 3.1-5.5, and >5.5 hours. The categories of covariates not described in detail above are presented in table 1.

#### Statistical analyses

Sample characteristics at baseline and descriptive information for job control and common mental disorder at each wave were reported. Chi-square test was used to assess the relationship between job control and general control. To appropriately model the lack of independence in the data, given repeated measures from the same individuals, longitudinal random-intercept logistic regression models with two levels (occasion clustered within individuals) were used to assess the association between job control and common mental disorder over time (36). These models also facilitate the use all available data (rather than restriction to complete case analysis), and permit the decomposition of time-varying covariates into elements representing within-person change over time and consistent between-person differences (36). The model fitted a fixed (average) regression slope for common mental disorder over time while permitting the intercept to vary between respondents to reflect differences between individuals in their likelihood of common mental disorder. The longitudinal models first included time-varying job control (model 1) and general control (model 2), followed by between-person terms for job control and general control (model 3), and finally other work-related covariates, sociodemographic, and health variables (model 4). Survey wave (a category

term) was included in all models. We also assessed the association of each covariate with common mental disorder, adjusted for survey wave only.

In addition, we compared the model comprising only the main effects of job control and general control with a model that also incorporated the interaction term using the likelihood ratio test. We did the same test for job control and gender, and for general control and gender.

The proportion of observations with missing data on all variables was low, ranging from 0-1.5% (financial hardship was highest). All analyses were conducted using Stata Statistical Software: release 14 (StataCorp, College Station, TX, USA).

#### Sensitivity analyses

Sensitivity tests included repeating the analyses (using negative binomial regression models) with a continuous outcome representing severity of common mental disorder to assess whether the results from these models differed from those obtained from the models with binary outcome of common mental disorder. Another sensitivity test repeated the analyses with a fixed-effect model rather than a random-effect model with betweenperson terms.

#### Results

The study included 2106 participants aged 40-44 at baseline (table 1, see descriptions of sample characteristics by level of job control in table 1 and by level of general control in table S4 in the supplementary material, [www.sjweh.fi/show\\_abstract.php?abstract\\_id=3869](http://www.sjweh.fi/show_abstract.php?abstract_id=3869)). Of these participants, half were male, 20% did not have a partner, 27% did not complete high school, 20% were in low-skilled occupations, 66% had a youngest child aged <15 years, 61% experienced >1 adversity during childhood, and 18% were experiencing financial hardship. In terms of their health, 10% of participants had >2 chronic physical health conditions, 18% currently smoked, 6% consumed alcohol at hazardous/harmful levels, and 19% spent less than an hour doing moderate/vigorous physical exercise per week.

The chi-square test showed a significant relationship between job control and general control [ $X^2(4, N=2092) = 205.38, P<0.001$ ]. However, 17% of respondents who reported low job control also reported high general control. Similarly, 17% who reported high job control also reported low general control, suggesting these two measures were not collinear.

There was movement between low, medium, and high categories of job control and general control over the 12-year period (table 2). The proportion of respondents reporting low job control at any point in time (55%) was greater than the proportion at each wave (eg, 35% at wave 4). The proportion of respondents reporting low general control at any point in time (40%) was also greater than the proportion at each wave (eg, 23% at wave 4). There was also variability over time in common mental disorder. Around 42% of respondents reported symptom levels reflective of a common mental disorder at some point over the survey waves.

#### Job control and common mental disorder over time

Table 3 shows a series of models investigating the association between job control and likelihood of common mental disorder. Job control was significantly associated with common mental disorder in simple and adjusted models, although the magnitude of the effect was attenuated after controlling for general control. After adjusting for all covariates, including between-person differences in job control and general control, the results show that when individuals were in jobs with low control (ie, within-person change) they were at twice the risk of common mental disorder compared to when they held a job with high control (OR 2.04, 95% CI 1.53-2.73). Other variables associated with greater risk of common mental disorder in the final model included current experiences of low general control (eg, within-person effect) and overall average perceptions of general control (eg, between-person effect), high job demands and job insecurity, being female, childhood adversity, financial hardship, having one or more chronic physical conditions, and smoking. Those who had a low skilled occupation appeared at lower risk (although this likely arose from over-adjustment in the final model given the opposite pattern was evident in the univariate analysis). In all models, there was a significant effect of time, suggesting that the odds of common mental disorders decreased after baseline. The interactions between job control and general control, between job control and gender, and between general control and gender were not significant.



## Results from the sensitivity analyses

In sensitivity analyses we observed a similar pattern of results with the count outcome as when a binary outcome was adopted. A model adjusting for all covariates showed that low job control was significantly associated with greater severity of common mental disorder [incidence rate ratio (IRR) for low versus high job control was 1.19, 95% CI 1.13-1.25]. The same pattern of results was also found using a fixed-effect model (OR for low versus high job control was 2.23, 95% CI 1.59-3.13).

## Discussion

The current study analyzed four waves of community cohort data to examine whether the association between control at work and risk of common mental disorder is independent of general perceptions of control. The findings show that after adjusting for the adverse effect of low perceptions of control generally, being in a job with low control was independently associated with a two-fold risk of common mental disorder. This effect remained after adjusting for between-person differences in (or susceptibility to) low control both within the workplace and more generally, job demands, job insecurity, and sociodemographic and health confounders.

The current study supports previous research indicating that low job control is an important independent predictor of poor mental health. This finding extends existing knowledge from a variety of countries, including Australia (where improvement in job control has been associated with better mental health (16), and in the UK (12, 15). More importantly, the current study makes a unique contribution by showing that the mental health impact of low job control is not simply a measurement artefact, or a subset or reflection of perceptions of low control over life circumstances more broadly. In addition, the separation of within- and between-subject effects in the present study furthers efforts to distinguish the impact of low job control from other residual confounders, including those within and outside the workplace. Together with intervention studies that have shown the mental health benefits of increasing employee control (37), our findings indicate that good job control should be a target for workplace policy and practice. The findings negate concerns that work-based change will be ineffective if broader issues within the personal lives of employees are not addressed.

There are some limitations that should be considered in the interpretation of our findings. First, the sample was recruited from Canberra and Queanbeyan, in Australia. As Canberra is a city that includes many professionals and public servants, (baseline sample comprised of 53% professionals), the results may not be replicated in samples drawn from more disadvantaged communities, nor the broader Australian population. Second, as the study only included data from the path midlife cohort (aged 40-44 at baseline), the findings may not be generalizable to other age cohorts. For example, in adolescents and young adults at the start of their working lives, norms of job control may be lower and have less impact on mental health. Finally, as the measures of job control, mastery, and mental health were self-reported, the data may be either underreported or overreported due to recall bias.

## Concluding remarks

The current study adds to the evidence that low job control is a significant, independent risk factor for common mental disorder, independent of general perceptions of control and other individual differences. The findings underscore the importance of psychologically stressful employment conditions for mental health, and the benefits of considering the workplace as a context for protecting and promoting good mental health.

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## Conflict of interests

Authors declare no conflicts of interest.

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#### Ethics approval

The Australian National University Human Research Ethics Committee approved the PATH Through Life Project: #M9807; #2002/190; #2006/314 and #2010/542.

#### Sidebar

After adjusting for general perceptions of control and a range of other covariates, low job control remained significantly associated with an increased risk of common mental disorder. This strengthens evidence that job control is an important contributor to poor mental health, independent of individual differences. Promoting employee job control is an evidence-supported approach to enhance mental health.

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#### Footnote

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# Although a valuable method in occupational epidemiology, job-exposure matrices are no magic



## ABSTRACT (ENGLISH)

Peters discusses the job-exposure matrices (JEM). JEM are a common method for exposure assessment in occupational epidemiology. A recent review on methods for retrospective exposure assessment in the general population revealed that more than a quarter of the studies on cancer applied a JEM. Where JEM originally assigned exposures at a qualitative or semi-quantitative level based on expert ratings, quantitative exposure estimates can also be derived when measurements are used to calibrate these ratings. A JEM typically consists of job and exposure axes. The major advantage of JEM is that job histories can be translated into specific exposures in a systematic and unbiased way. It is basically a computerized linkage of exposure estimates to job codes, and, as such, JEM represent a highly efficient and reproducible methodology. This way, a standardized exposure assessment within and between studies can be guaranteed, and any misclassification is expected to be non-differential with respect to the health outcome. Once developed, a JEM is relatively easy to apply and less costly than case-by-case expert assessment.

## FULL TEXT

### Headnote

Key terms: editorial; epidemiology; JEM; job-exposure matrix; method; methodology; occupational epidemiology  
Job-exposure matrices (JEM) are a common method for exposure assessment in occupational epidemiology. The first JEM were described in the early 1980s (1,2) and have been used in a wide range of settings ever since. A recent review on methods for retrospective exposure assessment in the general population revealed that more than a quarter of the studies on cancer applied a JEM (3). Where JEM originally assigned exposures at a qualitative or semi-quantitative level based on expert ratings, quantitative exposure estimates can also be derived when measurements are used to calibrate these ratings (4-6).

A JEM typically consists of job and exposure axes. The major advantage of JEM is that job histories can be translated into specific exposures in a systematic and unbiased way. It is basically a computerized linkage of exposure estimates to job codes, and, as such, JEM represent a highly efficient and reproducible methodology. This way, a standardized exposure assessment within and between studies can be guaranteed, and any misclassification is expected to be non-differential with respect to the health outcome. Once developed, a JEM is relatively easy to apply and less costly than case-by-case expert assessment.

These benefits make JEM a particularly useful instrument for exposure assessment in large-scale, general population studies. Such studies (eg, based on register data) permit collection of full occupational histories and enable the study of rare diseases or subtypes. Subjects have typically worked in a wide variety of occupations and industries. Detailed exposure information, which can more easily be collected in industry-based cohorts, is often not available. For register-based cohorts, collected occupational histories are also typically limited to the basic information of job titles and industries. Using JEM, exposures can be assessed for many agents and stressors, based solely on job titles.

The DOC-X project is a good example of the use of register data in occupational epidemiology (7). The large number of subjects - the full working population of Denmark for several decades - offers unique opportunities for studying new associations between a variety of exposures and various health outcomes. Individual data from several databases, including health and labour registries, were linked by a personal identifier. For each cohort member, job

title and industry have been annually registered and coded (7). Subsequently, the project has been linking a series of JEM to this nationwide cohort to assess several kinds of occupational exposures. A couple of these efforts have been published in this issue of the Scandinavian Journal of Work, Environment and Health to assess the association between physical activities at work and acute myocardial infarction (8) and the risk of work-related hand eczema in relation to wet work (9). It is impossible to go back to all individuals in this cohort to collect detailed information on their occupations: firstly because part of the cohort has died over the years but also due to practical reasons given the large numbers. So, by applying JEM, the Danes make the best use of the information available in their registry data.

The ease of using a JEM, by simply assigning exposures based on job titles, also has a flipside. By design, a JEM allocates the same exposure estimates to all workers with the same job title. This aspect represents the main drawback since it is well-recognized that there can be substantial inter-individual variability (10). In other words, a JEM completely dismisses exposure heterogeneity between workers in similar jobs, as has also been acknowledged by the authors of the DOC·X studies (8, 9).

Heterogeneity between workers may be larger for some types of exposures than others, particularly when exposures are largely determined by specific tasks. To illustrate, when assessing welding fumes, job title alone does not provide information on different tasks and circumstances that influence the level and frequency of exposure to welding fumes (11). For exposures related to tasks like welding, decreasing or pesticide application - but also exposures such as shift work or certain psychosocial working conditions - exposure assessment based on job title alone may not be sufficient. Thus, researchers need to critically evaluate for each given exposure, if that exposure can be reasonably assessed on the job group level or if further assessment on the workplace, work unit or individual-worker level is needed.

A large part of heterogeneity is determined by the workers' behavior (12). Although this is virtually impossible to take into account in a JEM, some of the exposure heterogeneity may be accounted for by adding more detail, ie, by expanding the number of axes of the JEM. For example, an industry-axis, a time dimension, or region-, sex- and age-specific estimates may help explain part of the exposure heterogeneity. Importantly, this type of information is also typically available in the large studies where the JEM are being applied to. The JEM for wet work used by Lund et al (9) provided sex-specific estimates. A similar effort has been described for mechanical and psychosocial work exposures in Norway (13).

In addition to within-job (ie, between-worker) variability, exposures may have large temporal (ie, withinworker) variability. Levels can vary from day-to-day or over the course of the work day, the variability of which is not captured in a JEM. When studying acute health effects, for example, short-term high exposures may be more relevant than the annual average or cumulative levels and a JEM may not provide the appropriate assessment.

Many different JEM, in all forms and shapes, have been developed over the last 40 years. Due to the lack of a gold standard, JEM cannot be truly validated. Several studies, however, have described the performance of JEM (14-16). For asbestos, for instance, poor agreement between different JEM has been reported, indicating variable performance (14). Exposure assessment methods can also be evaluated using known associations with health outcomes. Using lung cancer case-control data, comparisons with other methods showed that a JEM for asbestos could outperform self-reported exposure (16) and perform as well as case-by-case expert assessment in a multicenter study (15). Yet, as indicated above, the performance of a JEM will depend on the exposure and effect of interest.

Improvement in the use of JEM could be achieved by harmonizing existing JEM. A harmonized JEM, which provides a standardized exposure assessment across regions and time periods, would be highly valuable for pooled analyses. Such large-scale analyses will offer unique opportunities to study exposure-disease associations that have been understudied until now due to lack of statistical power. JEM will be invaluable instruments to such (exploratory) exercises.

Derivation of exposure-response relations requires quantification of exposure. Development of more quantitative JEM, as first described for the multinational SYNERGY project on lung cancer (4) and the Shanghai Women's

Health Study (6), may further increase the impact of occupational studies. However, a quantitative JEM will still have the same limitation of assuming homogeneity within jobs and large amounts of (measurement) data are needed to develop a quantitative JEM. Data mining techniques could be explored to make better use of existing data sources for high-quality exposure assessment.

The coding of job titles is another major challenge related to the use of JEM. Manual coding of each individual's job history in large epidemiological studies is a very time-consuming task. As a result, many of these studies are not used to their full potential for occupational health outcomes. Further improvement could therefore be achieved by systems that automatically translate free text into occupational codes, increasing the efficiency and feasibility of investigating occupational risk factors (using JEM) in large-scale epidemiological studies. Efforts in developing automatic coding in the US have shown agreements with manual coding of around 50% (17, 18).

Automated coding systems would not be applicable to most registry data, however, since job information is only available as a code. For pooling these registry data with other data, or for application of another JEM, crosswalks between systems can be the solution. In addition to differences between human coders, crosswalks may also introduce disagreement between codes and actual jobs, possibly leading to further misclassification of exposure. Interestingly, within DOC·X it was shown that errors in job coding had limited effect on the exposure assessment of wood dust, lifting, standing/walking, arm elevation, and noise (19). Previous studies also reported that the effects of disagreements in job coding generally diminish in the exposure assessment stage (12, 20).

Specificity is crucial when the prevalence of occupational exposure is low, which is often the case in the general population because possible associations may otherwise be severely underestimated. When exposures are more prevalent, sensitivity becomes more important (12). Since the performance of a JEM is also determined by the between-worker (ie, within job) and between-job variance, the level of detail of the job classification plays an important role. Occupational classification systems have primarily been developed from a social-economic perspective. These systems, either international or national coding schemes, are therefore not necessarily reflecting exposure categories in the best way. Developing new or adjusted coding systems for exposure assessment may help moving the occupational health field forward.

Several research initiatives are currently working on such improvements in the use of JEM, including the Network on the Coordination and Harmonisation of European Occupational Cohorts (OMEGA-NET, [omeganetcohorts.eu](http://omeganetcohorts.eu)) and the Exposome Project for Health and Occupational Research (EPHOR, [www.ephor-project.eu](http://www.ephor-project.eu)).

In conclusion, a JEM can be a very handy tool for exposure assessment in occupational epidemiology, particularly in large-scale studies with limited occupational information. When selecting the most suitable exposure assessment method, however, researchers should always remain critical. Know when a JEM has added value and recognize its limitations.

### Sidebar

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## DETAILS

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# Precarious employment in occupational health – an OMEGA-NET working group position paper

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## ABSTRACT (ENGLISH)

**Objectives** The aims of this position paper are to (i) summarize research on precarious employment (PE) in the context of occupational health; (ii) develop a theoretical framework that distinguishes PE from related concepts and delineates important contextual factors; and (iii) identify key methodological challenges and directions for future research on PE and health. **Methods** This position paper is the result of a working group consisting of researchers from the EU, Turkey and the USA, who have discussed the issue over the course of six months (October 2018-April 2019), meeting both online and face-to-face on several occasions. **Results** The lack of a common theoretical framework of PE hinders it from becoming an established part of occupational and public health research. There are also issues regarding operationalization in surveys and registers. Further, previous research on PE and health suffers from methodological limitations including inadequate study designs and biased assessments of exposure and outcomes. PE is highly dependent on contextual factors and cross-country comparison has proven very difficult. We also point to the uneven social distribution of PE, ie, higher prevalence among women, immigrants, young and low educated. We propose a theoretical framework for understanding precarious employment as a multidimensional construct. **Conclusions** A generally accepted multidimensional definition of PE should be the highest priority. Future studies would benefit from improved exposure assessment, temporal resolution, and accounting for confounders, as well as testing possible mechanisms, eg, by adopting multi-level and intersectional analytical approaches in order to understand the complexity of PE and its relation to health. **Key terms** employment condition; non-standard employment; social determinant of health; working condition.

## FULL TEXT

### Headnote

**Objectives** The aims of this position paper are to (i) summarize research on precarious employment (PE) in the context of occupational health; (ii) develop a theoretical framework that distinguishes PE from related concepts and delineates important contextual factors; and (iii) identify key methodological challenges and directions for future research on PE and health.

**Methods** This position paper is the result of a working group consisting of researchers from the EU, Turkey and the USA, who have discussed the issue over the course of six months (October 2018-April 2019), meeting both online and face-to-face on several occasions.

**Results** The lack of a common theoretical framework of PE hinders it from becoming an established part of occupational and public health research. There are also issues regarding operationalization in surveys and registers. Further, previous research on PE and health suffers from methodological limitations including inadequate study designs and biased assessments of exposure and outcomes. PE is highly dependent on contextual factors and

cross-country comparison has proven very difficult. We also point to the uneven social distribution of PE, ie, higher prevalence among women, immigrants, young and low educated. We propose a theoretical framework for understanding precarious employment as a multidimensional construct.

Conclusions A generally accepted multidimensional definition of PE should be the highest priority. Future studies would benefit from improved exposure assessment, temporal resolution, and accounting for confounders, as well as testing possible mechanisms, eg, by adopting multi-level and intersectional analytical approaches in order to understand the complexity of PE and its relation to health.

Key terms employment condition; non-standard employment; social determinant of health; working condition.

There is a growing recognition of the myriad ways in which employment and work contribute to the health of populations. In addition to traditional occupational hazards, such as dusts, chemicals, injury risks and psychosocial stressors, there is an increasing appreciation of how aspects of the employment relationship, referring to the terms and conditions by which an organization (business, public, or non-profit entity) pays someone to work for them, can be a social determinant of health. Various lines of evidence suggest that the overall quality of employment relationships in developed economies has significantly degraded in the last decades (1, 2). Moreover, because employment quality is commonly associated with sociodemographic profiles, these trends have led to mounting concern about the role of employment in contributing to health disparities across working populations (3). These concerns have been incorporated into a broad conception of an array of employment conditions through the term 'precarious employment' (PE). However, despite the growing use of the term, and the multiple efforts to define this concept, no clear consensus has emerged. Thus, research of the health implications of PE has been hampered.

The EU-funded Network on the Coordination and Harmonisation of European Occupational Cohorts, OMEGA-NET ([omeganetcohorts.eu](http://omeganetcohorts.eu)), recognizes the importance of the issue and supports the development of epidemiological research on PE. This position paper is the result of a working group consisting of researchers from the EU, Turkey and the USA, who have discussed the issue over the course of six months from October 2018 to April 2019. It is hoped that through refinement of the PE concept, a clearer consensus on its operationalization can be adopted for its integration into research on the health of working populations of Europe and beyond. Further, by addressing issues of PE in the context of these large cohort studies, a research agenda can be developed to focus research on questions with the greatest potential for improving the health and well-being of a large number of workers.

Specifically, the aims of this paper are to (i) summarize research on PE in the context of occupational health; (ii) develop a theoretical framework that distinguishes PE from related concepts and delineates important contextual factors; and (iii) identify key methodological challenges and directions for future research on PE and health.

Lack of a common definition of precarious employment

Despite thriving in fields such as economics and sociology, there are still barriers preventing PE from becoming an established part of occupational and public health research some of which have recently been pointed out in an editorial in this journal (4).

There is substantial confusion when it comes to the concept of PE, as many related terms are used interchangeably: 'the precariat', 'precarious work' or simply 'precarity' or 'precariousness'. In the EU, 'atypical' or 'nonstandard' forms of employment have been used widely instead of, or as synonyms for, PE, whereas in the US the term 'contingent work' is more common (5). Another concept used in the occupational health literature is 'employment quality', which refers to the employment conditions and employment relations together (6). Employment quality can be conceived as a continuum, where PE is at the disadvantaged end due to an accumulation of unfavorable facets of employment quality.

Fundamentally, there is no universally accepted definition of PE that can transcend sociopolitical and historical context. Starting with the foundational work of Rodgers & Rodgers in the 1980s (7), four main dimensions of PE were identified: (i) employment instability, (ii) employment insecurity (limited control, collective or individual, over working conditions, wages and place of work), (iii) erosion of workers' protection and (iv) low material rewards. Building on this work, several researchers and institutions have adopted and developed their own definitions and operationalizations and studied these within a public health context (7-12). However, none of these have gained

enough traction from researchers and practitioners to become the "standard definition". Therefore, PE has not been integrated into routine surveillance instruments, such as labor force or working conditions surveys, making longitudinal, population-based studies on the topic rare and international comparison infeasible. From a public health perspective, compared to research on psychosocial work environment launched by Karasek in 1979 (13), research on PE has achieved far less attention as an "occupational health threat" from practitioners and policy-makers. In public health research, PE has often been reduced to 'single dimensions' (of employment quality), such as temporary or part-time employment. Too often, it has been used synonymously for self-perceived job insecurity, a psychological (cognitive and/or affective) phenomenon (14), which we consider a consequence rather than an objective measure of PE.

#### Previous operationalization and measurement

To date, only two validated questionnaires have been developed for the sole purpose of measuring PE in a public health context. Researchers affiliated with the GREDS-EMCONET (Health Inequalities Research Group - Employment Conditions Network) in Barcelona developed the Employment Precariousness Scale (EPRES), which includes six dimensions: "temporariness" (contract duration), "disempowerment" (level of negotiation of employment conditions), "vulnerability" (defenselessness to authoritarian treatment), "wages" (low or insufficient; possible economic deprivation), "rights" (such as paid vacations, parental leave, sickleave benefits and pensions), and "exercise rights" (powerlessness, in practice, not being able to exercise the workplace rights listed previously without obstacles) (11). So far, the EPRES scale has only been employed in studies of working populations in Spain (15, 16), Chile (17), and Sweden (18). The only other purpose-specific survey instrument to measure PE is from Canada. In the longitudinal survey Poverty and Employment Precarity in Southern Ontario (PEPSO) 2011-2014, the Employment Precarious Index was created based on ten questions covering: income level, income security, employment security, schedule predictability, contract type, employment-related benefits, fear of raising concerns at the workplace (lack of rights/vulnerability), and receiving salary in cash (risk factor for undeclared salary in the Canadian context) (19).

Due to the challenges and costs of creating and validating purpose-specific surveys, many studies have attempted to exploit existing data to characterize PE using proxy indicators within labor, economic, health, or social surveys. The list of surveys used to study PE (or related constructs) and health is long; some notable examples include the European Working Conditions Survey (6, 20-23), European Labour Force Survey (24), Gender and Generations Study in Belgium (25), Catalan Working Conditions Survey in Catalonia (16), the US General Social Survey (26), and Canadian Survey of Labor and Income Dynamics (27). All but the last of these are cross-sectional studies, limiting the scientific value of resulting analyses to hypothesis generation and theory development. The few extant examples of longitudinal analyses provide more support for the causal relationship - for example, by controlling for baseline health or examining employment trajectories (27, 28) - but have limited generalizability and comparability to other studies using other sets of questions (29). Although studies employing secondary data analysis are inherently limited, the use of proxies facilitates the development of large-scale and cross-national evidence using existing data sources (20).

Records from government agencies, hospitals, large employers, insurance firms or other organizations can contain employment-related variables, which could be used to operationalize PE. A few studies have used register data to study employees who have a large number of contracts (30), frequent job changes (31), or fixed-term contracts (32-34). Ongoing work to operationalize a multi-dimensional construct of PE in routine register data is under way in Sweden and Denmark, and attempts have also been made in Belgium.

In addition to choice of indicators, several approaches to operationalizing a multidimensional construct of PE are present in the health literature. One approach is to include multiple indicators of PE within multivariable regression analysis (27). This approach thus examines associations between individual indicators and health, while controlling for all others. A second, and the most common approach is to create a composite or summed scale variable, measuring a worker's relative position along a continuum of low to high precariousness (35, 36). This approach can be used to examine whether health is associated with an accumulation of poor employment conditions. A third

approach is to construct a typology of employment arrangements, conceptualizing jobs as packages of employment features and thus allowing for examination whether specific patterns of exposure are associated with health. Studies using a typological approach have most commonly used latent class analysis to model types of employment arrangements (6, 25, 26). We do not recommend a specific approach, but encourage researchers to make careful considerations when designing a study.

#### Precarious employment and health

Despite the limited agreement on definition and operationalization of PE, a growing number of studies have focused on the health effects of PE during the last decades. Recent systematic reviews show that multidimensional indicators as well as various separate dimensions of PE may be linked to an array of health issues including mental and physical ill-health (3, 29) and occupational injuries (37), as well as health-related behaviors such as higher levels of smoking (38) and lower access to healthcare (39). There are also studies showing associations between PE and higher risk of childlessness/postponed parenthood (40) and risk of disability pension (41). One study investigated relations with satisfaction with working conditions (6).

The mechanisms linking PE and health are not yet fully understood (20, 42, 43). Three main pathways have been suggested (i): working conditions with harmful health consequences are more frequently experienced by workers with PE (ii); poor employment conditions associated with PE may lead to adverse health outcomes by limiting worker's control over their professional and personal lives; and (iii) PE may produce incomes below the subsistence level, which may consequently affect various social determinants of health (eg, housing quality, adverse lifestyle etc.) (20).

Investigating the relation between PE and health is complicated because of bidirectional or reverse causation and health selection effects (44). In a recent review of longitudinal studies on PE and mental health, many studies had serious limitations in design, including measurement of exposure and outcomes at the same time-point and lack of appropriate baseline adjustments (29). Because employees may change employment status throughout life - and precarious employees even more so - using only one time-point for exposure measurement may result in misclassification of exposure, a problem which has only been handled in very few studies (28). Further, many survey-based studies suffer from the use of subjective measures of both exposure and outcomes adding to risk of common method bias (occurring eg, when both exposures and outcomes are self-reported).

Applying work-life trajectories would take several of the limitations in previous studies into account and has the potential to create a bridge to sociology, ethnography, and economics, where the process of precarisation is a major focal point of interest.

Although several studies have applied multidimensional constructs, most studies with stated aims to investigate the association between PE and health depend on single aspects of employment arrangements, such as non-standard or temporary employment contracts. Recent reviews have found no overall clear direction of associations between temporary contracts and mental health (29, 45) or occupational injuries (37). Yet, longitudinal studies applying various multidimensional exposure constructs have found stronger mental health effects of PE than those seen when studying parts of the phenomenon as single-item variables (29). This adds to the already strong case for applying a multi-dimensional approach with objective measures (46).

#### Labor market context and welfare regimes

Due to the lack of a common definition, relevant comparisons between countries and continents are extremely difficult. Based on multidimensional operationalizations in surveys in the EU, the prevalence of PE has been found to be higher in Southern and Eastern European countries and lower in the Nordic countries, although the detailed picture is more nuanced (47, 48).

In Anglo-Saxon or "liberal" welfare regimes such as the USA, the term "contingent work" is commonly used instead of PE. However, very few workers fall within this category (49), highlighting the fact that employers in these countries have little need or incentive to create explicitly temporary jobs, since open-ended contracts can easily be terminated. On the contrary, temporary employment is more common in East-Asian welfare regimes such as Korea and Japan (50), which are generally characterized by low levels of governmental intervention and investment in social welfare,

less developed public service provision, and a strong reliance on family and the voluntary sector in welfare provision (51).

A strong welfare system can alleviate some of the effects of PE. However, in some countries with strong welfare systems, PE might increase the risk of not qualifying for social security schemes, as these were built to cater for workers in a "standard employment relation". The lack of social (and OHS) protection for precarious employees could further increase the differences in health and well-being. Strong welfare regimes have also developed in countries with strong unions and labor laws, which further confounds and complicates the study of these issues. Others have called for a systems approach (42), stating this is necessary to understand the interaction between social and institutional regulatory protection and PE.

#### Axes of inequality

Exploring the intersecting axes of inequality among those in PE is crucial to understanding both the social distribution of PE and its differential effects (52). Several studies have found a higher prevalence of PE among women, young workers, manual workers, and immigrants (22, 53). The more of these inequality characteristics that accumulate in the same person, the higher the prevalence of employment precariousness (36). One publication from Chile compared the association between psychological distress and PE and found it to be significantly higher in women (54).

Research gaps persist whether these inequalities in employment relationships contribute to gender differences in adverse health outcomes.

Standing (8) underlines how the migrant population covers a large share of the world's precariat. Studies have further shown how recently arrived migrants are more likely to be engaged in temporary and agency work and to have insecure and poor working conditions (55-57). Recently arrived migrants often have limited access to legal expertise, collective bargaining, and union representation, and consequently end up accepting the most precarious labor contracts, sometimes also informal employment (57-59).

#### Research agenda

Theoretical framework for a common definition. Despite a rapidly growing empirical and theoretical literature, a generally accepted multidimensional definition of PE is lacking - an issue of the highest priority. A critical first step to advancing research on PE and health is conceptual clarity. We propose a theoretical framework for understanding PE as a multidimensional construct where unfavorable features of employment quality accumulate in the same job (figure 1). Thus, conceptualization and measurement of PE for occupational health research occurs at the level of the employment relationship. Examples of important employment conditions that constitute this relationship are level of pay and other non-wage benefits, workplace rights and representation, and length or type of contract. We do not aim to list all possible aspects of employment relations that could be included, rather we encourage an open discussion on that matter.

The types of employment found in a labor market are influenced by global economic, technological, social, and political trends, such as globalization processes and weakening labor representation. These macro-level trends and factors are upstream and antecedent of PE, for example, having contributed to a general increase in the prevalence of sub-contracting, outsourcing, consulting, and newer forms of gig and platform work. Macro-level trends also interact with international and national labor rights legislation, regulations, and collective agreements.

From our theoretical framework, it follows that hazardous, boring or dissatisfying work should be seen as possible consequences of PE, rather than characteristics of precarious work itself. The same holds for psychological (cognitive and/or affective) perceptions of job insecurity, social precarisation (poverty, "life" insecurity, etc.) and adverse health outcomes, which we believe are conceptually on another level, downstream from the PE.

Furthermore, the component of contractual instability, which is more related to job insecurity, could be addressed by measuring type of contract, objective treats to the continuity of employment.

We further highlight the importance of policy and social contexts, which may be key modifying (or moderating) factors that influence the nature of PE in specific contexts, as well as the PE-health relationship but that are not included in measurement of the construct. In other words, the nature and consequences of a given employment



relationship may differ depending on contextual factors like regulatory protections or availability of social insurance, as well as across different sociodemographic groups and workers' social context.

Without a common definition of PE to guide operationalization of the concept, cross-study and crosscountry comparisons and meta-analyses will continue to be elusive.

Future research on PE and health would benefit from (i) an open and interdisciplinary process to reach consensus on a definition of PE and/or employment quality; (ii) guidelines for standardized reporting of data in order to increase the comparability between studies; and (iii) inclusion of a core set of questions into different panel surveys such as the European Working Conditions and Labour Force surveys, etc.

#### Intersectional and multi-level analysis

Using our proposed theoretical framework, we aim to clarify the various relevant levels of analyses, beginning with the level of the employment relationship. Moving upstream, analyses should continue to clarify the antecedents of different employment forms - both across jurisdictions and over time - to inform potential interventions and policy aimed at reducing the prevalence of PE. However, most research in occupational and public health in this area will be oriented downstream of the employment relationship. For instance, further analyses are needed to clarify mechanistic pathways leading to ill health, such as characteristics of the work environment, adequacy of job-related material rewards, and direct psychological impacts from PE relations. Further, it is important to account for policy and social contexts that are likely to modify/moderate these pathways. In particular, theory and evidence suggest that individual experiences of precariousness are heavily influenced by these contexts (52, 60). Ultimately, the goal of occupational health epidemiology is to identify job-related determinants of worker health; thus, distinguishing between the employment relationship and other factors is important to guide workplace and regulatory policy interventions. However, because of the complex and embedded nature of PE within multiple layers of context, we argue that a deeper understanding of the role of PE in producing health will occur when we disentangle the relationships across all of these levels as suggested in previous work (61). This approach differs from other research focused on the level of precarious workers or a precariat social class.

#### Better longitudinal studies for health

Research on PE and health lacks longitudinal studies of high-quality, ie, design, objective exposure and outcome measurement and standardized reporting of results. Thus, future research on PE and health would benefit from:

##### 1. Improved exposure assessment, temporal resolution, and accounting for confounding:

- \* More longitudinal studies on PE (2, 4, 6) and health.
- \* Use of a combination of data sources to minimize reporting bias.
- \* Better resolution of timing of the exposure, eg, by examining employment trajectories. Studying employment trajectories can provide knowledge on how effects of PE accumulate across time, the transient or chronic nature of possible effects, and if these effects are modified by other factors (4).
- \* Better use of register data, providing information that is both objective and repeatedly measured, highly useful for operationalization.
- \* Careful considerations when selecting the sources of data on precarious employees. Precarious employees may be on hourly contracts, which can affect the registration of the outcome, eg, for occupational injuries, when the outcome is registered as days of absence due to injury (6).

##### 2. Mechanisms and mediator studies:

- \* Detailed study on the mechanisms/pathways relating precariousness to (specific) health and well-being outcomes.
- \* Clinical studies with biological sampling should be seriously considered.

##### 3. Studies on other outcomes, such as:

- \* Cardiovascular and respiratory diseases
- \* Associations with biomarkers of which relationship with stress-related diseases is demonstrated
- \* There are very few studies on the relation/coexistence of PE and hazardous work environment, a pathway that should be explored.

Concluding remarks

A commonly accepted multidimensional definition of PE should be one of the highest priorities in the occupational safety and health field. Adopting a multi-level and intersectional analytical approach in future studies is key to understanding the complex processes of PE and their relation to health.

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#### Ethics statement

This position paper does not require ethical approval

#### Conflicts of interest

The authors declare no conflicts of interest.

#### Sidebar

Despite the growing use of the term precarious employment, there is no consensus on a theoretical framework or definition. This hampers the study of the subject, especially in public and occupational health. We propose a theoretical framework for understanding precarious employment as a multidimensional construct where unfavourable features of employment quality accumulate in the same job. Future research should apply an intersectional and multi-level approach to analysis, with a focus on improving exposure assessment and investigating mechanisms.

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# Influence of errors in job codes on job exposure matrix-based exposure assessment in the register-based occupational cohort DOC·X

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## ABSTRACT (ENGLISH)

**Objective** Job-exposure matrices (JEM) may be efficient for exposure assessment in occupational epidemiological studies, but they rely on valid job information. We evaluated the agreement between JEM-based exposure estimates according to self-reported job titles converted to DISCO-88 codes and according to register-based DISCO-88 codes in the Danish Occupational Cohort with eXposure data (DOC·X). Furthermore, we evaluated the agreement between these two sets of DISCO-88 codes.

**Methods** We used JEM regarding wood dust, lifting, standing/walking, arm elevation >90°, and noise from DOC·X. Participants from previous questionnaire studies were assigned JEM-based exposure estimates using (i) self-reported job titles converted to DISCO-88 codes and (ii) DISCO-88 codes registered in DOC·X, in four time periods (1976-78: N=7707; 1981-83: N=2193; 1991-94: N=2664; 2004: N=11 782). Agreement between the exposure estimates and between the DISCO-88 codes (four-digit levels, 1-4) was evaluated by kappa (κ) statistics. Sensitivities were calculated using the self-reported observation as the gold standard.

**Results** We found substantial agreement (κ>0.60) between exposure estimates for all types of job-exposures and all time periods except for one κ. Low sensitivity (30-65%) was found for the period 1981-83, but for the other time periods the sensitivities varied between 60-91%. For individual 4-digit DISCO-88 codes, the sensitivities varied substantially and overall the sensitivities increased by lower digit level of DISCO-88.

**Conclusion** The validity of the DISCO-88 codes in DOC·X was generally high. Substantial agreement was found for

the JEM-based exposure estimates and the DISCO-88 codes per se, although the DISCO-88 codespecific agreement varied across digit levels and time periods.

## FULL TEXT

### Headnote

Objective Job-exposure matrices (JEM) may be efficient for exposure assessment in occupational epidemiological studies, but they rely on valid job information. We evaluated the agreement between JEM-based exposure estimates according to self-reported job titles converted to DISCO-88 codes and according to register-based DISCO-88 codes in the Danish Occupational Cohort with eXposure data (DOC·X). Furthermore, we evaluated the agreement between these two sets of DISCO-88 codes.

Methods We used JEM regarding wood dust, lifting, standing/walking, arm elevation  $>90^\circ$ , and noise from DOC·X. Participants from previous questionnaire studies were assigned JEM-based exposure estimates using (i) self-reported job titles converted to DISCO-88 codes and (ii) DISCO-88 codes registered in DOC·X, in four time periods (1976-78: N=7707; 1981-83: N=2193; 1991-94: N=2664; 2004: N=11 782). Agreement between the exposure estimates and between the DISCO-88 codes (four-digit levels, 1-4) was evaluated by kappa ( $\kappa$ ) statistics.

Sensitivities were calculated using the self-reported observation as the gold standard.

Results We found substantial agreement ( $\kappa > 0.60$ ) between exposure estimates for all types of job-exposures and all time periods except for one  $\kappa$ . Low sensitivity (30-65%) was found for the period 1981-83, but for the other time periods the sensitivities varied between 60-91%. For individual 4-digit DISCO-88 codes, the sensitivities varied substantially and overall the sensitivities increased by lower digit level of DISCO-88.

Conclusion The validity of the DISCO-88 codes in DOC·X was generally high. Substantial agreement was found for the JEM-based exposure estimates and the DISCO-88 codes per se, although the DISCO-88 codespecific agreement varied across digit levels and time periods.

Key terms arm elevation; epidemiology; ISCO-88; JEM; job code error; lifting; metal dust; noise; occupation; shoulder; standing; validity; walking; wood dust.

Since the late 1970s, job-exposure matrices (JEM) have been increasingly used to obtain exposure estimates in occupational epidemiological studies. A JEM is a cross-tabulation of job titles or occupational codes and occupational exposures, preferably for a specific time window (1-4). JEM can be used in large epidemiological studies where methods based on individual interview data, observation, or technical measurements would be very costly. Other important advantages are that JEM can be used to estimate both current and past exposures and minimize the risk of information bias compared to individual-based self-report methods (2, 5, 6).

The validity of occupational exposure estimates assigned to individuals by means of JEM depends on the quality of information about exposures in specific jobs in different time periods, as well as on correct job titles or occupational codes (7). The latter aspect of JEM validity is particularly important when occupational codes are retrieved from national registers, without occupational research as the primary objective. While the validity of exposures assigned by JEM has been examined in a number of publications (8-13), the validity of the job titles and occupational codes per se has seldom been examined (7, 14). Incorrect occupational codes in registers may be the result of erroneous reporting from the primary sources (eg, tax agents, companies) and - if classification systems have changed over time - errors in translation from one classification system to another. Therefore, the validity of registered occupational codes may vary between industries and occupations and across time periods.

The Danish Occupational Cohort with exposure data (DOC·X) is a nationwide cohort for occupational research containing occupational histories in terms of year-by-year codes according to the Danish version of the International Standard Classification of Occupations (DISCO) on an individual level from 1970 through 2015 with ongoing updates. DOC·X is an open research resource that provides opportunities to perform registerbased epidemiological studies of occupational exposures by use of JEM (15). The validity of the DISCO codes in the nationwide registers, which form the foundation of DOC·X, has not been investigated.

The overall aim of this study was to evaluate the validity of DISCO codes in DOC·X. Specific aims were to evaluate

(i) the agreement between JEM-based exposure estimates according to self-reported job titles converted to DISCO codes and according to registerbased DISCO codes in DOC·X; and (ii) the agreement between these two sets of DISCO codes per se.

## Methods

### Danish Occupational Cohort with exposure data (DOC·X)

DOC·X is a nationwide database including 6.4 million residents in Denmark from the age of 16, who have been gainfully employed at a private or public workplace in Denmark from 1970 through 2015 (15-17). The database has been compiled and is updated at a secured platform at Statistics Denmark. The backbone of the database is the information on occupation and industry, which includes calendar specific DISCO-88 codes for each individual based on the 1970 Census (16) and the Employment Classification Module (1976-2015) (17). The Employment Classification Module has used three classifications: (i) a scheme developed by Statistics Denmark based on ISCO-68 (1976-1990), (ii) DISCO-88 (1991-2009), and (iii) DISCO-08 (2010 onwards) (15). In DOC·X, the different coding versions have been harmonized to DISCO-88 codes in a code-by-code manner as described previously (15). The codes vary in detail from 1- to 4-digit levels, of which the last-mentioned is the most detailed. The annual DISCO-88 code for each individual is defined by the job with the highest income during each calendar year. We extracted annual DISCO88 codes by use of the personal identifier (18).

### Population used for validation

From 1976-1994, we used occupational data from the Copenhagen City Heart Study (CCHS). In total, 19 698 men and women from the center of Copenhagen were randomly drawn from the Copenhagen Population Register. The sample was age-stratified within 5-year age groups from 35-70 years of age. All participants completed a self-administrated questionnaire in 1976-1978, including a freeform question about current job title (N=14 223). Follow-up studies with information on job title were completed in 1981-83 (>500 20-25-year-olds) and in 1991-94 (>3000 20-49 year-olds) (19, 20). The proportions that responded were 73.6% at baseline and 70.2% and 61.2% at follow-up. In the beginning of 2016, the job title text strings from the stored questionnaires were digitalized and assigned DISCO-88 codes by three librarians, who worked independently. The codes were cross-checked and a supervising occupational health specialist resolved discrepancies.

For 2004, we used data from the ASUSI cohort of 14 266 men and women, who completed a questionnaire in a population-based study of working environment and sickness absence (ASUSI is a Danish acronym for working environment, sickness absence, premature exit from the labor market, social inheritance, and intervention) (21). Two trained sociologists digitalized the job title text strings from the questionnaires assigned DISCO-88 codes. Only persons who had been in employment for >80% of the time during the previous year or had been employed for 6 out of the 12 weeks preceding 1 July 2004 were included.

### Assessment of occupational exposure intensities

We assessed five types of exposure using four JEM:

Wood dust estimates were assessed using a wood dust JEM based on expert ratings and 12 704 measurements collected in 1978-2007 in wood related industries in six European countries (22, 23). We dichotomized the exposures as non-exposed and exposed because wood dust exposure was rare in the study population.

Lifting and standing/walking estimates were assessed using the Lower Body JEM (24). Five Danish occupational health physicians with a minimum of 10 years of experience rated the exposures. We categorized the lifting exposures as described previously (25-28) (0=nonexposed, 1=medium exposed (>0-<1000 kg/day), and 2=highly exposed (>1000 kg/day)) and divided the exposure estimates for standing/walking into three groups [(0=non-exposed (0 hours/day), 1=medium exposed (>0-5.9 hours/day), and 2=highly exposed (>6.0 hours/day)] according to previously used categories (27, 28).

Work with the arms elevated >90° estimates were assessed using the Shoulder JEM, which is based on expert ratings by five Danish occupational health physicians with a minimum of 10 years of experience (29-32). The expert rated estimates of time spent working with the arms elevated >90° (hours/day) have been validated against technical measurements (13). We divided the exposure estimates according to previously used cut-off value for high exposure

(0=non-exposed, 1=medium exposed (>0-0.4 hours/day), and 2=highly exposed (>0.5 hours/day) (32, 33).

Noise was assessed using the Noise JEM (35, 36), which is based on personal dosimeter measures of occupational noise exposure in the periods 2001-03 and 2009-10 among 1140 workers (1343 measurements) within the ten industries with the highest reporting of noise induced hearing loss according to the Danish Working Environment Authority. The measurements represented 100 occupational titles according to the DISCO-88 system. Four experts rated the noise intensity levels for the remaining jobs using 35 benchmark groups. Their ratings were used to construct an expert score dependent on sex, age, and calendar time (34, 35). We used the categorical variable for noise exposure (0=<80 dB, 1=80-84 dB, 2=>85dB), based on ISO-1999 thresholds (35, 36).

We assigned exposure estimates to individuals in the CCHS/ASUSI cohorts with DISCO-88 codes for which a JEM exposure estimate was available. The estimates were assigned by connecting the JEM with their calendar-year specific DISCO-88 codes based on self-report and their DISCO-88 codes in DOC·X for the specific calendar year.

#### Statistical methods

From both cohorts (CCHS and ASUSI) and each time period, we excluded persons, who stated that they were unemployed or had retired. For each exposure and time period, the final population included only individuals with both sets of DISCO-88 codes and only DISCO-88 codes with >10 self-reported observations (37). Furthermore, we only included observations where JEM-based exposure estimates were available for both sets of codes.

We computed kappa coefficients ( $\kappa$ ) with 95% confidence intervals (CI) for exposures with two exposure categories (wood dust) and weighted  $\kappa$  with 95% CI for exposures with three exposure categories (all other exposures).

Additionally, we in 3x3 tables computed sensitivity (the percentage of true exposure categorizations for the highest exposed individuals) and specificity (the percentage of true exposure categorizations for the non-exposed individuals) based on self-report as the gold standard. This means that the medium exposed groups not were included in the interpretation of sensitivity and specificity. We also assessed the sensitivity and agreement (weighted  $\kappa$ ) between the DISCO-88 codes per se (specificity was not assessed because it would always be very high due to the low frequency of persons in any DISCO-88 group compared to the total number of persons in the study).

Sensitivity was calculated as the percentage of true registrations within each DISCO-88 code digit level (1-4) taking the DISCO-88 codes based on selfreport as the gold standard. In addition to the agreement at 1-, 2-, 3-, and 4-digit levels, we computed weighted  $\kappa$  coefficients by time period (1976-78; 1981-1983; 1991-1994; 2004) at DISCO-88 1-digit level (DISCO-88 major groups). We interpreted the  $\kappa$  coefficients as: <0=poor, 0.00-0.20=slight, 0.21-0.40=fair, 0.41-0.60=moderate, 0.61-0.80=substantial, and 0.81-1.00=almost perfect agreement (38). SAS software, version 9.4, (SAS Institute Inc, Cary, NC, USA) was used.

#### Results

Table 1 presents the number of DISCO-88 codes according to time period, including all digit levels of DISCO88 (based on self-reported job titles), that met the inclusion criteria of minimum ten observations in our final study dataset. These codes represented 29-56% of the total number of codes, including all digit levels of the DISCO-88 system, with the lowest percentage in 1991-94 and the highest in 2004. The number of individuals in each time period is also shown; their distribution across DISCO-88 groups is presented in supplementary table S1, [www.sjweh.fi/show\\_abstract.php?abstract\\_id=3857](http://www.sjweh.fi/show_abstract.php?abstract_id=3857).

As seen in table 2, our data showed substantial agreement between JEM-based exposure estimates according to the two sets of DISCO-88 codes based on self-reported job titles and registrations in DOC·X, except for noise in 1981-83. Across time, both the sensitivities and  $\kappa$  estimates were lowest for the time period 1981-83. Overall, the specificities were high showing substantial agreement for the non-exposed individuals. Table 3 shows that the agreements between the two sets of DISCO-88 codes were substantial across 1-, 2-, 3-, and 4-digit levels. The highest  $\kappa$  estimates were seen for the 4-digit DISCO-88 group level with estimates between 0.73-0.81. The sensitivities varied between 51.5-73.2% and were highest for the 1-digit DISCO-88 level. As seen in table 4, the DISCO-88 code specific agreement at 1-digit level varied from fair to almost perfect across time periods ( $\kappa$ =0.34-0.91). Group 0 (armed forces) had almost perfect agreement, whereas group 1 with legislators, senior officials, and managers showed the lowest agreement; no time trends were evident. The sensitivities generally showed the same



pattern as the  $\kappa$ -values.

Sensitivities for individual DISCO-88 codes, according to time period, are presented in supplementary table S1. The highest sensitivities across all time periods were found for dentists (2222; 96.2%); nursing associate professionals (3231; 95.0%); police officers (5162; 92.2%); medical doctors (2221; 91.5%); jewelry and preciousmetal workers (7313; 91.3%); bakers, pastry-cooks and confectionery-makers (7412; 89.3%); and primary education teaching professionals (2331; 89.8%). Prison guards (5163) and travel attendants (5111) and travel stewards had 100% sensitivity in 2004, but not enough observations for the other time periods. In general, low sensitivities were found across all time periods for business services agents and trade brokers not elsewhere classified (3429; 1.7%); production clerks (4132; 6.2%); other teaching associate professionals (3340; 6.5%); advertising and public relations managers (1234; 7.4%); finance and sales associate professionals not elsewhere classified (3419; 10.1%); safety, health and quality inspectors (11.7%; 3152); receptionists and information clerks (4222; 12.3%); and buyers (3416; 13.5%).

## Discussion

Job titles and occupational codes constitute a crucial basis for the use of JEM, but errors in job titles and assignment of occupational codes have received minimal scientific attention. The present study benefitted from exposure data from JEM concerning five airborne, mechanical, and physical exposures. Self-reported job titles for the CCHS/ASUSI cohorts were translated into DISCO-88 codes, which were connected with the JEM to provide exposure estimates, which were then compared to JEM-based exposure estimates according to DISCO-88 codes registered in DOC·X. High sensitivities and substantial agreement was found for the JEMbased exposure estimates and for the DISCO-88 codes per se, although the DISCO-88 code-specific agreement varied across digit levels and across time periods.

The number of individuals in the study population from 1991-94 was low since only about one third of the individuals with a self-reported job title had a DISCO88 code in DOC·X. An explanation may be the higher mean age in the population by calendar time as the main part of the population was included in 1976 with an age of up to 70 years at that time. For example, if they retired from the workforce before 1991, they have no DISCO code registered in DOC·X database for the time-period 1991-94. The classification system used by Statistics Denmark changed in 1981 and 1993, which may be an explanation for lower agreement observed in the period 1981-83, and again in 1991-94. In 1981-83, the classification system was less detailed than the DISCO-88 system. This means that it was very difficult to translate specific job groups from that time-period to DISCO-88 codes. Therefore, discrepancies between DISCO-88 codes may be because of translation difficulties rather than exact differences between jobs. Because of the less detailed job groups in 1981-83, the solution was to translate job titles to less detailed DISCO-88 group levels. The system for code assignment also changed in 1991, when the DISCO-88 classification system was introduced by Statistics Denmark. The DISCO-88 was based on the ISCO-88. Before 1991, the occupational codes were assigned by trained coders at Statistics Denmark based on self-reported information and union membership, but from 1991 the system was automatized and based on tax records and other personal register information. This shift in code assignment led to a temporary reduction of data reporting, which probably also contributed to the low number of individuals in the final study population for 1991-94.

The variation across DISCO-88 codes probably reflected variations in the accuracy by which DISCO codes are reported to the central authorities. Reporting to Statistics Denmark from large public and private companies is undertaken by trained staff according to written guidelines, while small private companies with fewer resources may provide less accurate DISCO codes. It is only mandatory for Danish companies with >10 employees to report information on occupation, and therefore significant differences in accuracy may be expected.

The misclassification of JEM-based individual exposures assigned by using DISCO-88 codes in DOC·X seems less than might be expected based on comparison of the sensitivities for the DISCO-88 codes per se; overall, the sensitivities were higher when comparing JEM-based exposure estimates than when comparing the two sets of DISCO-88 codes (especially at the 3- and 4-digit levels). This is because DISCO-88 codes belonging to similar job groups in the JEM are assigned similar job-exposures (7, 14). For example, the noise JEM will assign the same low

level of noise exposure to all types of office workers regardless of the specific DISCO-88 code. Lack of agreement between two sets of DISCO-88 codes will therefore not necessarily affect the agreement between JEM-based exposure estimates.

The variation in agreement between the two sets of individual DISCO-88 codes seems to depend on characteristics of the jobs covered by the code. In general, the codes with lowest sensitivities are broadly defined and not specified, eg, business services agents and trade brokers not elsewhere classified, other teaching associate professionals, and finance and sales associate professionals not elsewhere classified. The two last-mentioned groups will probably be classified as other kinds of office workers, which will reduce the effect of the misclassification on the assigned JEM-based exposure estimates (see above). Another possibility is to exclude DISCO codes with low sensitivities in epidemiological studies (at least in sensitivity analyses) as they may increase the risk of misclassification of exposures. Thus, the actual validity of the DISCO-codes per se may be significantly higher in cleaned data prepared for analysis.

#### Strengths and limitations

One strength of our study is that we have data from four different time periods during a 24-year long period where Statistics Denmark used different classification systems of occupations in their registers. Furthermore, we have access to self-reported job titles. It may be questioned if self-reported job titles converted to DISCO-88 codes can be taken as a gold standard, but self-reported information on the current job is generally considered to have high validity (14, 39).

One limitation of our study is that we have no self-reported job titles from the years after 2004, and therefore no validation has been performed on DOC·X registrations from 2005 onwards. This limitation particularly pertains to DISCO-88 codes after the time point when Statistics Denmark introduced the DISCO-08 system in 2010 (15).

Another limitation is that the DISCO-88 codes, which were available for validation, only represented around half of the codes in the DISCO-88 system so that only frequent occupational titles were validated at the 4-digit level. If the agreements are lower for rare DISCO88 codes, we may have overestimated the general validity of the DISCO-88 codes in DOC·X. On the other hand, the sensitivities did not seem to depend on the number of observations (all >10) per DISCO-code.

In our analyses of agreement between exposure levels, we used categorical variables with two or three categories. The JEM exposures for wood dust and noise only exist as categorical variables while the other JEM contain continuous measures, which we categorized to ensure comparability. It may be a limitation that we only validated the DISCO-88 codes based on categorical variables instead of using continuous scales. We chose to focus on the lowest and highest exposure categories to examine whether they were correctly categorized. To the extent that DISCO-88 codes in DOC·X are misclassified so that highly exposed are categorized as medium or non-exposed, the data would not be of a quality that allows future exposure-response analyses.

#### Validity of DISCO-88 codes in future DOC·X studies

This study concerned selected airborne, mechanical, and physical exposures, and it remains open whether the validity of DISCO-88 codes in DOC·X is similar for other exposures, eg, chemicals. The validity varied across 4-digit DISCO-88 codes and time periods, which should be considered when planning studies in DOC·X. DOC·X also covers industry codes from 1976 and onwards (15) and it can be relevant to use those industry codes together with the DISCO-88 codes to reduce the risk of misclassification of occupations.

#### Concluding remarks

The validity of the DISCO-88 codes in DOC·X was generally high. Substantial agreement was found for the JEM-based exposure estimates and group-based DISCO88 codes per se, although the DISCO-88 code-specific agreement varied across digit levels and time periods.

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## Conflicts of interest

The authors declare no conflicts of interest.

## Sidebar

We found substantial agreement between job-exposure-matrix-derived exposure estimates according to DISCO-88 codes based on self-reported job-titles and registered in the Danish Occupational Cohort with exposure data (DOC·X), with respect to airborne, mechanical, and physical exposures. Substantial agreement was also found between the two sets of DISCO-88 codes. The results are promising with respect to future studies based on the DOC·X.

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Key terms: arm elevation; DOC·X; epidemiology; exposure; ISCO-88; JEM; job code; job code error; job exposure matrix; lifting; metal dust; noise; occupation; occupational cohort ; shoulder; standing; validity; walking; wood dust

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## Additional material

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## Footnote

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# Acute myocardial infarction in relation to physical activities at work: a nationwide follow-up study based on job-exposure matrices

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## ABSTRACT (ENGLISH)

**Objective:** This study aimed to evaluate sex-specific risks of acute myocardial infarction (AMI) according to lifting and standing/walking at work.

**Methods** The study population included 1.15 million Danish wage earners. Annual job codes from 1976 onwards were linked to specific exposures using job-exposure matrices (JEM). Cases of AMI during follow-up 1996-2016 were retrieved from national registers. Incidence rate ratios (IRR) were computed by Poisson regression adjusting for demographic and JEM-assessed lifestyle factors. Models addressed physical activities at work the previous 0-2 years (short-term risk) and cumulative physical activities (long-term risk).

**Results** During 21.4 million person-years of follow-up, 22 037 AMI occurred in men and 6942 in women. Exposure-response relationships between recent physical activities at work and AMI were not evident. In men, the fully adjusted long-term IRR for the highest of five exposure categories compared to the lowest were 1.09 [95% confidence interval (CI) 1.03-1.15] for lifting and 1.01 (95% CI 0.96-1.07) for standing/walking. In women, the corresponding figures were 1.27 (95% CI 1.15-1.40) and 1.18 (95% CI 1.07-1.30). The latter risk estimate was strongly attenuated, and the trend became insignificant when adjusted for lifting. Findings were only partially supported by sensitivity analyses.

**Conclusion** The study provides limited support to the hypothesis that long-term lifting and standing/walking at work is related to increased risk of AMI. Possible effects of acute physical exertion are not addressed and bias towards the null because of crude exposure assignment cannot be ruled out.

## FULL TEXT

### Headnote

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There is strong epidemiological evidence that leisuretime physical activity is related to reduced cardiovascular morbidity and mortality (1-3). The lower threshold for beneficial effects seems to be less than moderate-intensity physical activity, such as brisk walking (4). The US Department of Health and Human Services recommends >150 minutes a week of moderate-intensity aerobic physical activity or 75 minutes a week of vigorous-intensity physical activity (5). The seminal epidemiological studies of bus drivers and longshoremen from the 1950s and 60s indicate that physical activities at work are also beneficial for cardiovascular health (6-8). On the other hand, more recent studies have reported increased risk of ischaemic heart disease (IHD, atherosclerosis of the coronary arteries, the most prevalent type of cardiovascular morbidity) with increasing physical activities at work (9-14). Together with observations of increased all-cause mortality in studies addressing physically demanding work (15), the discrepancy between the beneficial effects of leisure time physical activity (LTPA) and the seemingly unfavourable effects of physical activities at work has been labelled a paradox (16, 17). However, studies often combine different physical activities at work such as walking, lifting, carrying, climbing stairs, and digging (18-21), even though relations with IHD may differ across activities (16). Thus, the evidence on effects of physical activities at work is far from clear. In most studies of physical activities at work, exposure assessment is based on self-report, which may result in inflated risk estimates due to recall bias or deflated risk estimates because of inaccurate and crude assessment of exposure. Vaguely defined physical activities and potential confounding by social and lifestyle factors have been emphasized as major limitations (17). Other issues are small study populations, low participation, short followup time, limited exposure contrast and selective reporting.

Some of the methodological limitations of most earlier studies may be addressed by use of job-exposure matrices (JEM) in nationwide register-based studies, even though this approach may introduce other limitations such as exposure misclassification and challenges in obtaining information on potential confounders - information which is available in some recent large prospective studies (15, 22). JEM provide individual exposure measures in a transparent and independent way and can be applied in large populations with time specific information on occupation (23). Recently, lifestyle JEM have also been introduced (24, 25). Besides being time- and cost-effective, JEM may in some situations provide less attenuated risk estimates than individual-based exposure assessment (26). Men and women share established risk factors for IHD (27) and therefore major sex-specific effects of physical activities at work are not expected. Nevertheless, analyses stratified by sex are justified to evaluate the consistency of findings. Acute myocardial infarction (AMI) is a prevalent manifestation of IHD with well-defined diagnostic criteria

and was selected for this study to ensure high specificity of the outcome.

The aim of this study was to evaluate the hypothesis that the sex-specific incidence rate of AMI is increased by short-term and long-term (cumulative) exposure to higher levels of physical activities at work in terms of lifting and standing/walking. These activities were chosen to include generic occupational activities of physically demanding and less-demanding nature.

## Methods

### Design and population

We conducted a follow-up study of all Danish residents, who in 1995 at an age of 31-50 years were gainful wage earners with a valid job code according to the Danish version of the International Standard Classification of Occupations from 1988 (DISCO-88) (28). We requested a DISCO-88 code at baseline in 1995 at the digit 2 level or higher. Military employees were excluded. The study population was retrieved as a subset of the Danish Occupational Cohort with exposure data (DOC·X) after permission from the Danish Data Protection Agency (P-2019-04) and from Statistics Denmark (P-707006). DOC·X is profiled in a separate paper (29).

### Assessment of physical activities at work 1976-2015

Annual job codes. The DISCO-88 codes in the DOC·X are based on the Employment Classification Module (30, 31). These data mainly stem from public and private companies but are also retrieved from tax authorities and unemployment insurance funds. Various classification systems of occupational titles have been used within the past decades. In the DOC·X, occupational codes according to other classification systems than DISCO-88 have been converted to DISCO-88 codes (29), which have been validated against self-reported information on job titles (32). Expert-rated JEM on lifting. To obtain estimates of occupational lifting, we used The Lower Body JEM (33), which provides estimates of total load lifted (kg/day) at work. This JEM has documented predictive validity for several outcomes (34-37). The JEM was constructed by grouping 2227 occupational titles into 122 job groups that were considered homogeneous with respect to physical activities at work (121 exposed groups and 1 minimally exposed group). Five experts in occupational medicine independently assessed the average total load lifted per day. If the most detailed DISCO-88 code included occupational titles from different job groups, the average exposure was used with few exceptions (38). In Denmark, specialists in occupational medicine have vast experience in quantifying total load lifted during a working day in all types of occupations because compensation for low back disorders and hip osteoarthritis is based upon detailed documentation of lifting work. The mean weighted kappa statistic for interrater agreement on ranking of the 121 job groups was 0.49 (moderate agreement (32). With few exceptions, two external experts confirmed the face validity of the rankings of the mean values (32). Furthermore, the average score on time spent lifting obtained for 125 DISCO-88 codes among men and women in a population survey (39) was strongly predicted by ranking of job codes according the expert-rated JEM (supplementary material, [www.sjweh.fi/show\\_abstract.php?abstract\\_id=3863](http://www.sjweh.fi/show_abstract.php?abstract_id=3863), figure S1). The range across lowest and highest deciles of DISCO-88 codes was 80-2640 kg/day. The JEM is not sex- or age-specific.

Self-report JEM on standing/walking. To obtain sex- and age-specific exposure estimates for standing/walking, we used the Occupational Activity JEM (39), which provides a sum score for the time spent standing/ walking during a working day. Data was derived from a questionnaire survey encompassing a population sample of employees in Denmark in 2012 (the Work Environment and Health in Denmark study, N=26 165, response proportion 51.5%). The question was: "Do you stand or walk at work?" With the following six response categories: (i) never, (ii) rarely, (iii) about ¼ of the time, (iv) about ½ of the time, (v) about ¾ of the time, and (vi) almost all the time. Each answer was assigned a score from 1 (lowest) to 6 (highest). Using best linear unbiased prediction modeling, sex and age-specific scores were computed for 168 of the 372 DISCO-88 codes, where the survey provided enough information. For the purpose of this study, we computed average scores at the 2- and 3-digit level for codes without 4-digit level information. The intraclass correlation coefficient (ICC) across all 168 DISCO-88 codes was 0.42 for men and 0.44 for women. The range across lowest and highest deciles of DISCO-88 codes was 2.7-5.2 score points.

Exposure assignment by job-exposure matrices. Calendar-year specific exposures were assigned to each cohort member by linking DISCO-88 codes for the longest held job in a year with the JEM. For lifting, we assigned

cumulative exposures corresponding to the pack-year concept of smoking. One ton-year was defined as lifting one ton per day for one year (38). For standing/walking, we assigned cumulative exposures by summing up the scores for each year. The cumulative exposures were calculated across calendar years from 1976 or age 20, whichever came first. If the DISCO-88 code was missing or indicated military employment in years with active employment status according to the Employment Classification Module (8.2%), we assigned the average individual exposure during the latest up to five years. If still missing, we assigned exposure estimates of zero. Years without employment were also assigned a zero value. The quantitative estimates of exposure intensities (kg/day and standing/walking score points) and cumulative exposures (ton-years and standing/walking sum scores) were categorized by the sex-specific 25th, 50th, 75th and 90th percentiles.

#### Outcome ascertainment

We excluded cohort members with any type of IHD before start of follow-up using data on hospital discharge diagnoses (ICD-8 codes 410 from 1977-1993; ICD-10 codes I21-I23 as principal diagnosis from 1994-2016) obtained from the Danish National Patient Register (31). The outcome in the follow-up period (1996-2016) only included the specific diagnosis of AMI (ICD-10 principal diagnosis I21) due to a high completeness of data and validity of hospital information on this disease. The positive predictive value of a first-time AMI diagnosis according to the Danish National Patient Register was 97% in a validation study using medical records as the gold standard (40). Data on prehospital deaths from AMI during follow-up was retrieved from the Danish Register of Causes of Death (40) and constituted 6.9% of all incident cases.

#### Covariates

From public registers hosted by Statistics Denmark, we obtained information on the following baseline variables (1995): sex (men/women) and highest education (primary, secondary, short tertiary, medium tertiary, long tertiary and missing) as well as the following annually measured time-varying variables: vital status (alive/ dead), emigration (yes/no), disappearance (yes/no), age (integers), cohabitation (yes/no/missing), employment status [employee, employer, no gainful employment (including unemployment, long-term sick leave, disability pension and voluntary early retirement)] and social position defined by DISCO-88 major codes (first digit: 1-2 managers and professionals, 3 technicians, 4-5 clerks, service and sales workers, 6-7 skilled workers, 8-9 unskilled workers) (28).

Time-varying individual proxies of lifestyle factors in terms of sex-, age- and period-specific probability of current smoking and estimates of body mass index (BMI) (kg/m<sup>2</sup>) and LTPA [score points low (1) to high (6)] were assigned by lifestyle JEM based on questionnaire information from several large random samples of the Danish population (24). Lifestyle exposures in years without employment and in years with missing DISCO-88 codes were assigned the individual average exposure during all previous years (10.9% and 8.2%, respectively).

#### Statistical analysis

We used Poisson regression to compute sex-specific incidence rate ratios (IRR) with 95% confidence intervals (CI) for the association between incident AMI and physical activities at work from start of follow-up 1 January 1996 until first-occurring incident AMI (including prehospital death from AMI), death of another cause, emigration, disappearance or end of follow-up 31 December 2016. P-values for monotonic trends across exposure categories were computed by assigning integer values 0-4 to exposure categories (excluding the missing category) and reported if  $P < 0.001$  or  $< 0.05$  (subset analyses). Data on education was missing in 19% of records. Missings were kept as a separate category in the analyses.

**Short-term risk.** We analyzed the incidence of AMI according to physical activities at work the previous calendar year. Since employment status could not be resolved in more detail than one year, the one-year time lag spanned one day and two years.

**Long-term risk.** We analyzed the incidence of AMI according to cumulative physical activities at work from 1976 until and including the previous year.

**Adjustments.** All models were adjusted by a fixed set of constant and time-varying covariates. The constant covariates included sex (by stratification) and highest education at baseline (6 levels including a category of missing). The time varying variables were age (integers), cohabitation (yes/no/missing), social position (DISCO-88



major groups, 6 levels including a category of missing), employment status (employee, employer, no gainful employment), smoking (25th, 50th, 75th and 90th percentiles), BMI (25th, 50th, 75th and 90th percentiles) and LTPA (25th, 50th, 75th and 90th percentiles). The grouping of the three lifestyle variables were based upon cumulative years with a probability above the upper quartile. All timevarying variables were analyzed with a one-year time lag. Sensitivity analyses. First, to counteract potential attenuation of risk estimates by the correlation between number of exposed years and staying in employment because of good health (healthy worker survivor selection), we repeated the analysis of long-term risk but redefined cumulative exposure as exposure from 1976 until but not including 1996 (start of follow-up) and ignored exposure during subsequent years. Second, we performed analyses according to cumulative exposures during the ten most recent years based on the assumption that physical activities at work more than ten years ago have little impact, if any. Third, we calculated IRR within selected DISCO-88 major groups with large ranges of physical activities as an alternative way to account for potential confounding by social factors. Fourth, we repeated the analyses of short-term effects using models with adjustment for cumulative exposure accrued before the previous calendar year. Finally, we adjusted analyses of long-term standing/walking for effects of lifting.

Supplementary analyses. To examine potential interactions between lifting and the strong risk factors for AMI, smoking and BMI, we performed sex-stratified crude and fully adjusted analyses using models that in addition to main effects as continuous variables also included the product of cumulative lifting and (i) cumulative smoking and (ii) years with BMI in the upper tertile. All analyses were carried out in SAS 9.4 (SAS Institute, Cary, NC, USA) on a platform at Statistics Denmark.

## Results

The study population included 1.15 million individuals with 41.5 million person-years equally divided in years before and after start of follow-up. The number of prehospital deaths due to AMI during follow-up and incident hospitalizations for AMI was 22 037 among men and 6942 among women. A skewed distribution according to lifting was evident for highest education and social position, and for smoking, BMI and LTPA (table 1). All covariates - except LTPA - exhibited robust prospective and mutually independent associations with AMI in the expected direction (supplementary table S1). LTPA was, as expected, associated with reduced risk of AMI in analyses only adjusting for age, but not in fully adjusted analyses (table S1).

### Lifting at work

Among men, the short-term risk of AMI was not associated with lifting (table 2). The fully adjusted long-term risk increased with increasing cumulative lifting (tonyears) up to the previous year reaching a maximum IRR of 1.09 (table 2). The associations were attenuated or disappeared in models using cumulative exposure before start of follow-up, in models based on the most recent ten years (supplementary table S2), and in three of four social strata with large ranges of cumulative lifting up to the previous year (see supplementary tables S3 for exposure ranges and S4 for results).

Among women, the fully adjusted short-term risk of AMI tended to increase with increasing lifting exposure reaching a maximum IRR of 1.16 (table 2), even when adjusted for cumulative lifting accrued before the previous calendar year (results not shown). The fully adjusted long-term risk increased with increasing cumulative lifting (ton-years) up to the previous year. Associations were also seen in sensitivity analyses only including cumulative exposure before start of follow-up (table S2), but not in models based on the previous ten years (table S2). Moreover, indications of higher risk with higher levels of exposure (intensity times duration) were seen in two of four social strata with large ranges of cumulative lifting up to the previous year (see table S3 for exposure ranges and table S4 for results), but tests for trend were not significant at the 5% level in any of these analyses (table S4).

There were no indications of an increased risk of AMI due to interaction between cumulative lifting and cumulative smoking in either sex in fully adjusted models (OR 1.00, 95% CI 0.99-1.01 in men; OR 1.01, 95% CI 0.99-1.02 in women). Corresponding figures for interaction between cumulative lifting and cumulative BMI were OR 0.99, 95% CI 0.99-1.00, for men and OR 0.98, 95% CI 0.97-1.00, for women.

### Standing/walking at work

Among men, the fully adjusted models did not consistently indicate associations between standing/walking at work and short- or long-term risk of AMI (table 3 and table S2).

Among women, there were no indications of increased short-term risks (table 3). The fully adjusted long-term risk increased with increasing cumulative standing/walking up to the previous year (reaching a maximum IRR of 1.18), but the association was attenuated when adjusting for longterm lifting (maximum IRR 1.11, 95% CI 1.001.23) and the trend became insignificant ( $P=0.08$ ). Moreover, this relationship was neither found in models only including cumulative exposure before start of follow-up nor in models based on the previous ten years (table S2).

#### Discussion

In this register-based nationwide follow-up study using JEM for assessment of physical activities, we found indications of slightly elevated long-term risks of AMI associated with lifting at work, while no consistent associations were observed for standing/walking.

Strengths of the study are the large study population covering the entire spectrum of occupations, a follow-up period of 20 years, almost complete data, assessment of occupational and cardiovascular risk factors from young age, assignment of independent information on specific physical activities at work, reliable outcome ascertainment, comprehensive adjustment for social factors, adjustment for smoking, BMI and LTPA and statistical power to perform sex-specific analyses and analyses stratified by social position.

Limitations are primarily related to exposure misclassification, lack of detailed information on LTPA, residual confounding and the potential for a healthy worker survivor effect. Exposure assignment based on JEM inherently causes misclassification - let alone because a JEM does not reflect variation in exposure among individuals in the same occupations. As the within-occupation variation relative to the between- occupation variation increases, still larger study populations will be needed to separate true effects from statistical noise (41). The implication of exposure misclassification is inability to detect effects of the entire range of individual exposures, but risk estimates of the occurring average exposure across occupations are not expected to be attenuated. Since the ranges of job specific average exposures to lifting and standing/walking were rather wide, the study provides valuable information. However, risks related to the very high end of exposures - for instance lifting  $>10$  tons/day - cannot be evaluated in this study since the highest JEM-based average lifting for an occupation was 3.5 tons/day. Further evidence regarding the validity of the JEM is the rather strong crude exposure-outcome associations in the expected direction observed in this study and the ability to predict several other outcomes in earlier studies (34-37).

The standing/walking JEM does not distinguish between standing and walking. We cannot exclude the possibility that prolonged standing is associated with an increased risk and walking without prolonged standing with a decreased risk resulting in no-risk when combined as in our study. However, to the best of our knowledge, there are no data to indicate that standing at work is a risk factor for AMI. Of note, a recent study included standing (shop assistants, security guards) in physical activities in parallel with lifting and leisure time physical activities and reported no increase in cardiovascular mortality (42). The design does not allow for examination of immediate (triggering) effects since the most detailed exposure resolution is one calendar year. For instance, it might be hypothesized that an acute severe exertion of heavy lifting at work might trigger AMI. This might be explored in future case-crossover studies. On the other hand, earlier epidemiological evidence is based upon the hypothesis that (unspecified) physical activities at work result in gradual cumulative damage to the cardiovascular system (16). Residual confounding. The study benefits from sufficient statistical power to enable comprehensive adjustment for a range of well-established risk factors which all except LTPA independently predicted the risk of AMI. In many analyses, risks related to physical activities were strongly attenuated towards null in fully adjusted models. Socio-economic position is a strong risk factor of AMI and was accounted for by highest education, employment status and social position (DISCO-88 first digit groups) - and by analyses restricted to selected social strata with wide ranges of exposure. We did not have data on individual cardiovascular risk factors except that we were able to exclude persons who had been hospitalized due to IHD before start of follow-up. However, the use of lifestyle JEM for smoking and BMI performed well. Both factors were robustly and independently associated with AMI risk in both men and women, even after adjustment for highest education, social position and employment status. This adds to

the evidence that these sex-, age-, and period-specific lifestyle JEM are valuable tools to adjust for risks related to lifestyle in register-studies without access to individual information (24).

However, the LTPA JEM did not consistently predict a reduced risk of AMI. This is perhaps not surprising since LTPA is prevalent regardless of type of occupation and low and high levels of LTPA occur in all job groups. . Nevertheless, this JEM serves the purpose of controlling confounding since exposure is also defined by JEM. Other potentially confounding factors, such as heredity, hypertension, hyperlipidemia, diabetes, major depression (27), job strain (22), environmental (43) and occupational noise (44), shift work (45) and airborne particulate exposure (46), were not explicitly controlled for. Therefore, we are not able to exclude the possibility of residual confounding.

Healthy worker survivor effect. Individuals with emerging cardiovascular disease may leave physically demanding jobs long before death or hospitalisation for AMI leading to a healthy worker survivor effect (bias towards the null). This was probably counteracted by analyses only based upon exposure before start of follow-up, where cohort members were 30-50 years old and by including employment status as a covariate during follow-up.

#### Earlier findings

Although an increasing number of studies have addressed cardiovascular morbidity in relation to physical activities at work, direct comparisons of results are impeded by vaguely defined exposures. With few exceptions (21, 47), earlier studies relied on individual self-report of physical activities at one point in time and often combined various activities into one measure (9, 12-14, 19, 48). For example, one study defined physical activity as "standing and walking most of the time with quite a bit of carrying or lifting heavy burdens or work that requires vigorous or strenuous physical activity" (12) and another study defined physical activity as "most of the time you walk, and you often have to walk upstairs and lift various items (eg, mail delivery and construction work). Or you have heavy physical work. You carry heavy burdens and carry out physically strenuous work (eg, digging and shoveling)" (9). A Finnish study used a more transparent approach by converting self-report data on time spent in various activities into energy requirements (kcal/kg/hour) of these activities (sitting, standing, walking, climbing stairs - but without a category for heavier work) (13). Thus, the inability to distinguish more physically demanding work is a limitation of most previous studies. Moreover, confounding by individual and social risk factors is likely in many studies (17).

Sex differences. While most earlier studies reported effects in men (9, 12, 13, 19, 48), this study found most consistent associations in women. Biologically, it does not seem plausible that women are more susceptible to physical activities at work than men. The existence of sex-specific differences in the pathophysiology and pathogenesis of AMI is widely acknowledged (49) and some risk factors are more potent in women. However, men and women share all established risk/protective factors such as smoking, high BMI, exercise, diabetes, hypertension, and depression (27). It is therefore hard to figure out why physical activities at work would be deleterious in one sex but not in the other. In this study, we performed sex-stratified analysis to demonstrate consistency. It also seems unlikely that occupational exposure patterns and levels would confer a higher risk among women. However, the level of physical activity relative to the individual maximal capacity rather than the absolute level may be of importance (13). If women with physically demanding work have a higher work load relative to their maximal physical capacity than men, this might in fact explain sex differences, but this potential explanation of our findings seems less likely given the fact that we used lower category boundaries for lifting for women than for men. Of note, a large study of nurses with long follow-up found increased rate of incident IHD with increasing level of self-reported physical activities at work across all strata of selfreported LTPA (14). In this study physical activities were categorized as mainly sedentary (low), standing/ walking (medium) and lifting/carrying/ heavy/fast/ physically exerting work (high) (14).

Leisure time physical activity versus physical activities at work. It has been argued that the intensity of physical activity at work is too low to improve cardiorespiratory fitness and cardiovascular health (16). But this seems not to fit with evidence that even moderate physical activity such as brisk walking for 2.5 hours/week is related to substantially decreased cardiovascular mortality and that vigorous frequent physical training only accomplishes moderate additional risk reduction (4, 5). However, physical activity at work is distinguished by other characteristics.

It has been argued that physical activity at work is associated with an elevation of the 24-hour heart rate and blood pressure (which is related to increased cardiovascular disease risk), insufficient recovery and work control and increased level of low-grade inflammation (16). Studies based on objective recordings of LTPA and specific physical activities at work are needed to corroborate or refute the relevance of these factors.

#### Concluding remarks

This study provides limited support to the hypothesis that long-term lifting and standing/walking at work are related to an increased risk of AMI.

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#### Sidebar

We know that leisure-time physical activity is related to reduced cardiovascular morbidity, but some recent papers provide evidence that physical activities at work are related to increased risk. We used job exposure matrices for assessment of physical activities at work, we found indications of slightly elevated long-term risks of acute myocardial infarction associated with lifting, but not with standing/walking.

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#### Additional material

Please note that there is additional material available belonging to this article on the Scandinavian Journal of Work, Environment & Health -website.

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#### Footnote

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## DETAILS

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# Shift work and physical inactivity: findings from the Finnish Public Sector Study with objective working hour data

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## ABSTRACT (ENGLISH)

**Objectives** Shift work is a risk factor for chronic diseases, and physical inactivity can have an influence on this association. We examined whether intra-individual changes in working time characteristics were associated with changes in physical inactivity and examined the risk factors for physical inactivity among shift workers in a 17-year longitudinal study cohort. **Methods** Study participants were 95 177 employees from the Finnish public sector. Work schedule information was based on questionnaire responses and additional register-based working time characteristics for 26 042 employees. The associations between working time characteristics and physical inactivity were examined using a fixed-effects logistic model. To investigate the risk factors for physical inactivity among shift workers, the odds ratios (OR) of worktime control and having small children were calculated. **Results** Compared with day work, shift work without night shifts was associated with physical inactivity among men [OR 1.38, 95% confidence interval (CI) 1.09-1.74], whereas shift work with night shifts was negatively associated with physical inactivity among women (OR 0.85, 95% CI 0.76-0.96). Register-based working time data confirmed that workers with a higher percentage of night shifts had a lower risk of physical inactivity. Having small children was associated with physical inactivity among shift workers (OR 1.47, 95% CI 1.32-1.65). **Conclusions** Both survey and objective working hour data revealed that workers having work schedules with night shifts were more likely to be physically active. Having small children was a risk factor for physical inactivity among shift workers.

## FULL TEXT

### Headnote

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worktime control and having small children were calculated.

Results Compared with day work, shift work without night shifts was associated with physical inactivity among men [OR 1.38, 95% confidence interval (CI) 1.09-1.74], whereas shift work with night shifts was negatively associated with physical inactivity among women (OR 0.85, 95% CI 0.76-0.96). Register-based working time data confirmed that workers with a higher percentage of night shifts had a lower risk of physical inactivity. Having small children was associated with physical inactivity among shift workers (OR 1.47, 95% CI 1.32-1.65).

Conclusions Both survey and objective working hour data revealed that workers having work schedules with night shifts were more likely to be physically active. Having small children was a risk factor for physical inactivity among shift workers.

Key terms Finland; fixed effect; fixed-effects modeling; leisure-time physical activity; longitudinal study; physical activity; shift worker; work schedule; working time.

Shift work is associated with sleep disturbances, stroke, breast cancer, and several chronic diseases such as cardiovascular diseases and metabolic diseases (1). Lack of physical activity has been shown to be a direct risk factor for cardiovascular and metabolic diseases (2); therefore, physical activity is recommended for shift workers. Because shift work is often necessary in the modern workplace, strategies aiming to attenuate its adverse health effects have been tested (3). Systematic reviews have determined that physical activity-based interventions improved the body composition and sleep of shift workers (4, 5). It has also been suggested that shift workers engage in less physical activity compared with day workers because they have difficulty participating in group-based physical activities or activities in the early evening (6). However, evidence regarding the association between shift work and physical inactivity is inconsistent.

Several cross-sectional studies have reported an association between shift work and less engagement in leisure-time physical activity (7-9), whereas others have found either no (10-13) or a negative (14-16) association.

Longitudinal studies are scarce; no association between 1-year changes in work schedule and changes in physical activity was discerned in one (17). Another longitudinal study determined that a long history of night work was associated with more vigorous physical activity among female workers (18). The same study also determined that "never" and "ever" night workers differed significantly in socioeconomic status and health behaviors. Therefore, in cross-sectional studies, the difference observed in the physical activity between day and night workers was likely to have been confounded by participants' personal characteristics. Lately, several studies utilizing objective measurement of physical activity documented no association between shift work and total leisure-time physical activity (19-22).

Variations in measurement methods and definitions of work schedule have led to contrasting results between studies as well. Workers with rotating or fixed shifts, as well as those with shift work with or without night shifts, are usually categorized in the "shift work group" even though they may exhibit different levels of physical activity. For example, physical activity was found to be positively associated with irregularly rotating shifts, but negatively associated with fixed night work (21). Objective quantification of working time characteristics has rarely been used, with the exception of one study of police officers that revealed increased vigorous physical activity in shift workers (16). Apart from shift work, highly physical manual work may prevent individuals from desiring to engage in physical activity after work (9, 10) and workers of lower socioeconomic status may not have the physical resources to engage in strenuous physical activity after working hours (23). Furthermore, participants in previous studies were recruited from different genders and occupational groups in which the associations between shift work and physical activity differ. In Finnish cohorts, women have generally exhibited less physical activity (23-25), but gender interaction in the association between shift work and physical activity has not been examined.

To increase physical activity among shift workers, barriers related to work and family conditions should be taken into account. Working time control refers to autonomy with regard to work time (26) and has been found to be lower among shift workers compared to dayworkers (27-29). Furthermore, having small children was associated with physical inactivity in one study (11) but not in another study that adjusted for marital status (10). Nevertheless, none of these risk factors for physical inactivity have been examined in longitudinal studies.



In summary, previous studies on the associations between shift work and physical activity have tended to lack detailed information concerning characteristics of working hours and have been based on limited sample sizes and a cross-sectional design, which is vulnerable to selection bias and reverse causal relationships. To address these limitations, we used a large longitudinal cohort from the Finnish Public Sector (FPS) Study to examine the associations between intra-individual changes in shift schedule and changes in physical activity. We further linked the survey data with objective registry data of daily working hours to examine the associations between the more specific working hour characteristics and physical inactivity. To identify risk factors for physical inactivity among shift workers, we examined the associations between changes in physical activity and changes in family and work conditions with fixed-effects models.

## Methods

### Study participants

Data from two cohorts of the FPS Study were used. In the first cohort, information on exposure to shift work was based on 2000-2017 survey information (referred as the "survey cohort"). In the other cohort, information regarding exposure to shift work was based on 2007-2017 registry data (referred as the "register cohort"; figure 1). The FPS Study comprises two parts (i): the 10-Town Study, a study of local government employees in 11 towns, and (ii) the Work and Health in Finnish Hospital Personnel Study, a study conducted within 21 hospitals. We used six waves of survey information from the FPS Study: 2000, 2004, 2008, 2012, 2014, and 2016 from the 10-Town Study and 2000, 2004, 2008, 2012, 2015, and 2017 from the Work and Health in Finnish Hospital Personnel Study. Therefore, we obtained information regarding physical activity for six consecutive time points, and this information was linked to information on exposure to shift work attained from the same survey (the survey cohort) or to registry data of working hour characteristics of the preceding year (for the last four time points only; the register cohort). The response rates of the six waves of the survey were 67-72%. The Ethics Committee of the Hospital District of Helsinki and Uusimaa (HUS) approved the FPS Study (HUS 1210/2016).

A total of 149 303 participants participated in at least one of the survey waves. To examine changes in physical activity over time, only those who had participated in at least two surveys (N=96 651) were included in the survey cohort. The largest occupational groups in the survey cohort were practical nurses (20%), registered nurses (15%), primary school and preschool teachers (8%), and secondary school teachers (6%).

The registry data of working hours of the register cohort were obtained from Titania® shift-scheduling software, which was used by the hospital workers of the FPS Study cohort (including the whole shift-working population and the majority of the day-working population of all the studied hospitals) during the period of 2007-2017. We included only workers who had at least 150 shifts and 300 contract days during the preceding 365 days and those having participated in at least two surveys. Finally, 869 physicians were excluded because their on-call data were not available, whereas nonphysician workers did not have on-call work, and all their working hours were recorded in the registry data. Another 214 employees were excluded due to missing physical activity data, leaving a total of 26 042 participants in the register cohort (figure 1). The main occupational groups in the register cohort were registered nurses (35%) and practical nurses (33%).

### Assessment of shift work and working time

Participants in the survey cohort were classified as day workers, shift workers without night shifts, shift workers with night shifts, and fixed night workers on the basis of the survey data. In the 10-Town Study, participants in the 2000 and 2004 waves were asked "Is your work regular day work?". If the answer was negative, they were then asked to indicate if their work included evening, night, or weekend shifts. Participants were classified as (i) day workers if they answered "yes" to the initial question, (ii) shift workers without night shifts if they answered "no" to the initial question and then indicated that their work included evening shifts but not night shifts, or (iii) shift workers with night shifts if they indicated having both evening and night shifts. Participants selecting any other combination of responses were excluded from the analyses. In the 2008 and later waves of the 10-Town Study and all waves of the Work and Health in Finnish Hospital Personnel Study, participants were classified according to whether they described their current work as either day work, shift work without night shifts, shift work with night shifts, fixed night work, or other

irregular work. Participants who had another irregular work schedule were excluded. Finally, participants with invalid or missing values on shift schedule (N=1111) and physical activity (N=363) were excluded from the survey cohort, leaving a total of 95 177 participants in the survey cohort (figure 1). The questionnaire items used have been validated against the registry data of working hours (registry data) (30).

The registry data of daily working hours of the register cohort for 365 days prior to each survey were used. On the basis of the daily start and end times of the shifts, several working hour characteristics were calculated on the basis of the main dimensions of the working hours (length, timing, recovery, and social aspects), as in previous studies (30-32). The variables used in this study were average weekly working hours, the percentage of evening shifts and night shifts, percentage of quick returns (<11-hour shift interval) of all shift intervals, percentage of single free days of all the free days, percentage of >48-hour weeks, and percentage of long shifts (>12 hours) (31). We selected these working hour characteristics on the basis of the hypothesis that length of working hours, timing of work shifts, and time for recovery could influence the possibility of or access to leisure time physical activity. According to the distribution of working hour variables (supplementary table S1, [www.sjweh.fi/show\\_abstract.php?abstract\\_id=3868](http://www.sjweh.fi/show_abstract.php?abstract_id=3868)) and the literature (33), the working hour variables were dichotomized using a cutoff of >10% for >48-hour weeks, long shifts, and night shifts, and >25% for the other variables.

#### Assessment of physical inactivity

In all six survey data waves, participants were asked how many hours of physical activity they had per week on average during leisure time or commuting within the past year. The options were: 0, <30 minutes, 0.5-1, 2-3, and >4 hours. They indicated hours for each of the four physical activities or activities with similar intensity: walking, brisk walking, jogging, and running. The participants were categorized as being physically inactive if they reported brisk walking, jogging, or running for <30 minutes per week (34-36), otherwise, they were categorized as being physically active. Those who reported only walking were categorized as physically inactive as well (34-36). We also analyzed whether the participants were lacking in vigorous physical activity, defined as having <30 minutes of jogging, running, or physical activities with similar intensity each week.

#### Other variables

Age, gender, occupation, having small children (<6 years old), worktime control, and weekly working hours were self-reported in the survey questionnaire. The worktime control was measured using seven questions (i): control over length of day, (ii) control over the beginning and end of a workday, (iii) opportunities to take breaks, (iv) opportunities to deal with private matters during the workday, (v) control over scheduling of shifts, (vi) control over scheduling of paid days off and vacations, and (vii) opportunities to take unpaid leave (37). Responses to each item were given on a 5-point scale ranging from very little (score 1) to very much (score 5). The mean of overall worktime control, control over daily hours (items i and ii), and control over time off (items iii-vii) were divided into tertiles as high, intermediate, and low worktime control (28).

Participants were categorized as blue- or white-collar workers according to self-reported occupations, using the first digit of their occupational code in the Finnish National Classification of Occupations 2001 (38). Legislators, managers, professionals, technicians, clerks, and service and sales workers were categorized as white-collar workers. Agricultural and fishery workers, craft workers, machine operators and assemblers, and elementary occupations were categorized as blue-collar workers.

Due to changes in the questionnaire over the six survey waves, data regarding having small children were absent in the 2014 survey, and 24%-45% of responses were missing in the other surveys. Self-reported working hours were available only in the 2000-2015 Work and Health in Finnish Hospital Personnel Study, and worktime control was not surveyed in the 2000-2008 Work and Health in Finnish Hospital Personnel Study. A dummy category was created for missing values in logistic models to avoid sample exclusion by the statistical software. In the statistical analysis of the register cohort, weekly working hours were retrieved from the registry data.

#### Statistical analysis

The distribution of baseline age, gender, physical inactivity, working hours, work time control, and having small children was examined separately in the survey and register cohorts. The difference between baseline

characteristics according to work schedule was examined using chi-square tests and analysis of variance. Post hoc pairwise comparisons using Tukey's procedure for continuous variables and Bonferroni correction for categorical variables were performed.

The fixed-effects logistic model was used to examine the longitudinal dataset for associations between changes in work schedule and changes in physical inactivity during the survey period. Participants who exhibited changes in the outcome (physical inactivity) in at least two waves of the survey were considered informative and were included in the analysis. The longitudinal fixed effects model is advantageous because time-independent known and unknown confounders are eliminated when the intra-individual changes over time are examined (39). Therefore, the study participants served as their own controls. The survey cohort was stratified by gender and occupations to examine associations between different genders and occupational groups (blue-collar and white-collar). To control the time-variant confounders, we added weekly working hours, having small children, and worktime control to the adjusted models. To examine risk factors for physical inactivity among shift workers in the survey cohort (shift work with and without night shifts and fixed night workers, N=29 019), we examined associations between changes in physical inactivity and changes in having small children, worktime control, and working hours with fixed effects models. SAS 9.4 (SAS Institute, Cary, NC, USA) was used for the analyses. The significance level was set at  $P < 0.05$ .

## Results

According to the first survey available for each participant in the survey cohort, 77 250 (81.2%) employees were women and 15 488 (16.3%) were physically inactive. Shift workers with night shifts were younger than workers in the other groups (table 1). Shift workers without night shifts reported the highest percentage of physical inactivity (19.1%) of the four work schedule groups. The post hoc analysis revealed that physical inactivity, having small children, working hours, and worktime control scores differed significantly between workers with day work, shift work without night shifts, and shift work with night shifts. A higher percentage of workers with shift work without night shifts lacked vigorous physical activity than workers with other shift schedules. According to the crude longitudinal fixed effects logistic model, compared with day work (table 2), shift work with night shifts was negatively associated with physical inactivity [odds ratio (OR) 0.88, 95% confidence interval (CI) 0.79-0.98]. In the adjusted model, the association remained significant (OR 0.89, 95% CI 0.80-0.99). After stratification by gender and occupation, the association was significant only among women (OR 0.85, 95% CI 0.76-0.96) and white-collar workers (OR 0.86, 95% CI 0.77-0.97). Among men, a positive association between shift work without night shifts and physical inactivity was observed (OR 1.38, 95% CI 1.09-1.74). Compared with day work, shift work with or without night shifts and fixed night work were not significantly associated with lack of vigorous physical activity.

In the register cohort, 24 165 (92.8%) employees were women and 4456 (17.1%) were physically inactive. Based on the adjusted model, a high percentage of night shifts was negatively associated with physical inactivity (OR 0.84, 95% CI 0.72-0.98) (table 3). The association was no longer significant when the study participants were restricted to shift workers (OR 0.87, 95% CI 0.73-1.03). Other objective working time characteristics were not associated with physical inactivity, and no working time characteristic was associated with lack of vigorous activity either.

Table 4 displays the OR of intra-individual changes in worktime control, working hours, and having small children for physical inactivity among shift workers. For worktime control variable components, control over time off was included in the models because it is more relevant for shift workers than control over daily hours. In the adjusted model, having small children remained significantly associated with physical inactivity in shift work (OR 1.47, 95% CI 1.32-1.65).

## Discussion

Based on analysis of this extensive body of prospective data, this study determined that shift work with night shifts was associated with more physical activity, but the association is restricted to women and white-collar workers. Changes in objective working time characteristics were not associated with changes in physical inactivity among shift workers. Having small children was associated with physical inactivity among shift workers.

The finding that shift work with night shifts was associated with more leisure-time physical activity, especially light intensity activities, was consistent with a large-scale Dutch survey study (15) and two smaller studies (14, 16).

Findings from our objective registry data that night shifts were associated with more physical activity in the whole register cohort but not among shift workers implies that working night shifts, generally, rather than working a high percentage of night shifts affects physical activity. A study by Loef et al (15) suggested that shift workers slept fewer hours and therefore had more time for light physical activity. However, a recent study using the same FPS Study cohort discovered that shift work with night shifts was associated with longer sleep duration (40). We suggest that engaging in shift work with night shifts allows workers to utilize free daytime hours and free weekdays, and their attendant superior daylight hours, to engage in physical activities.

The association between shift work with night shifts and physical activity was significant only among women and white-collar workers. In general, men have longer working hours, more non-day shifts, and less recovery time than women, and this was observed also in Finland (41). It is thus possible that men have a higher need for recovery from shift work than women (42, 43). On the other hand, it has been reported that men tend to drive to work, whereas women walk or cycle more frequently (44, 45). It is possible that women performed more light-to-moderate intensity exercise during their commutes. A Japanese study also demonstrated that female shift workers managed to maintain healthy lifestyles more effectively compared with male shift workers (46). Therefore, female shift workers may compensatorily increase physical activity when they work night shifts. Our findings also indicated that blue-collar workers did not display changes in physical activity when they changed between day and shift work, probably because they had a consistently low level of leisure-time activity compared with white-collar workers (9).

In this study, objective variables of shift intensity were not significantly associated with physical activity, although they were associated with fatigue and sleep in another study with the same cohort (32). This finding indicates that changes in physical activity occur more slowly than changes in sleep and fatigue; therefore, longer exposure may be needed to bring about changes in physical activity. Leisure-time physical activity may also be more responsive to work schedule changes than to shift intensity changes, and intra-individual variation of these shift intensity variables over time were relatively small in our study sample. A cross-industry or cross-nation comparison with larger variations in shift intensity might yield significant findings.

Consistent with previous studies, we also observed lower worktime control among shift workers compared with day workers (27, 28). Nevertheless, low worktime control was not associated with physical inactivity among shift workers, but having small children was. This finding is consistent with earlier discoveries that physical activity levels are lower among parents of small children, especially mothers (47). In addition, work-family conflict has been reported to be associated with shift work more often than with regular day work (33, 48) and is associated with changing from shift work to day work (49). To encourage physical activity among shift workers and also to maintain the workforce, future studies should examine the barriers to engaging in physical activity among shift workers with young children.

A strength of this study is that it used an extensive longitudinal dataset that was collected over 17 years to examine the association between work schedule, working hour characteristics, and physical activity. The long follow-up period allowed us to investigate individual changes in health behavior along with changes in working schedules. The use of longitudinal fixed-effects models enabled us to minimize the selection bias that is commonly seen in shift work studies. Second, the use of objective data regarding working hours makes this study unique because it could analyze precise working hour information.

Nevertheless, this study has some limitations. First, physical activity was self-reported, rendering it vulnerable to participant interpretation and recall bias. In one study, workers were observed to over-report engaging in vigorous physical activities, especially workers with fatigue symptoms (50). Furthermore, shift workers who became ill during the follow-up tended to switch to day work (51) and became less physically active compared with the time before the illness. Therefore, the association between shift work and physical activity may have been overestimated in our study. Second, the study participants were Finnish workers from a female-dominated public sector with working conditions, including working hours and work-life balance, that could be more favorable than the work conditions in other sectors or countries (52). It is expected that in an industry or country with long working hours or high shift intensity, the association between shift work and physical activity may be different. The interpretation of the results

of this study should thus be restricted to workplaces with normal working hours and societies with similar working times (53).

In conclusion, we discovered that changes in work schedule were slightly associated with changes in physical activity. The association depended on gender and occupation. Among women and white-collar workers, the shift work-related health risks are unlikely the result of decreased physical activity. On the contrary, shift workers may have elevated awareness of their health risks and may attempt to compensate for the health risks by increasing their physical activity. Nevertheless, shift workers with small children were found to be at higher risk of physical inactivity. Access to childcare services when parents work shifts, support for childcare from a spouse or other family members, and public resources that make engaging in physical activity easier for those with children would help promote physical activity among shift workers.

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#### Conflict of interest

The authors declare no conflicts of interest.

#### Sidebar

We combined objective working hour data with surveys on physical activity over 17 years. Compared with day work, shift work without night shifts was associated with physical inactivity among men, whereas shift work with night shifts was negatively associated with physical inactivity among women and white-collar workers. Having small children was a risk factor for physical inactivity among shift workers.

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Key terms: Finland; Finnish Public Sector Study; fixed effect; fixed-effects modeling; leisure-time physical activity; longitudinal study; physical activity; physical inactivity; shift work; shift worker; work schedule; working time

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#### Additional material

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## DETAILS

**Subject:** Socioeconomic factors; Shift work; Working conditions; Workers; Risk factors; Chronic illnesses; Children; Night shifts; Public sector; Working hours; Risk analysis; Nurses; Longitudinal studies; Confidence intervals; Employees; Metabolic disorders; Health risk assessment; Nighttime; Schedules; Health risks; Correlation analysis

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# Do gender and psychosocial job stressors modify the relationship between disability and sickness absence: An investigation using 12 waves of a longitudinal cohort

## ABSTRACT (ENGLISH)

**Objectives** A considerable proportion of the working population reports a disability. These workers may be at risk of adverse outcomes, including longer periods of sickness absence. This study examined the causal effect of disability on sickness absence and the role of psychosocial job stressors and gender as effect modifiers. **Methods** Data on paid and unpaid sick leave, disability (yes/no) and psychosocial job stressors were available from 2005 to 2017 from the Household, Income and Labour Dynamics in Australia (HILDA) survey. Negative binomial models were used to model the rate of sickness absence in a year. **Results** In the random effects model, workers with disability had 1.20 greater rate of sickness absence in a year [95% confidence interval (CI) 1.17-1.23,  $P < 0.001$ ] after adjustment for confounders. The rate was slightly lower in the fixed effects model. There was evidence of multiplicative interaction of the effect by gender and job control. The effect of disability on sickness absence was greater among men than women, and higher for people with low job control compared to those with high job control. **Conclusions** There is a need for more research about the factors that can reduce sickness leave among workers with disabilities.

## FULL TEXT

### Headnote

**Objectives** A considerable proportion of the working population reports a disability. These workers may be at risk of adverse outcomes, including longer periods of sickness absence. This study examined the causal effect of disability on sickness absence and the role of psychosocial job stressors and gender as effect modifiers.

**Methods** Data on paid and unpaid sick leave, disability (yes/no) and psychosocial job stressors were available from 2005 to 2017 from the Household, Income and Labour Dynamics in Australia (HILDA) survey. Negative binomial models were used to model the rate of sickness absence in a year.

**Results** In the random effects model, workers with disability had 1.20 greater rate of sickness absence in a year [95% confidence interval (CI) 1.17-1.23,  $P < 0.001$ ] after adjustment for confounders. The rate was slightly lower in the fixed effects model. There was evidence of multiplicative interaction of the effect by gender and job control. The effect of disability on sickness absence was greater among men than women, and higher for people with low job control compared to those with high job control.

**Conclusions** There is a need for more research about the factors that can reduce sickness leave among workers with disabilities.

**Key term** job control; HILDA survey; sick leave; sickness leave; unpaid leave; working condition.

Globally, the prevalence of workers with disabilities is substantial (1) and is projected to increase in many countries worldwide (2). Disability is a complex construct. The most commonly accepted definition disability is based on the International Classification of Functioning, Disability and Health, where disability is an umbrella terms that includes impairments (eg, loss of a limb), limitations (eg, unable to dress independently) and participation restrictions (eg, unable to go to community events) (3). Although statistics vary between countries, evidence suggests that 50-80% of people with disabilities are employed across the OECD (4). In Australia, 53% of people with a disability were employed in 2015 (5). Research suggests that people with disabilities disproportionality experience poorer quality working experiences (6), including being underemployed (7) and reporting unfair pay for the work they do (8).

Workers with disabilities are more likely to exit into "not in the labour force" (NILF) and unemployment (9) than those without disabilities. Workers with disabilities may also have a high rate of sickness absence. The rationale for this argument stems from the substantial amount of research demonstrating a relationship between chronic mental or



physical health problems and sickness absence (10, 11). In particular, people with mental health disorders have been found to have twice the odds of sickness absence (11), while those who suffer from poor physical health and experience pain and injury (12) are at greater risk of taking longer periods of sickness absence. Gender also appears to be an important factor for consideration in sickness absence (13), which may be connected to greater ill-health burden among women. It is also important to consider that females are also more likely to be primary carers for children or other dependents (14). Thus, females may be taking sickness absence in order to care for others (15). Past research also suggests that workplace-related factors need to be addressed in sickness absence interventions (16). This is because workplace-related factors such as psychosocial job stressors (eg, low job control and high job demands) have been shown to be associated with increased risk of sickness absence (17, 18). However, there is lack of quantitative research on whether targeting these stressors could lead to a reduction in sickness absence among workers with disabilities. Similarly, there is a lack of research on whether the gendered dynamics of sickness absence observed in previous studies are also apparent among workers with disabilities. Understanding the drivers of sickness absence among at-risks groups such as those with a disability will be of key interest to government and employers, particularly since there has been considerable investment in disability employment programs in many countries around the world (19). In the UK, the cost of sickness absence was estimated to be £9 billion annually in terms of lost productivity, with costs thought to be similar in other OECD countries (20). These costs extend beyond the workplace as sickness absence is predictive of exiting from the labour market onto disability pension (21-23), and thus has direct costs for the government. There are also community and individual costs as sickness absence is strongly correlated with a range of poor health outcomes at a population level, including mortality, depression, and hypertension (24).

The aim of the current paper is to examine the relationship between disability and sickness absence in Australia's working-age population, as well as examining important factors that may modify this association. In particular, we will examine whether being exposed to psychosocial job stressors (eg, low job control, high job demands, low job security, and low fairness of pay) results in greater sickness absence among persons with disabilities. We will also examine the role of gender as an effect modifier of the relationship between disability and sickness absence based on previous research demonstrating that sickness absence is a highly-gendered experience.

## Methods

### Data source

The Household, Income and Labour Dynamics in Australia (HILDA) survey is a longitudinal, nationally representative study of households established in 2001. It collects detailed information annually from over 13 000 individuals within over 7000 households (25). The initial survey wave began with a large national probability sample of households occupying private dwellings (25). The survey covers a range of dimensions including social, demographic, health and economic conditions using a combination of face-to-face interviews with trained interviewers and a self-completion questionnaire. The response rate for wave 1 was 66% (25). Interviews were sought in later waves with all persons in sampled households who turned 15 years of age. Additional persons have been added to the sample as a result of changes in household composition. Inclusion of these new households is the main way the HILDA survey maintains sample representativeness. A top-up sample of 2000 people was added to the survey in 2011 allowing better representation of the Australian population using the same methodology as the original sample (ie, a three-stage area-based design) (26). The response rates for the HILDA survey are >90% for respondents who have continued in the survey and >70% for new respondents being invited into the study (25). Those who were eligible for the study were working age people who had been employed continuously for >3 consecutive waves of HILDA. Only these consecutive waves (>3) were included in the analysis. As a sensitivity analysis and to test for consistency, we assessed results restricting to workers who reported their employer provided paid sick leave. The flow chart into the study can be seen in figure 1.

The Australian Government Department of Social Services Access provided access to HILDA, and the research conforms to the principles embodied in the Declaration of Helsinki.

### Outcome

The primary outcome was a self-reported measure of days of sickness absence in the 12 months prior to their interview and following the last HILDA survey. This was ascertained through two questions: one on the number of days participants spent on paid sick leave in the last 12 months, and the other asking about days of unpaid leave in the last 12 months. Days of paid and unpaid sick leave were summed and used to calculate an incidence rate (number of sick days in a year/total number of days in a year). The Australian National Employment standards stipulate that permanent employees have a >10 paid sick leave days per year. Recognizing that people with disabilities may require more sick leave than the paid sick leave provided by the employer, we also considered days of unpaid leave within a 12-month period. Paid and unpaid sick leave were summed. Information on paid and unpaid sickness absence is available in HILDA from 2005 onwards.

#### Exposure

Disability was determined from the following survey question "...do you have any long-term health condition, impairment or disability that restricts you in your everyday activities, and has lasted or is likely to last, for six months or more?". Specific examples of long-term conditions were shown, such as limited use of fingers or arms, long-term psychological problems, or problems with eyesight that could not be corrected with glasses or contact lenses. Disability was classified as a binary variable (yes/no). As a sensitivity analysis (discussed below), we conducted further analysis on individuals who acquired a disability (incident disability). This included those who had at least two consecutive waves of disability preceded by >2 consecutive waves without disability. We also considered a time-invariant definition of disability where we had three groups of disability: consistently reported in all contributed waves of HILDA, time varying reported disability (reported in some contributed waves and not others), and no reported disability in any contributed waves.

#### Effect modifiers

Gender (male or female) was collected from 2001 onwards. We considered four main psychosocial job stressors as possible effect modifiers. These were: job control (three items), job demands and complexity (three items), job insecurity (three items), and fairness of pay. All scales were based on a likert scale, where 1 was "strongly disagree" and 7 was "strongly agree". Scale reliabilities for these variables are based on analyses of all waves of data. Job control included: "I have a lot of freedom to decide how I do my own work"; "I have a lot of say about what happens on my job", and; "I have a lot of freedom to decide when I do my work". The scale reliability coefficient for these three items was 0.82. Job demands and complexity included: "My job is complex and difficult"; "My job often requires me to learn new skills", and; "I use many of my skills and abilities in my current job". The scale reliability coefficient was 0.72. Job insecurity included: "I have a secure future in my job"; the company I work for will still be in business 5 years from now", and; "I worry about the future of my job" (which was reverse coded). The scale reliability coefficient was 0.67. Fairness of pay was calculated from one item: "I get paid fairly for the things I do in my job". We dichotomized each total job stressor scale at the 75th percentile to create a binary variable representing those exposed versus non-exposed to each job stressor. We used the most-adverse quartile dichotomization based on previous predictive validation of these measures in relation to health outcomes (27).

#### Confounders

Our confounders included age (15-24, 25-29, 30-34, 35-44, 45-54 and 55-64 years), education [less than Year 12 (high school), Year 12, diploma or certificate, bachelors degree or higher], household structure (couple without children, couple with children, lone parent with children, lone person, and other), weekly household income (equivalized), employment arrangement (permanent, casual, fixed term, and self-employed) and a three-level variable capturing occupational skill level defined using the Australian and New Zealand Standard Classification of Occupations: low (eg, sales workers, machinery operators and drivers, laborers), medium (eg, technicians and trade workers, community and personal services workers, clerical and administrative workers), and high (managers, professionals) (28). Gender was included as a confounder in main effects models, before being assessed as an effect modifier.

#### Analysis

At the first stage of analysis, we looked at the descriptive associations (means, frequencies) of disability and

sickness absence by the effect modifiers.

We then used negative binomial random and fixed effects (FE) regression to model the relationship between sickness absence and disability as there was evidence of over-dispersion in the outcome variable. Random effects (RE) models model within and between individual variation while RE models only model within individual variation. The coefficients produced from RE models represent a weighted average of the estimates due to the within and between person effects. In our case, the RE coefficients for the effects of disability on sickness absence represent a combination of the relationship observed when we look at disability and sickness absence across (or between) different people and the relationship occurring within persons (eg, changes in disability status and days of sickness absence in a year within the same person over time).

It was not possible to fit these fixed effect models with negative binomial outcomes panel models in commercial statistical packages [such as Stata/SE 15.0 for Mac (64-bit Intel) (StataCorp, College Station, TX, USA)], therefore we replicated the FE approach manually by generating the difference scores (ie, deviation from each individuals' mean score for all time-varying covariates) and implemented the model as a RE model. However, this approach estimates within-person effects only because all between-person differences are removed due to lack of variation in subject-specific means). These are referred to as FE models in the paper. Coefficients were transformed into incident rate ratios to ease interpretation.

The possibility that the relationship between disability and sickness absence differed for males and females was assessed by examining the statistical significance of the interaction terms and the results of the likelihood ratio test. This was tested in a negative binomial model. The same approach was used to assess if the relationship differed depending on reporting of psychosocial job stressors. We then used the approach to presenting effect modification results recommended by Knol & VanderWeele (29) and computed effect measure modification on the additive and multiplicative scales. Using this approach, additive effect measure modification was assessed by estimating the relative excess risk of interaction (RERI), which occurs when the effect of the exposure and the effect modifier considered together exceeds the sum of individual effects of the exposure and effect modifier.

Additive interaction term =  $p_{11} - p_{10} - p_{01} - p_{00}$

Where  $p_{11}$  refers to the probability of the outcome for the exposed when the effect modifier is present.

The multiplicative effect measure modification is measured on the ratio scale and is estimated from the interaction term in the model. It represents the extent to which, on the ratio scale, the effect of the exposure and the effect modifier exceeds the product of the effects considered separately (30).

(ProQuest: ... denotes formula omitted.)

Additive effect measure modification is particularly useful when estimating the absolute benefit of interventions on the effect modifier of interest (30).

As both disability and psychosocial job stressors were time varying, a participant could change on one or both of these and still be included in the coefficient for this term. Because of this, we conducted an additional analysis to assess possible differences when we did not allow disability (our exposure) to vary. To do this, we constructed three groups (consistently reported disability, disability reported in some waves and not other and no disability) and this three-level variable was included and modelled in relation to changes in psychosocial job stressors and changes in sickness absence. As a sensitivity analysis, we calculated marginal effects (ie, taking the effects of the log scale) to understand the absolute effect of a given point increase in sickness absence in relation to a point change in the effect modifiers within strata of disability. As noted above, we considered a further sensitivity analysis restricted to workers who reported that their employers provided them with paid sick leave. All analyses were performed using Stata, version 15.1.

## Results

The mean days of sickness absence was higher for people who reported a disability [5.73, standard deviation (SD) 11.41] compared to people who did not report a disability (mean 4.05, SD 7.53). Table 1 also shows the mean days of sickness absence in relation to the effect modifiers in the analytic sample. Females generally had higher sickness absence than men. Workers with lower control and lower reported fairness of pay had more sickness absence than

those with higher control. Results are more mixed for job demands and security, as those with low security and demands reported slightly more days of sickness absence in a year. A description of the analytic sample can be seen in table 2.

Results of the negative binomial models can be seen in table 3. These show that in both the RE [risk ratio (RR) 1.20, 95% CI 1.17-1.23,  $P < 0.001$ ] and FE (RR 1.16, 95% CI 1.14-1.19,  $P < 0.001$ ) models, disability was associated with a greater number of sickness absence in a year after adjustment (eg, there was a 1.20 and 1.16 times greater rate of sickness absence, respectively, when a person reported a disability). Results were also consistent when we tested a model restricting the sample to people who had acquired a disability (RE model RR 1.27, 95% CI 1.21-1.34,  $P < 0.001$ ; FE model RR 1.26, 95% CI 1.19- 1.34,  $P < 0.001$ ).

Results of the interaction tests using the RE model showed that only gender and job control were significant effect modifiers (both related to the interaction terms in the model and also the likelihood ratio tests). Results of these two models can be seen in table 4. There was a negative multiplicative (but not additive) interaction between disability and gender. As can be seen, there is a greater difference in sickness absence between men with a disability compared to those without (RR 1.24, 95% CI 1.21-1.28) than between women with and without a disability (RR 1.17, 95% CI 1.14-1.21). This was also seen in the marginal effects model which also estimates absolute differences (supplementary material, [www.sjweh.fi/show\\_abstract.php?abstract\\_id=3865](http://www.sjweh.fi/show_abstract.php?abstract_id=3865), table S1).

Workers with disability who also had low control had a rate of sickness absence 1.43 times greater (95% 1.38-1.48) than workers with high control and no disability. Low control also had a slightly higher relative effect on sickness absence for people with disability (RR 1.19, 95% CI 1.15-1.24) compared to those without (RR 1.15, 95% CI 1.12-1.17). These results were also apparent when we assessed the marginal effects model (supplementary table S1), in analyses where disability was held constant (supplementary table S2), and also when we restricted analysis to those who had an entitlement to sick leave (supplementary table S3).

## Discussion

The results of this study indicate that psychosocial working conditions, such as low job control, influence the likelihood of taking sickness absence, both among people with and without disabilities. Our research also highlights the role of gender in relation to sickness absence. Reporting a disability (including the acquisition of disability) was associated with 1.2 times greater rate of sickness absence for a person compared to when they did not report a disability.

Our findings indicate that workers with disabilities take more sickness leave than those who do not have disabilities, which is an unsurprising finding given the literature we cite above. However, as an advance on previous research, we demonstrate within-persons changes in sickness absence in relation to the presence of a disability. This indicates the likely causal effect of disability on sickness absence after controlling for other time varying and invariant factors. Furthermore this effect was still evident when we restricted the sample to people who acquired a new disability. An obvious reason for these results is connected to a worsening of health conditions (10, 11). However, there are a range of factors that may influence sickness absence outside an individual's health. In particular, discrimination, stigma and bullying have been reported to be elevated among employed people with disabilities compared to those without disabilities (31). Previous research has shown a link between the experience of these types of adverse workplace experiences and greater likelihood of sickness absence (32, 33, 34). Hence, people with disabilities may be more exposed to stressors such as discrimination and bullying in workplace settings, and these may contribute to greater likelihood of taking sickness absence.

Another pertinent factor is low job control, which we found was predictive of sickness absence. We found that this was especially important to people with a disability (ie, there was a 1.24 times greater rate of taking sickness absence among people with disabilities experiencing low control compared to people with disabilities who reported high job control). There is a considerable amount of research demonstrating the importance of job control as both a determinant of sickness absence (34) and other health outcomes (35). Hence, we would suggest that providing workers with disabilities a greater amount of control of where, how, and when they work might be a useful way of addressing sickness absence. This may include allowing people the flexibility of working from home for a proportion

of their working time, as well as providing some flexibility over what they are doing within their working day. Our results also highlight the role of gender as a factor when considering the relationship between disability and sickness absence. Confirming previous research, we found that women were more likely to take sickness absence leave than men (13). However, results shifted once we considered the role of disability. We found that males with disabilities were more likely to take sickness absence than males without disabilities, and this difference was greater on the multiplicative scale than what we found for women. The different working life of men and women must be acknowledged here. In general, men are less likely to have periods out of the workforce (36). Men are also less likely to take sickness absence (18). In converse, the working life of women may be more disrupted as, in general, women are disproportionately responsible for looking after dependents and have other domestic responsibilities (36). On the basis of this, it is possible that disability has a greater impact on the working life of men leading to a stronger impact on sickness absence. This difference may be less obvious for women who are already likely to be more likely to take sick leave than men. However, the relative size of effect is small and so it would be premature to base reforms on these findings. These findings need further investigation in other datasets.

One of the first limitations of this research concerns the conceptual and methodological difficulty in separating disability from sickness absence. In this study, we attempted to address this through careful research design, such as using different definitions of disability and using FE modelling approaches. The disability acquisition analysis we conducted demonstrated an effect on sickness absence following disability onset. We also examined the impact of disability after this was held constant within a person. Regardless of these methodological approaches, we acknowledge that this is still a limitation, both conceptually and methodologically. Notwithstanding, there is a growing number of studies demonstrating the effect of employment conditions on the health of people with disabilities (eg, 37, 38). Hence, we would argue that our results are still important and have validity. It is also important to acknowledge likely differences based on the severity and type of impairment a person has, which we did not have the power to examine in this analysis. Other limitations are related to the fact that the data was self-reported, hence there is the possibility of misclassification bias. In saying this, we attempted to control for this by using a FE model to contrast within-person differences across the comparison groups. A FE model does this by holding stable factors constant, hence a person is able to act as their own control. There are many other important psychosocial aspects of the work environment that were not included in HILDA (eg, social support and bullying at work) that could also influence the relationship between disability and sickness absence. Further while classification of these psychosocial job stressors is based on previous research (27) and the use of relative measures (eg, upper quartile) is common (eg, relative poverty), future research should examine whether critical points can be identified so that absolute measures can be used (39).

In stating these limitations, there were also several strengths in this study. This included the ability to examine within-person effects controlling for time-invariant confounders that may have otherwise biased results. Further, we were able to include the entire spectrum of the employed population as we considered both paid and unpaid leave from work. This is particularly important in Australia as casual and self-employed persons do not usually have entitlement to paid sick leave.

In conclusion, this study highlights the role of both individual (eg, gender) and work-related (eg, job control) factors on the relationship between disability and sickness absence. From a prevention perspective, we would suggest that allowing people greater ability to have control of where, how and, when they work may be a way to address sickness absence. This might be particularly important for people with disabilities, especially given increased governmental emphasis on improving employment of people with disabilities internationally (19). There is also some evidence to suggest the role of clinical support and graded activities (eg, slow integration back into work) as a way to reduce sickness absence (16), although more research on intervention and prevention of sickness absence is needed.

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Australian Government Department of Social Services DSS and managed by the Melbourne Institute of Applied Economic and Social Research Melbourne Institute. The findings and views reported in this paper, however, are those of the author and should not be attributed to either DSS or the Melbourne Institute. The data used in this paper was extracted using the Add-On Package PanelWhiz for Stata. PanelWhiz ([www.PanelWhiz.eu](http://www.PanelWhiz.eu)) was written by Dr. John P. Haisken-DeNew ([john@PanelWhiz.eu](mailto:john@PanelWhiz.eu)).

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Conflict of interest

The authors declare no conflicts of interest.

### Sidebar

This study reports that workers with disabilities may be more likely to take sickness absence. Gender and job control are important considerations in understanding these absences. Modifying working conditions may therefore reduce the likelihood of workers with disabilities taking sickness absence.

Milner A, Aitken Z, Byars S, Butterworth P, Kavanagh A. Do gender and psychosocial job stressors modify the relationship between disability and sickness absence: An investigation using 12 waves of a longitudinal cohort. *Scand J Work Environ Health*. 2020;46(3):302-310. doi:10.5271/sjweh.3865

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\* Sadly, Professor Allison Milner died tragically on 12 August 2019 after this paper was submitted for publication.

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## DETAILS

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# The COVID-19 (Coronavirus) pandemic: consequences for occupational health

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## ABSTRACT (ENGLISH)

We live in unprecedented modern times experiencing how an outbreak of a particular viral disease, COVID-19, caused by SARS-CoV-2, also commonly referred to as the Coronavirus, is disrupting societies and personal lives. The virus is likely to spread to most, if not all, countries, illustrating the interconnectedness of the world. While many national measures to contain, suppress, mitigate, or delay the spread of the virus are being taken, there is great uncertainty as to which measures are appropriate or not, varying from instructions of stringent hand hygiene; travel restrictions; social distancing; and closure of schools, restaurants, bars and shops to a complete lock down of large parts of society. Here, Burdorf et al discuss the long-lasting societal effects of this pandemic.

## FULL TEXT

### Headnote

Key terms: Coronavirus; COVID-19; editorial; occupational health; occupational health; pandemic

We live in unprecedented modern times experiencing how an outbreak of a particular viral disease, COVID-19, caused by SARS-CoV-2, also commonly referred to as the Coronavirus, is disrupting societies and personal lives. The virus is likely to spread to most, if not all, countries, illustrating the interconnectedness of the world. At the time of writing, Italy and Spain have become the epicenters in terms of fatalities in Europe, whereas the United States has recorded the most diagnosed cases worldwide. While many national measures to contain, suppress, mitigate, or delay the spread of the virus are being taken, there is great uncertainty as to which measures are appropriate or not, varying from instructions of stringent hand hygiene; travel restrictions; social distancing; and closure of schools, restaurants, bars and shops to a complete lock down of large parts of society. Science-based evidence informing the policy about the efficaciousness and possible adverse effects of these measures are urgently needed. As there is a lack of both data and insight into the mechanisms of the pandemic, generating this science-based evidence will take some time. Key epidemiological numbers, such as the attack rate of the disease and the infection-hospitalization and infection-fatality ratios, are not yet available, and estimates based on the existing limited data come with huge uncertainties (1,2). Thus, scientists blindfolded by the lack of data have to inform a policy that needs to decide about far-reaching measures fundamentally changing societies and individuals' lives. It's an uncomfortable situation. COVID-19 is a tremendous challenge for occupational health. Workers in many occupations are facing high risks of becoming infected. There is a long list of jobs that involve direct contact with the public and close physical proximity to others. Workers in shops, bars, restaurants, fast food, and delivery services are at increased risk for exposure to infected persons due to the large number of daily contacts. Barbers, manicurists, and physical therapists work in close proximity to their customers. However, there are also many jobs where workers have the freedom of being able to work from home, thus considerably reducing the risk to contract the virus. For example, the authors of this editorial are working from home, but communication is easy through email and Skype. Without any doubt, healthcare personnel deservedly receive nationwide attention these days. They are at the forefront of combatting this outbreak. Not only is their work stress at record high, their healthcare organizations are



under severe pressure and many are struggling to cope with care needs of so many critically ill patients simultaneously. Healthcare professionals are at increased risk of exposure to high viral load because of their close contact with COVID-19 patients, which puts them at risk of becoming infected. At the same time, they themselves are an important source of transmitting the disease to colleagues, patients, friends, and family. Healthcare workers cannot stay at home in times of capacity problems in health emergencies. In a recent letter, a physician asked himself the question: "Am I part of the cure, or am I part of the disease?" (3)

Early evidence from Wuhan, the origin of the worldwide outbreak, indicated that - of all Coronavirus infected cases - almost 4% had comprised healthcare personnel, and five deaths among healthcare workers had been confirmed (4). In Italy, the most affected country in Europe, on March 26, 2020, it was reported that more than 5,000 healthcare workers had tested positive for the Coronavirus and more than 40 had died as a result of COVID-19 (5). The daily reality in Italian hospitals is grim: insufficient testing capacity, lack of suitable protective equipment, lack of mechanical ventilators, wards not isolated from each other, and patients in beds in corridors. The capacity of the national health system is stretched to its limits and, in the most affected region of Lombardy, clearly insufficient (6). In such a crisis, it is imperative to ensure that healthcare workers are protected, for their own safety and to safeguard the healthcare system but also to prevent transmission of the virus. It is obvious that we have to revert to the proven strategies to protect workers by creating awareness of risks and providing personal protective equipment as well as appropriate hygiene procedures. Almost 15 years ago, prompted by the SARS epidemic, Descatha and colleagues (7) already pleaded for such contingency plans in healthcare in the event of an influenza pandemic. Companies will have to deal with the psychosocial and psychological consequences of the current Coronavirus outbreak. Specifically, healthcare organizations will need to deal with insomnia, burnout, depressive symptoms, and post-traumatic stress disorders among healthcare workers. In Wuhan hospitals support teams have been set up to provide individual psychological guidance and group-based interventions (8). An important source of psychological distress are the impossible decisions (who to treat first?) under extreme work pressure. A recent analysis of previous major incidents called not only for peer-support programs during the crisis but also for active monitoring and adequate availability of mental treatment to prevent long-term damage to healthcare staff (9).

The long-lasting societal effects of this pandemic are impossible to estimate yet. National, regional or global economic recessions seem to be inevitable. We know from previous economic crises, such as the recession in Finland in the 1990s (10) and the global financial crisis of 2007-2009 (11,12) that there were marked effects on people's health, both on those who lost their jobs and those remaining at work. Thus, efforts on containment, suppression and mitigation are not only needed with regard to the virus but also with regard to possible adverse societal and economic consequences.

COVID-19 will have both a short-term and long-lasting impact on societies, healthcare systems, workplaces and individuals alike. As occupational health professionals we must contribute with our knowledge and insights to provide appropriate occupational health for all workers affected directly and indirectly by this pandemic.

#### **Sidebar**

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## DETAILS

**Subject:** Pandemics; Social distancing; Severe acute respiratory syndrome coronavirus 2; Hygiene; Disease control; Medical personnel; Viruses; Viral diseases; COVID-19; Schools; Coronaviruses; Fatalities; Decision making; Epidemics; Occupational health; Public health; Mental health; Disease transmission; Economic crisis; Employment; Personal hygiene; Workers; Recessions

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## Bibliography

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Nikkilä, A., Arvela, H., Mehtonen, J., Raitanen, J., Heinänen, M., Lohi, O., & Auvinen, A. (2020). Predicting residential radon concentrations in Finland: Model development, validation, and application to childhood leukemia. *Scandinavian Journal of Work, Environment & Health*, 46(3), 278-292,278A. doi:<https://doi.org/10.5271/sjweh.3867>

**Objectives** Inhaled radon gas is a known alpha-emitting carcinogen linked especially to lung cancer. Studies on higher concentrations of indoor radon and childhood leukemia have conflicting but largely negative results. In this study, we aimed to create a sophisticated statistical model to predict indoor radon concentrations and apply it to a Finnish childhood leukemia case-control dataset. **Methods** Prediction was based on ~80 000 indoor radon measurements, which were linked to national registries for potential indoor radon predictors based on the literature. In modelling, we used classical methods, random forests and deep neural networks. We had 1093 cases and 3279 controls from a nationwide case-control study. We estimated odds ratio (OR) for childhood leukemia using conditional logistic regression adjusted for potential confounders. **Results** The  $r^2$  of the final log-linear model was 0.21 for houses and 0.20 for apartments. Using random forest method, we were able to obtain slightly better fit for both houses ( $r^2 = 0.28$ ) and apartments ( $r^2 = 0.23$ ). In a risk analysis based on the case-control data with log-linear model, we observed a non-significant ( $P=0.54$ ) increase with predicted radon concentrations OR for the 2nd quartile 1.08, 95% confidence interval (CI) 0.77-1.50, OR 1.10 with 95% CI 0.79-1.53 for the 3rd, and 1.29 with 95% CI 0.93-1.77 for the highest quartile]. **Conclusions** Our modelling and the previously published models performed similarly but involves major uncertainties, and the results should be interpreted with caution. We observed a slight non-significant increase in risk of childhood leukemia related to higher average indoor radon concentrations.

Nielsen, M. B., & Knardahl, S. (2020). The impact of office design on medically certified sickness absence. *Scandinavian Journal of Work, Environment & Health*, 46(3), 330-334,330A. doi:<https://doi.org/10.5271/sjweh.3859>

**Objective** The aim of this study was to determine the impact of three different office designs (cellular office, shared office, and open-plan workspace) on the risk of medically certified sickness absence and the number of days, respectively, of medically certified sickness absence over a 12-month follow-up period. **Methods** The study relied on a combination of self-report survey questionnaire data on office design supplemented with official registry data number of days with sickness absence from the Norwegian Labor and Welfare Administration. The sample comprised 6328 Norwegian office workers (57% women, age range: 19-70 years, mean age: 44 years). **Results** Adjusting for survey year, employees working in a shared office risk ratios (RR) 1.18, 95% confidence interval (CI) 1.10-1.27] and an open-plan workspace (RR 1.12, 95% CI 1.02-1.22) had significantly higher risk of having had medically instances of certified sickness absence when compared to employees working in a cellular-office. Office design was not related to the number of days with absence. The associations were consistent across organizational affiliation, age, gender, whether the respondent had leadership responsibility, and educational level. **Conclusion** The use of shared offices and open-plan workspaces is a risk factor for medically certified sickness absence. Providing employees with the opportunity to work in cellular offices may reduce absence rates. **Key terms** cellular office; health; open office; open-plan office; registry data; shared office; shared workstation; sick leave; workability.

Yung, M., Evanoff, B. A., Buckner-Petty, S., Roquelaure, Y., Descatha, A., & Dale, A. M. (2020). Applying two general population job exposure matrices to predict incident carpal tunnel syndrome: A cross-national approach to improve estimation of workplace physical exposures. *Scandinavian Journal of Work, Environment & Health*, 46(3), 248-258,248A. doi:<https://doi.org/10.5271/sjweh.3855>

**Objectives** A job exposure matrix (JEM) is a tool to estimate workers' exposure to occupational physical risk factors. We evaluated the performance of two general population JEM (CONSTANCES and O·NET) to detect known exposure-disease relationships in an American prospective cohort study. We compared exposure estimates from three data sources and explored whether combining exposures from these two JEM, or combining exposure from each JEM with individual-level measures, improved prediction of carpal tunnel syndrome (CTS). **Methods** Using Cox proportional hazard models, we evaluated relationships between physical work exposure and incident CTS of 2393 workers using JEM-assigned and individual-level measure exposure information. We compared exposure estimates

using Spearman's rank correlation and Cohen's kappa. We compared combined exposure models to single source exposure models by using binomial logistic regression and examined differences based on model fit and performance. Results The O-NET JEM hazard ratio (HR) range 1.3-2.01] demonstrated generally similar exposure-disease associations as individual-level measures (HR range 1.00-1.42); we found fewer associations with the CONSTANCES JEM (HR range 1.08-2.05). Comparisons between the three sources showed stronger correlations and agreement at the job versus worker level. Combined models improved goodness-of-fit and had lower Akaike information criterion (AIC) values compared to single-source models. Conclusions JEM can be applied cross nationally and there is potential to combine complementary exposure methods to improve estimation of workplace physical exposures in the prediction of CTS. More investigations are needed to explore exposure-disease associations in other samples and combinations of exposure data from different methods.

Kreshpaj, B., Orellana, C., Burström, B., Davis, L., Hemmingsson, T., Johansson, G., . . . Bodin, T. (2020). What is precarious employment? A systematic review of definitions and operationalizations from quantitative and qualitative studies. *Scandinavian Journal of Work, Environment & Health*, 46(3), 235-247,235A. doi:<https://doi.org/10.5271/sjweh.3875>

Objectives The lack of a common definition for precarious employment (PE) severely hampers the comparison of studies within and between countries, consequently reducing the applicability of research findings. We carried out a systematic review to summarize how PE has been conceptualized and implemented in research and identify the construct's dimensions in order to facilitate guidance on its operationalization. Methods According to PRISMA guidelines, we searched Web of Science and Scopus for publications with variations of PE in the title or abstract. The search returned 1225 unique entries, which were screened for eligibility. Exclusion criteria were (i) language other than English, (ii) lack of a definition for PE, and (iii) non-original research. A total of 63 full-text articles were included and qualitative thematic-analysis was performed in order to identify dimensions of PE. Results We identified several theory-based definitions of PE developed by previous researchers. Most definitions and operationalizations were either an accommodation to available data or the direct result of qualitative studies identifying themes of PE. The thematic-analysis of the selected articles resulted in a multidimensional construct including the following three dimensions: employment insecurity, income inadequacy, and lack of rights and protection. Conclusions Despite a growing number of studies on PE, most fail to clearly define the concept, severely restricting the advancement of the research of PE as a social determinant of health. Our combined theoretical and empirical review suggests that a common multidimensional definition could be developed and deployed in different labor market contexts using a variety of methodological approaches.

Too, L. S., Leach, L., & Butterworth, P. (2020). Is the association between poor job control and common mental disorder explained by general perceptions of control? findings from an Australian longitudinal cohort. *Scandinavian Journal of Work, Environment & Health*, 46(3), 311-320,311A. doi:<https://doi.org/10.5271/sjweh.3869>

Objectives This study sought to examine the influence of general perceptions of control on the association between job control and mental health. Methods We used four waves of data from a cohort of mid-aged adults from the Personality and Total Health (PATH) Through Life Study (baseline N=2106). Key measures included job control and likelihood of experiencing a common mental disorder (anxiety and/or depression). The data were analyzed using longitudinal random intercept regression models, controlling for a range of potential confounders including general perceptions of control (ie, not isolated to the work context) via a measure of mastery. The analyses isolated the effect of withinperson changes in job control on mental health (apart from between-person differences). Results The results show that the effect of job control remained significant after adjusting for general perceptions of control and other confounders. The within-person effect in the model demonstrated that, when workers had low job control, they were twice as likely to experience a common mental disorder odds ratio (OR) 2.04, 95% confidence interval (CI) 1.53-2.73]. Conclusions Individuals' general perceptions of control in life does not account for the association between low job control and poor mental health. The findings add a new layer of evidence to the literature demonstrating that lack of autonomy at work is an independent predictor of employees' mental health. Increasing employee control should be integrated into workplace strategies to promote mental health. Key terms Australia; depression; job stressor; mental health; psychosocial; stress.



Peters, S. (2020). Although a valuable method in occupational epidemiology, job-exposure matrices are no magic fix. *Scandinavian Journal of Work, Environment & Health*, 46(3), 231-234,231A.  
doi:<https://doi.org/10.5271/sjweh.3894>

Peters discusses the job-exposure matrices (JEM). JEM are a common method for exposure assessment in occupational epidemiology. A recent review on methods for retrospective exposure assessment in the general population revealed that more than a quarter of the studies on cancer applied a JEM. Where JEM originally assigned exposures at a qualitative or semi-quantitative level based on expert ratings, quantitative exposure estimates can also be derived when measurements are used to calibrate these ratings. A JEM typically consists of job and exposure axes. The major advantage of JEM is that job histories can be translated into specific exposures in a systematic and unbiased way. It is basically a computerized linkage of exposure estimates to job codes, and, as such, JEM represent a highly efficient and reproducible methodology. This way, a standardized exposure assessment within and between studies can be guaranteed, and any misclassification is expected to be non-differential with respect to the health outcome. Once developed, a JEM is relatively easy to apply and less costly than case-by-case expert assessment.

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